



BASEL CONVENTION



ROTTERDAM CONVENTION



STOCKHOLM CONVENTION

Review of the synergies arrangements

Graphic illustrations of the responses received from Parties to the Secretariat's questionnaire

(10 December 2012)

Explanatory note:

This document provides graphic illustrations of the responses received from Parties to the Basel, Rotterdam and Stockholm Conventions to each question of the Secretariat's questionnaire on the review of the synergies arrangements, that was communicated to all Parties on 16 May 2012.

The Secretariat's questionnaire consisted of three parts – part I on joint activities, part II on joint managerial functions, and part III on joint services – and aimed at collecting views from Parties on:

- whether and how much the arrangements have contributed to the six objectives of the synergies arrangements;
- challenges or obstacles experienced in the achievement of the six objectives; and
- recommendations to parties, the secretariat, UNEP, FAO and other institutions and stakeholders as appropriate, on steps that could be taken to make the synergies arrangements better contribute to the six objectives.

The questionnaire was prepared by identifying a total of 29 synergies arrangements: 18 arrangements pertaining to joint activities, clustered in four groups; seven arrangements pertaining to joint managerial functions; and, four arrangements pertaining to joint services. The appendix to this document lists these 29 arrangements. For each one of the 29 arrangements, the questionnaire then asked whether and how far their implementation had achieved each of the six objectives of the synergies arrangements. Parties had a choice of five levels of assessment (significantly improved, improved, no changes, worse, significantly worse). In addition, in order to account for the fact that some Parties may have no opinion about an arrangement, that an arrangement may have had no relevance to a synergies objective or that it may be premature to provide a scalar response, the questionnaire provided the respondent with the possibility to answer “no opinion” or “not applicable”.

At the end of each of the three parts of the questionnaire, Parties had the opportunity to provide additional information or comments on the challenges or obstacles experienced in the achievement of the synergies' objectives. Parties also had the opportunity to make specific or general recommendations to parties, the secretariat, UNEP, FAO and other institutions and stakeholders as appropriate, on steps that could be taken to make the synergies arrangements better contribute to the synergies' objectives.

Each part of the questionnaire also included an introductory section providing background information on, respectively, joint activities, joint managerial functions and joint services, with hyperlinks to the website of the conventions where additional information could be found. Furthermore, it was not necessary for Parties to answer all the questions; each Party had the opportunity to focus on the arrangements that were of most relevance to it.

As of 31 October 2012, the following **23 parties** had submitted responses to the Secretariat's questionnaire through the electronic system: Andorra, Argentina, Belgium, Bulgaria, Canada, Croatia, France, Georgia, Guatemala, Italy, Madagascar, Malaysia, Mexico, Montenegro, Myanmar, Philippines, Romania, Slovakia, Switzerland, Trinidad and Tobago, Turkey, Ukraine and Uruguay. This document is based on the information collected from those Parties.

This document complements the *compilation of responses* received from parties to the secretariat and the UNEP and FAO questionnaires, which includes the information, comments and recommendations made by Parties at the end of each part of the questionnaire on, respectively, joint activities, joint services and joint managerial functions. The compilation of responses received is also available on the website of the Conventions.

SECTION A: SECRETARIAT'S QUESTIONNAIRE

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PART I – INFORMATION ON THE SECRETARIAT'S JOINT ACTIVITIES

Introduction

The [synergies decisions](#) requested the secretariats of the three conventions to undertake a number of activities to support the process of enhanced cooperation and coordination among the Basel, Rotterdam and Stockholm conventions. A note on joint activities ([UNEP/FAO/CHW/RC/POPS/EXCOPS.1/2](#)) carried out or planned by the secretariats was submitted to the consideration of the extraordinary meetings of the conferences of the Parties. The [2010 omnibus decisions](#) requested the secretariats to develop a proposal for cross-cutting and joint activities. The secretariats' proposal ([UNEP/CHW.10/27/Add.1](#), [UNEP/FAO/RC/COP.5/25/Add.1](#) and [UNEP/POPS/COP.5/32/Add.1](#)) was reviewed and adopted by the three conferences of the Parties at their ordinary meetings in 2011 ([UNEP/CHW.10/28](#), [UNEP/FAO/RC/COP.5/26](#) and [UNEP/POPS/COP.5/36](#)). Further information on specific joint activities can be obtained by clicking on the internet links provided in the sections below.

SECTION A: SECRETARIAT'S QUESTIONNAIRE

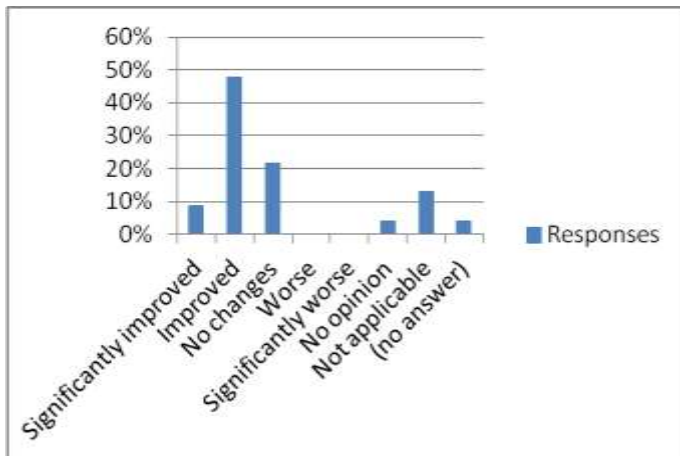
PART I – INFORMATION ON THE SECRETARIAT'S JOINT ACTIVITIES

1. Organizational issues in the field

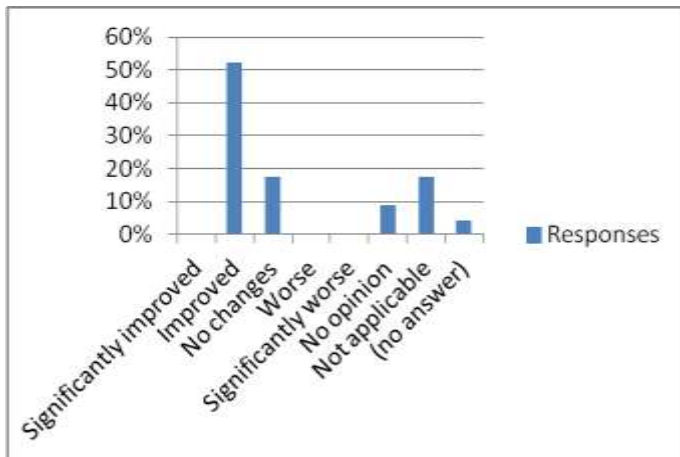
1.1. Coordination at the national level and programmatic cooperation in the field: technical assistance and capacity building

(a) Development of tools to support countries in implementing the conventions

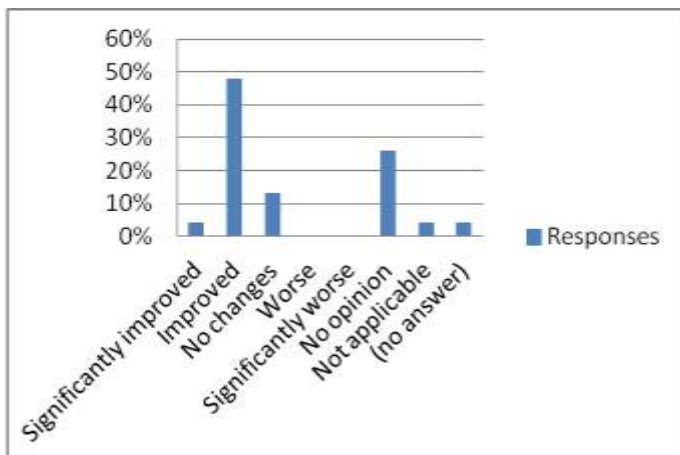
1. Has the development of tools to support countries in implementing the conventions strengthened the implementation of the three conventions at the national level?



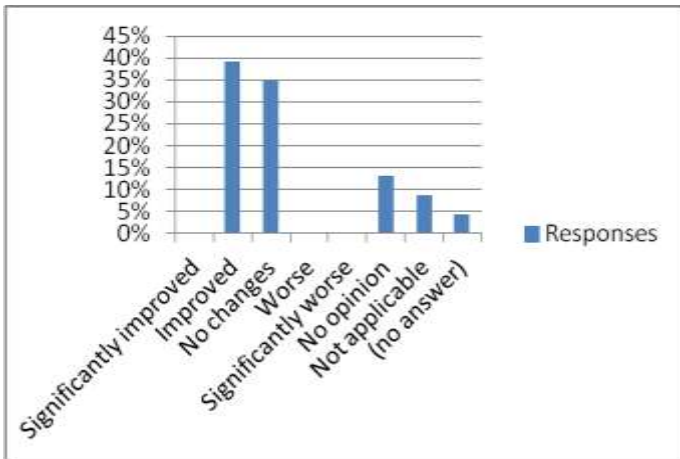
2. Has the development of tools to support countries in implementing the conventions strengthened the implementation of the three conventions at the regional level?



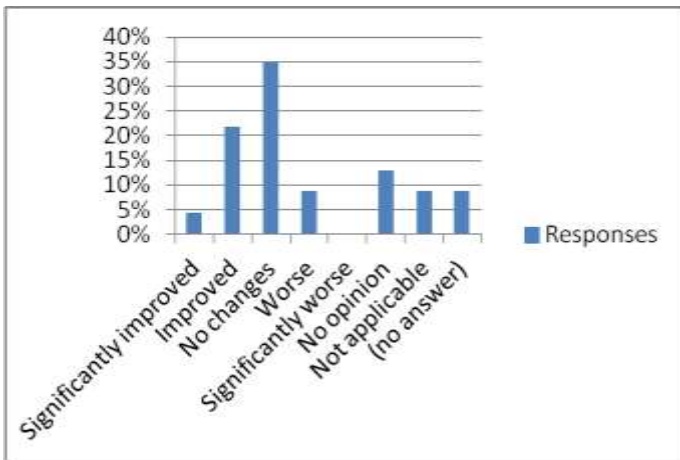
3. Has the development of tools to support countries in implementing the conventions strengthened the implementation of the three conventions at the global level?



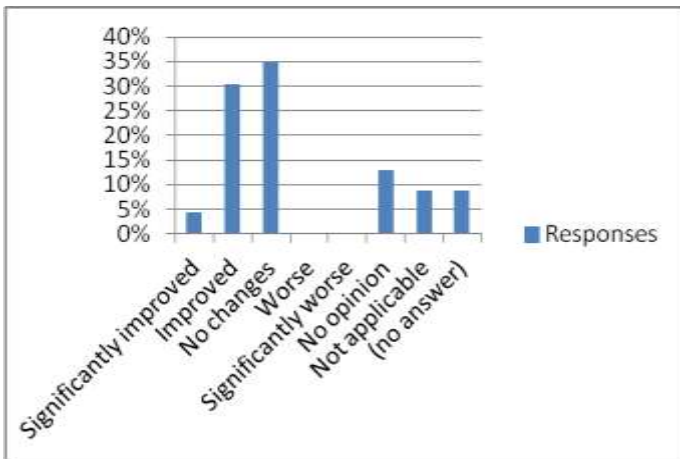
4. Has the development of tools to support countries in implementing the conventions promoted coherent policy guidance?



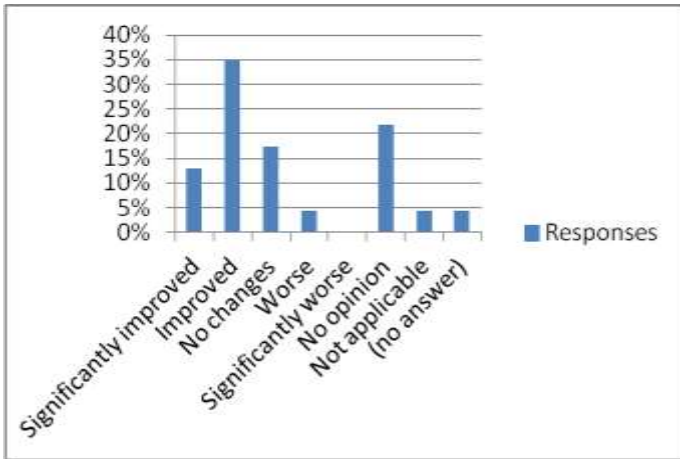
5. Has the development of tools to support countries in implementing the conventions reduced administrative burden?



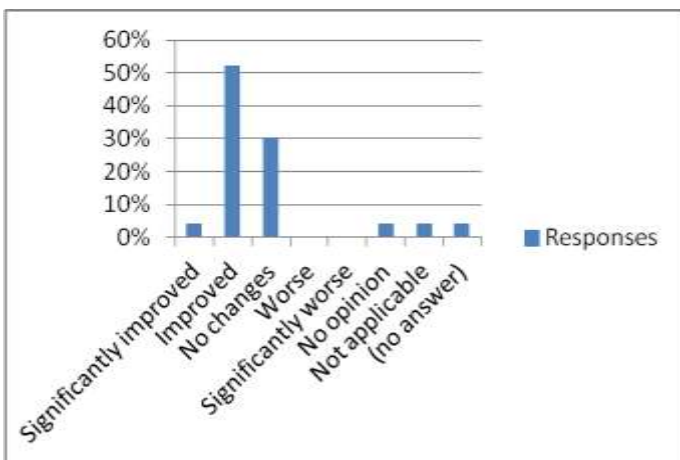
6. Has the development of tools to support countries in implementing the conventions maximized the effective and efficient use of resources at all levels?



7. Has the development of tools to support countries in implementing the conventions taken into account global concerns and the specific needs of developing country

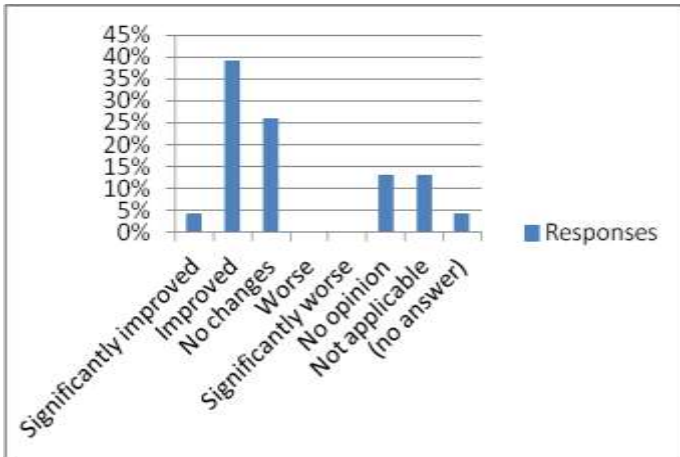


8. Has the development of tools to support countries in implementing the conventions protected human health and the environment for the promotion of sustainable development?

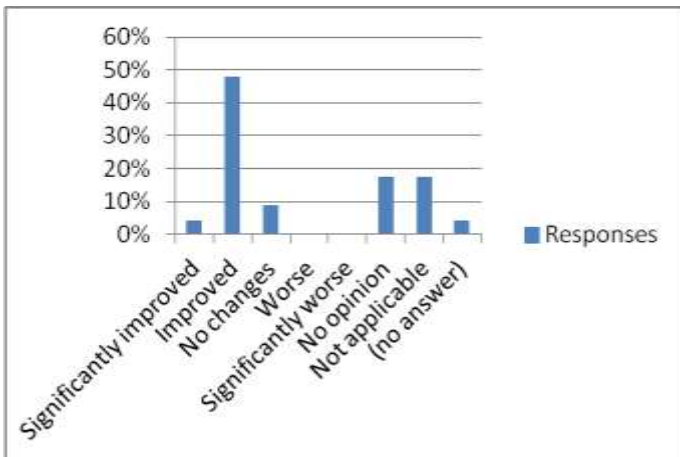


(b) Capacity building programmes at the regional level

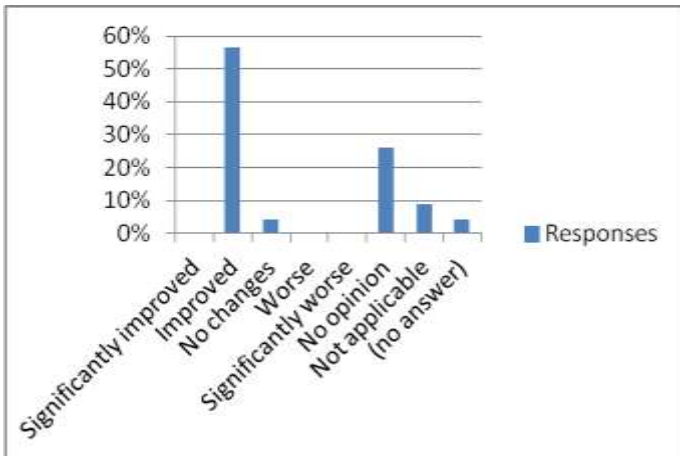
9. Have regional capacity-building programmes strengthened the implementation of the three conventions at the national level?



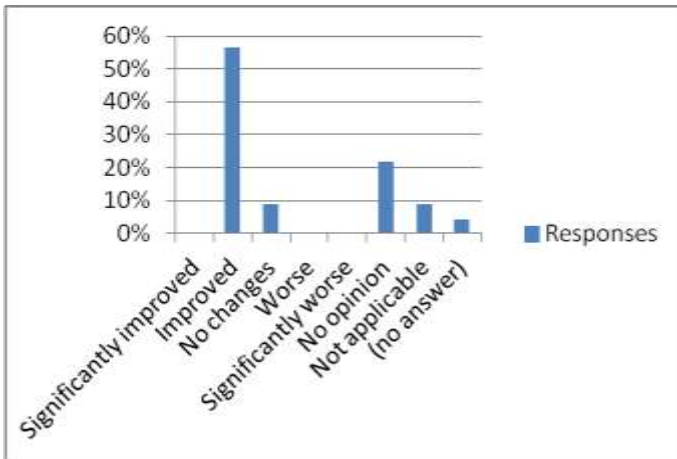
10. Have regional capacity-building programmes strengthened the implementation of the three conventions at the regional level?



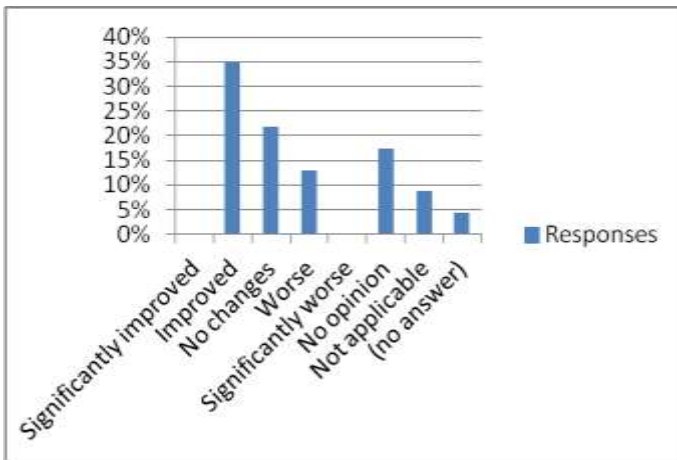
11. Have regional capacity-building programmes strengthened the implementation of the three conventions at the global level?



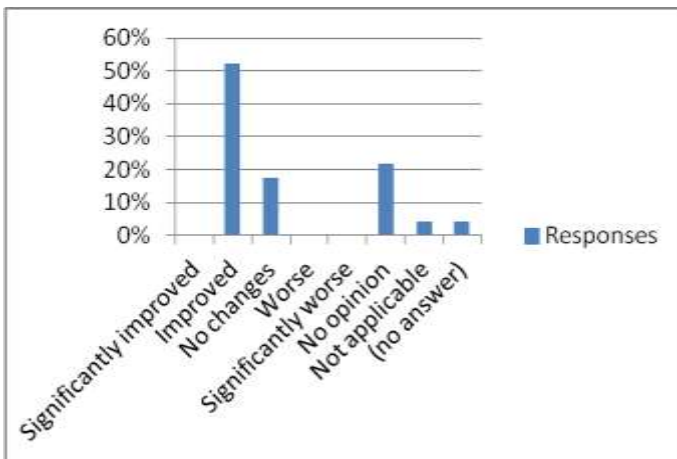
12. Have regional capacity-building programmes promoted coherent policy guidance?



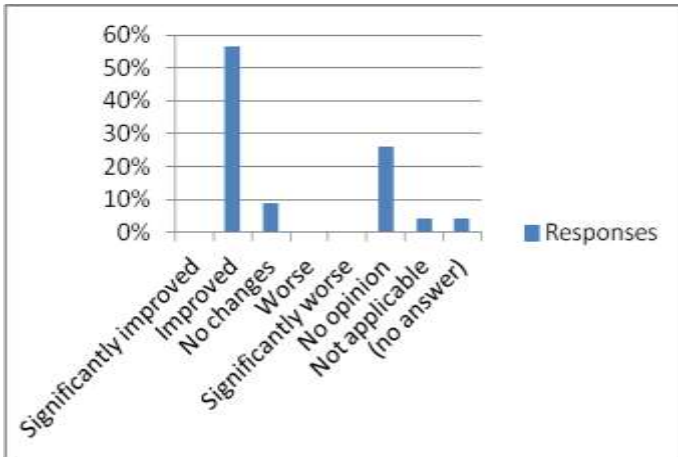
13. Have regional capacity-building programmes reduced administrative burden?



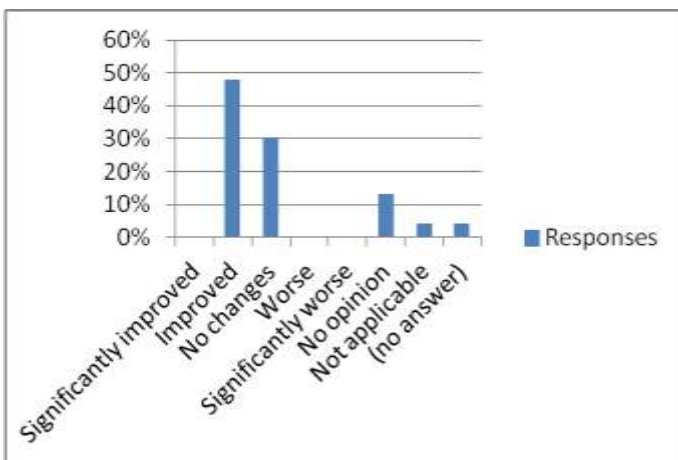
14. Have regional capacity-building programmes maximized the effective and efficient use of resources at all levels?



15. Have regional capacity-building programmes taken into account global concerns and the specific needs of developing countries and countries with economies in transition?

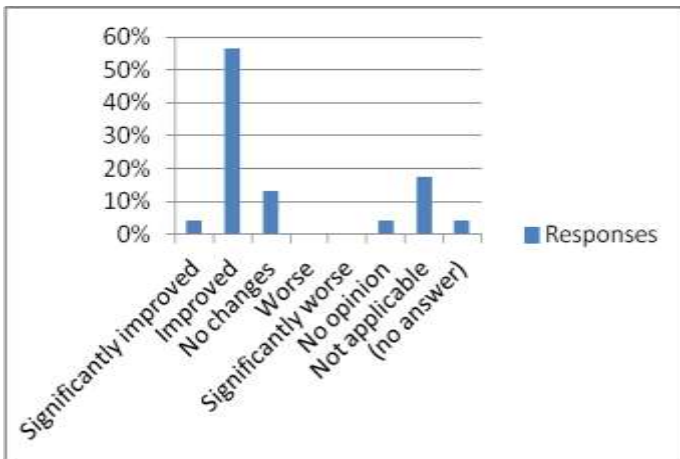


16. Have regional capacity-building programmes protected human health and the environment for the promotion of sustainable development?

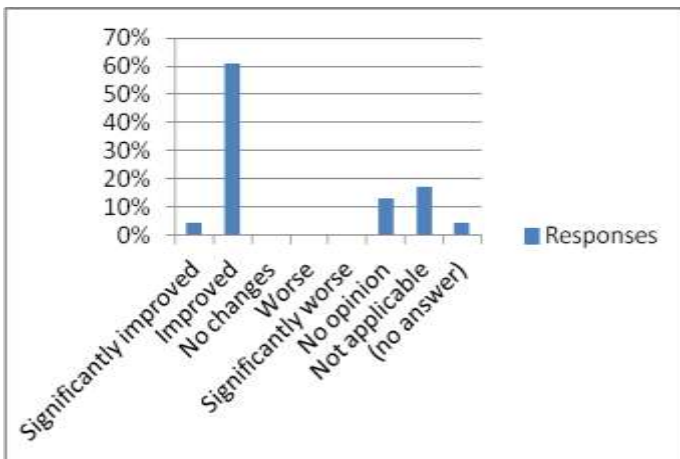


(c) Support to the sound chemicals and wastes management at the national level

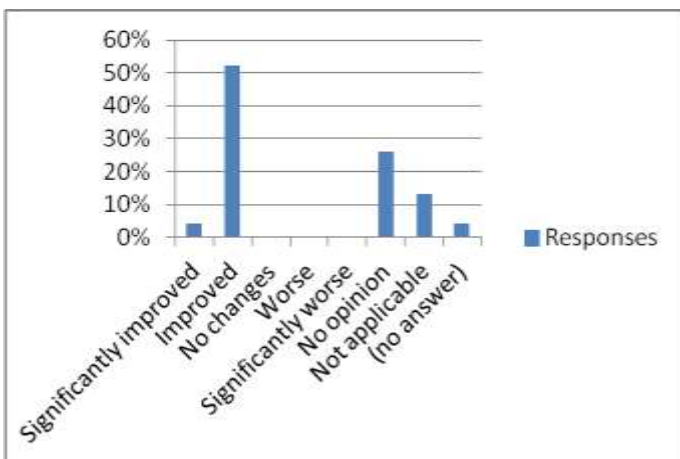
17. Has the support to the sound management of chemicals and wastes at the national level strengthened the implementation of the three conventions at the national level?



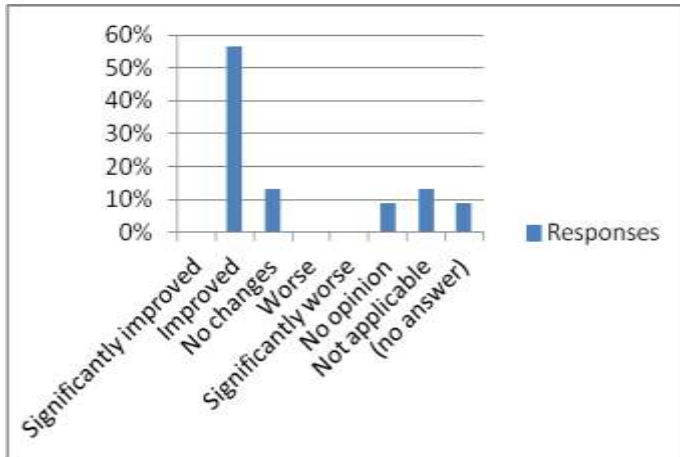
18. Has the support to the sound management of chemicals and wastes at the national level strengthened the implementation of the three conventions at the regional level?



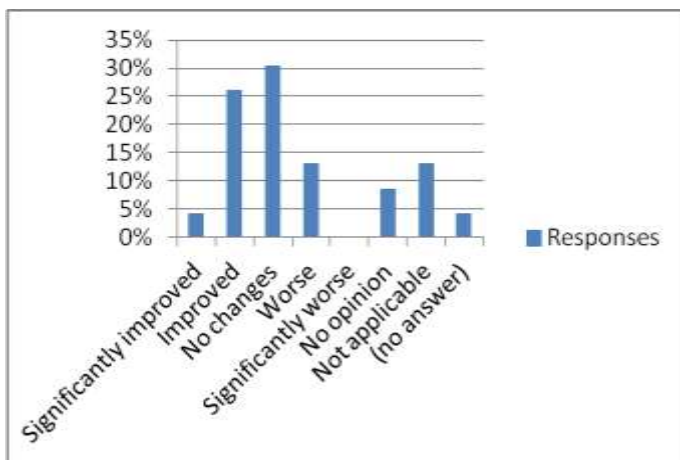
19. Has the support to the sound management of chemicals and wastes at the national level strengthened the implementation of the three conventions at the global level?



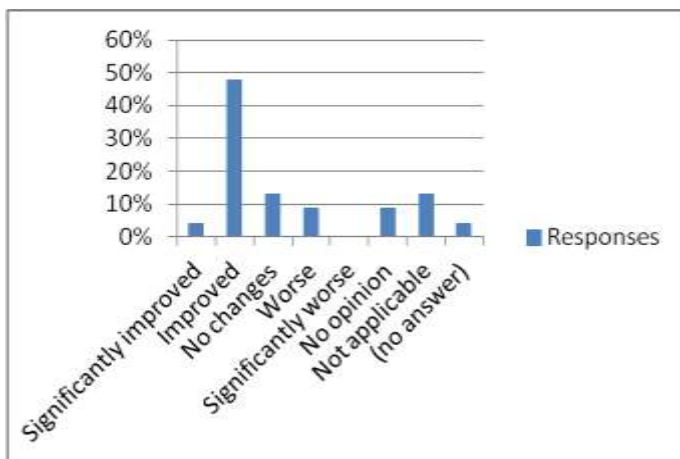
20. Has the support to the sound management of chemicals and wastes at the national level promoted coherent policy guidance?



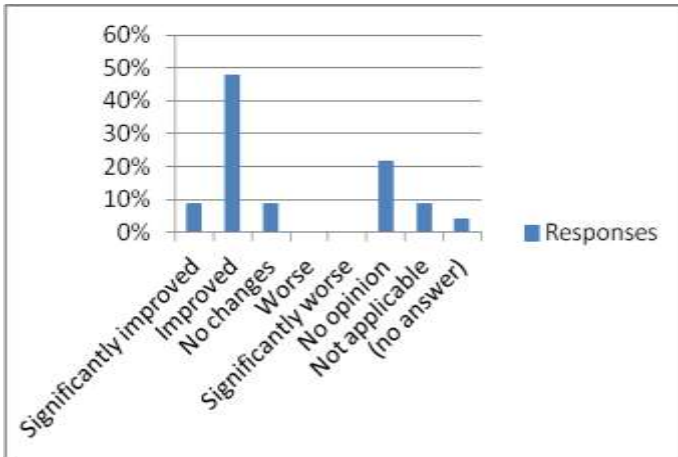
21. Has the support to the sound management of chemicals and wastes at the national level reduced administrative burden?



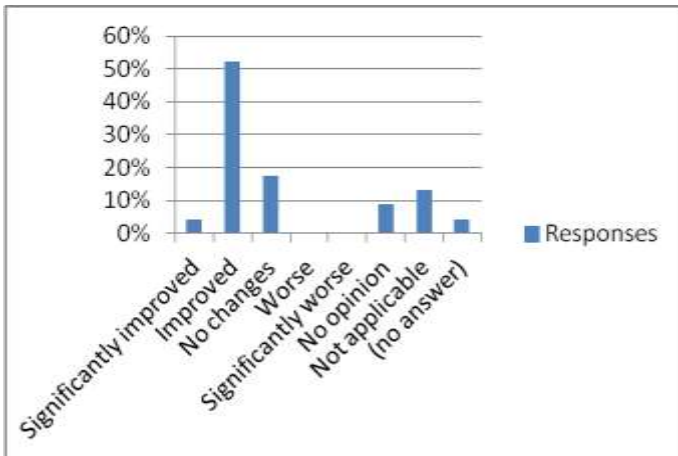
22. Has the support to the sound management of chemicals and wastes at the national level maximized the effective and efficient use of resources at all levels?



23. Has the support to the sound management of chemicals and wastes at the national level taken into account global concerns and the specific needs of developing countries and countries with economies in transition?

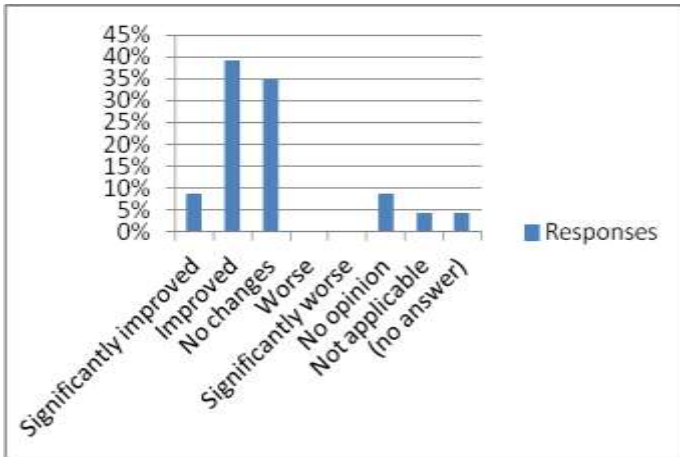


24. Has the support to the sound management of chemicals and wastes at the national level protected human health and the environment for the promotion of sustainable development?

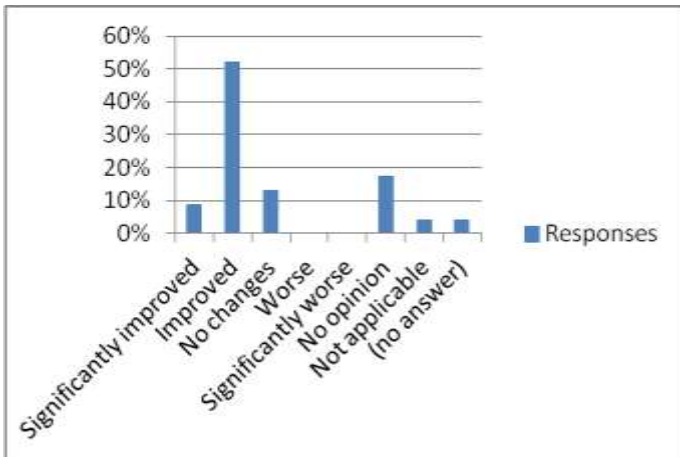


(d) Development of partnerships with other Multilateral EnvironmentAgreements (MEAs)

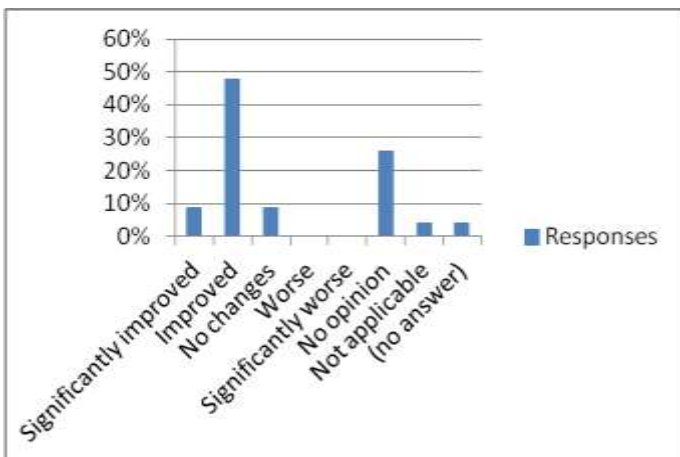
25. Has the development of partnerships with other MEAs strengthened the implementation of the three conventions at the national level?



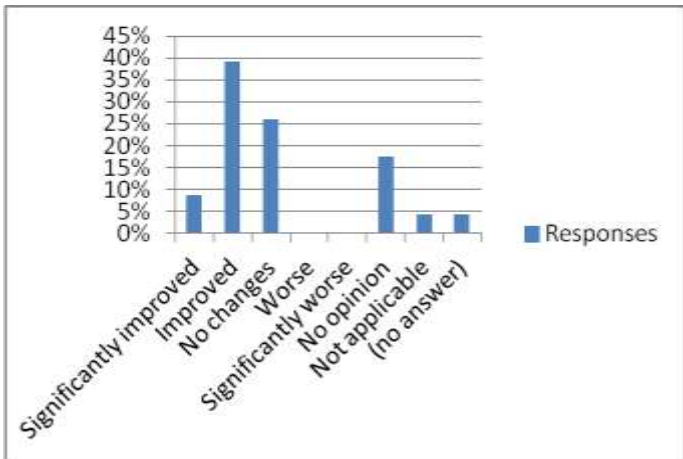
26. Has the development of partnerships with other MEAs strengthened the implementation of the three conventions at the regional level?



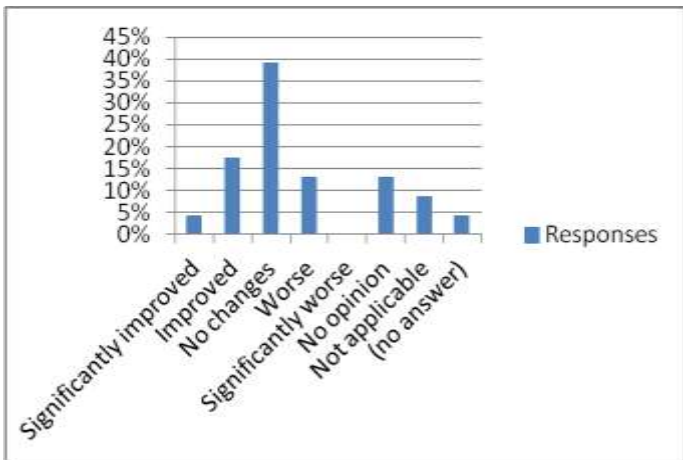
27. Has the development of partnerships with other MEAs strengthened the implementation of the three conventions at the global level?



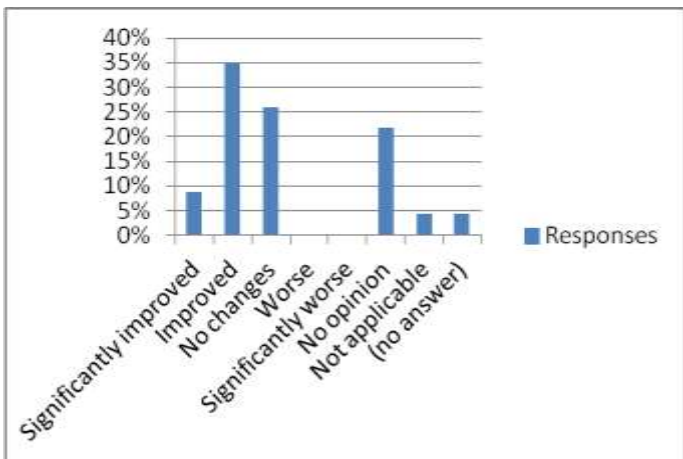
28. Has the development of partnerships with other MEAs promoted coherent policy guidance?



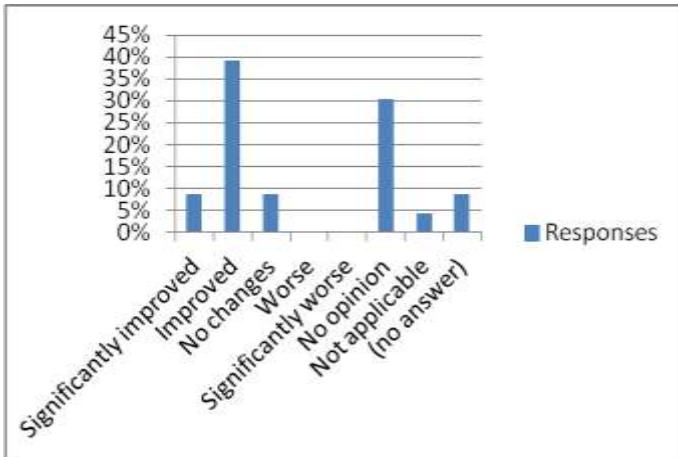
29. Has the development of partnerships with other MEAs reduced administrative burden?



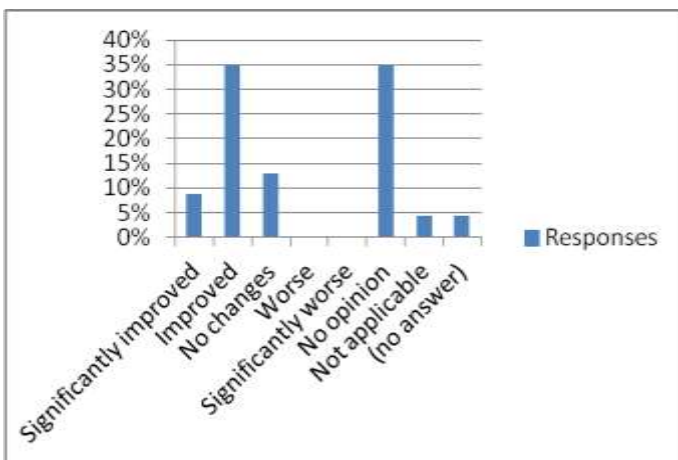
30. Has the development of partnerships with other MEAs maximized the effective and efficient use of resources at all levels?



31. Has the development of partnerships with other MEAs taken into account global concerns and the specific needs of developing countries and countries with economies in transition?



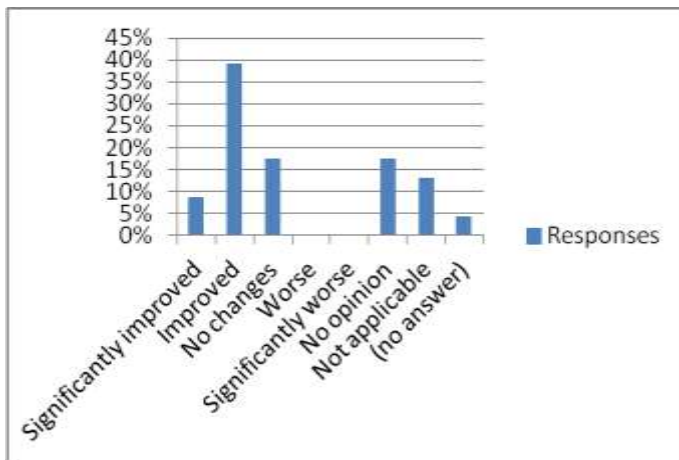
32. Has the development of partnerships with other MEAs protected human health and the environment for the promotion of sustainable development?



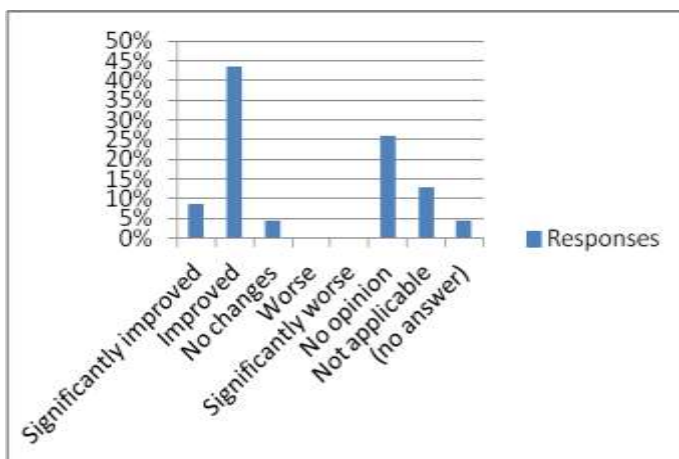
1.2. Coordinated use of regional centres: Regional centres and south south cooperation

(a) Cooperation and coordination between regional centres and FAO and UNEP regional offices

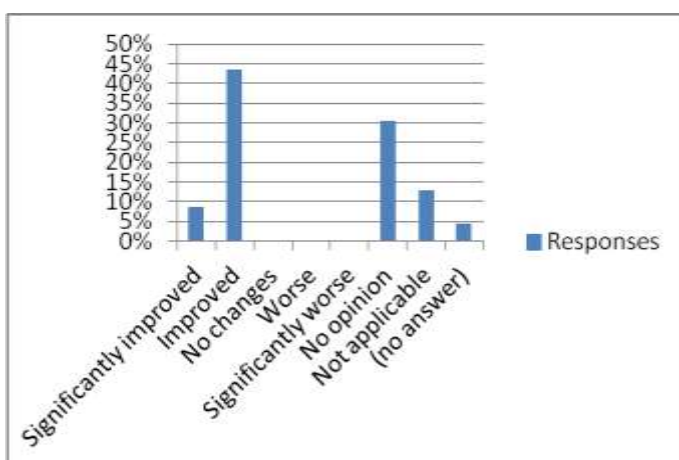
33. Has the cooperation and coordination between regional centres and FAO and UNEP regional offices strengthened the implementation of the three conventions at the national level?



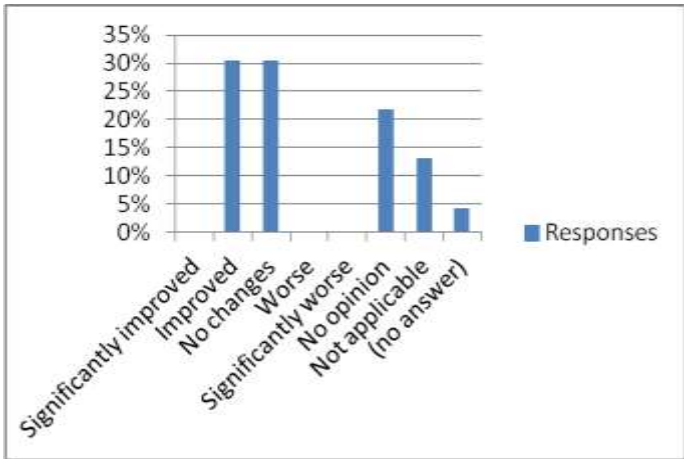
34. Has the cooperation and coordination between regional centres and FAO and UNEP regional offices strengthened the implementation of the three conventions at the regional level?



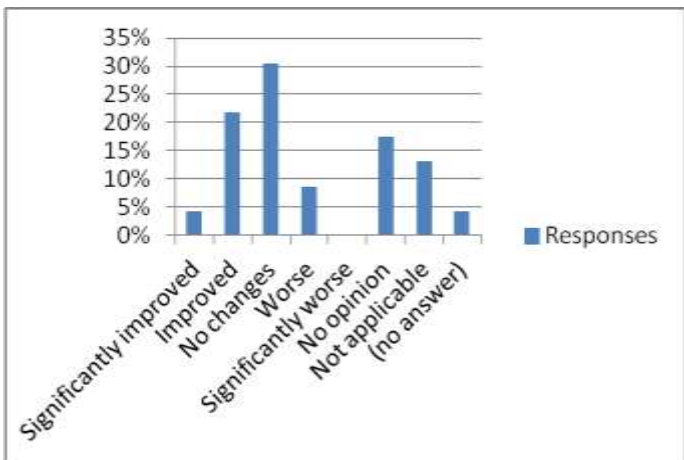
35. Has the cooperation and coordination between regional centres and FAO and UNEP regional offices strengthened the implementation of the three conventions at the global level?



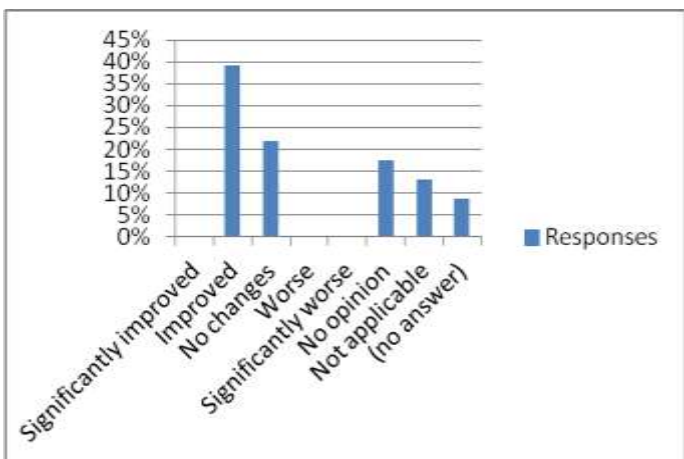
36. Has the cooperation and coordination between regional centres and FAO and UNEP regional offices promoted coherent policy guidance?



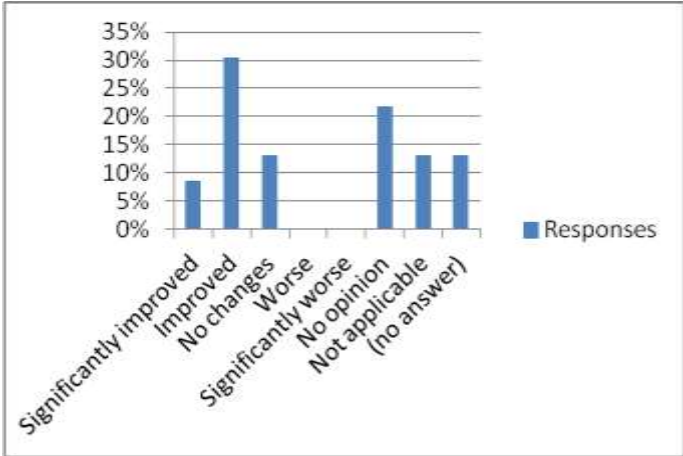
37. Has the cooperation and coordination between regional centres and FAO and UNEP regional offices reduced administrative burden?



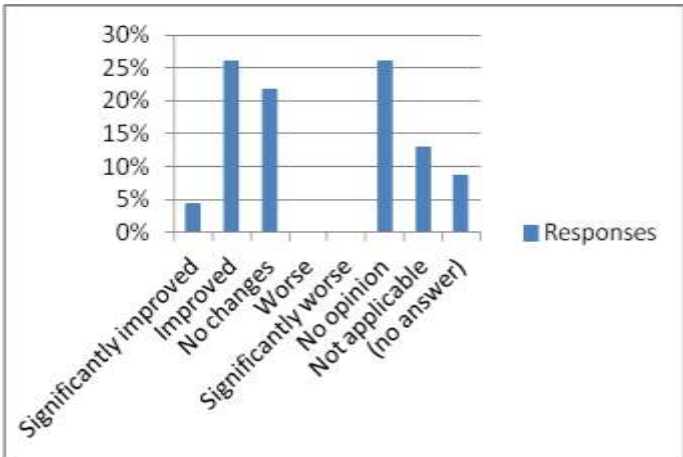
38. Has the cooperation and coordination between regional centres and FAO and UNEP regional offices maximized the effective and efficient use of resources at all levels?



39. Has the cooperation and coordination between regional centres and FAO and UNEP regional offices taken into account global concerns and the specific needs of developing countries and countries with economies in transition?

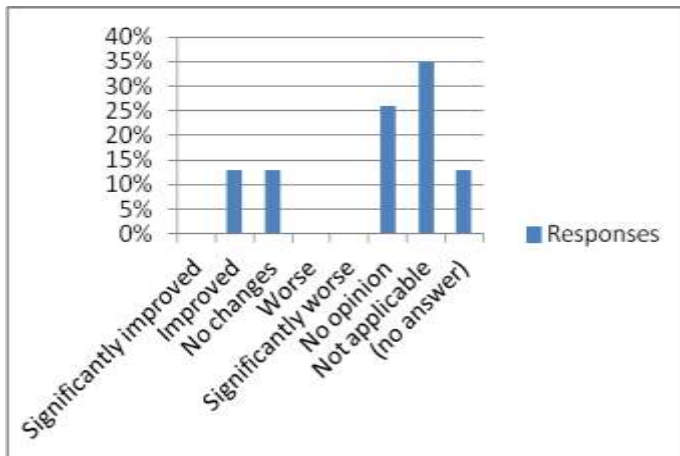


40. Has the cooperation and coordination between regional centres and FAO and UNEP regional offices protected human health and the environment for the promotion of sustainable development?

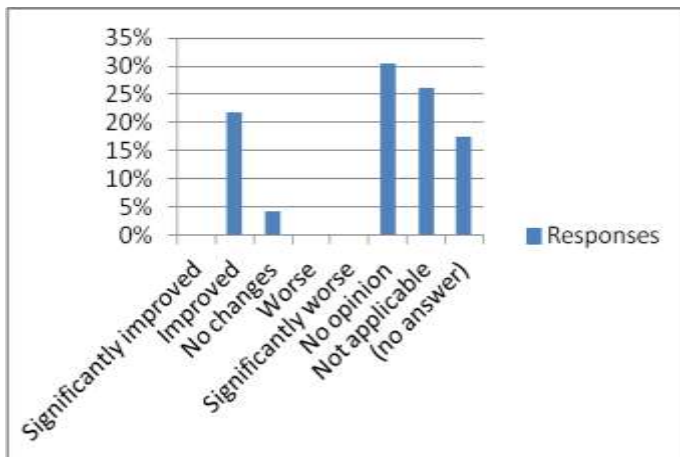


(b) South- South Cooperation

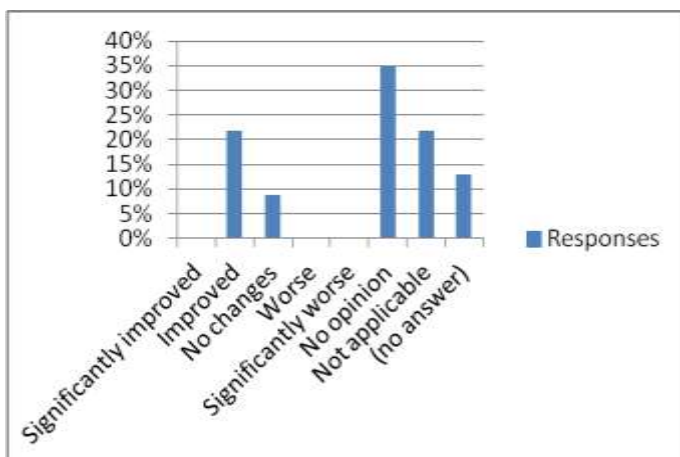
41. Has South-South cooperation strengthened the implementation of the three conventions at the national level?



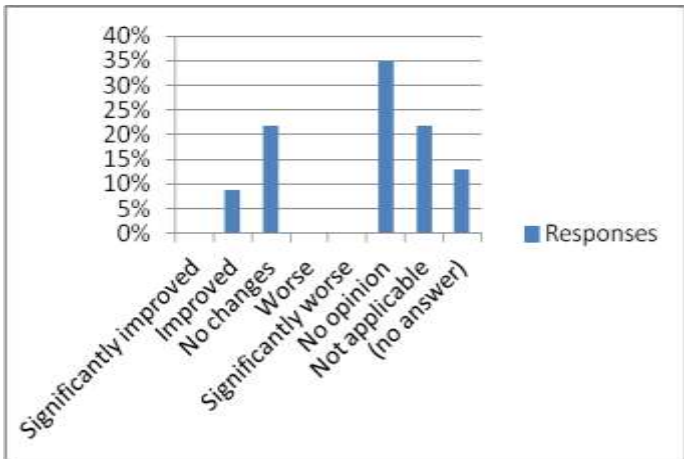
42. Has South-South cooperation strengthened the implementation of the three conventions at the regional level?



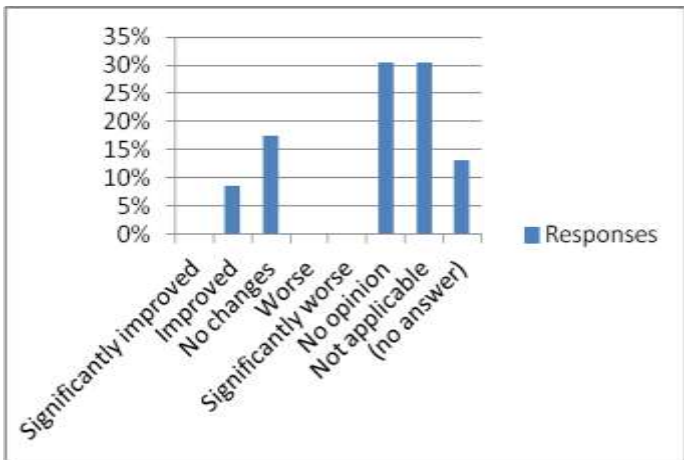
43. Has South-South cooperation strengthened the implementation of the three conventions at the global level?



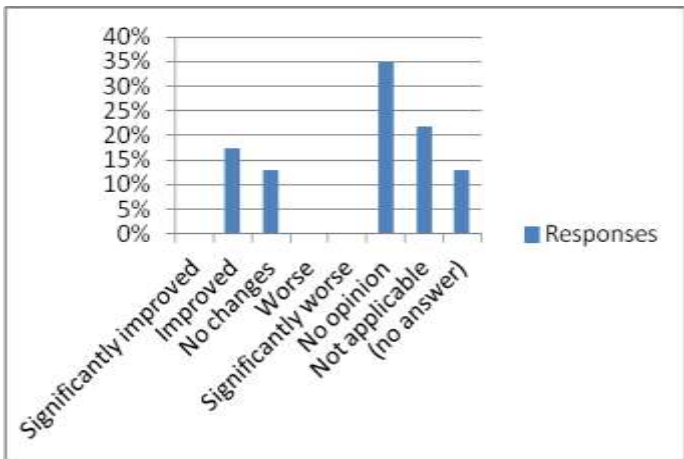
44. Has South-South cooperation promoted coherent policy guidance?



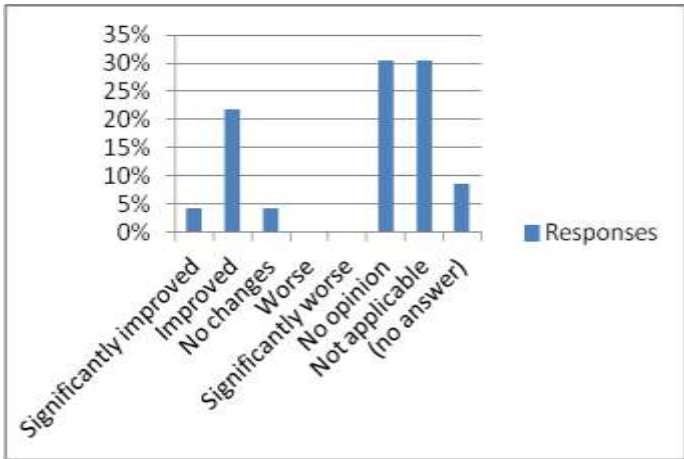
45. Has South-South cooperation reduced administrative burden?



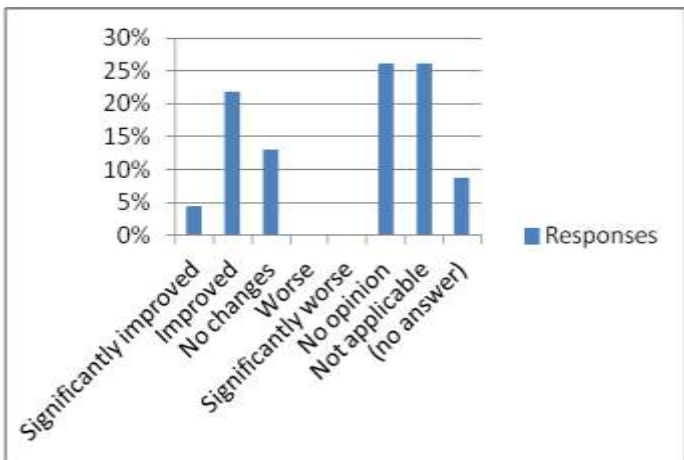
46. Has South-South cooperation maximized the effective and efficient use of resources at all levels?



47. Has South-South cooperation taken into account global concerns and the specific needs of developing countries and countries with economies in transition?



48. Has South-South cooperation protected human health and the environment for the promotion of sustainable development?

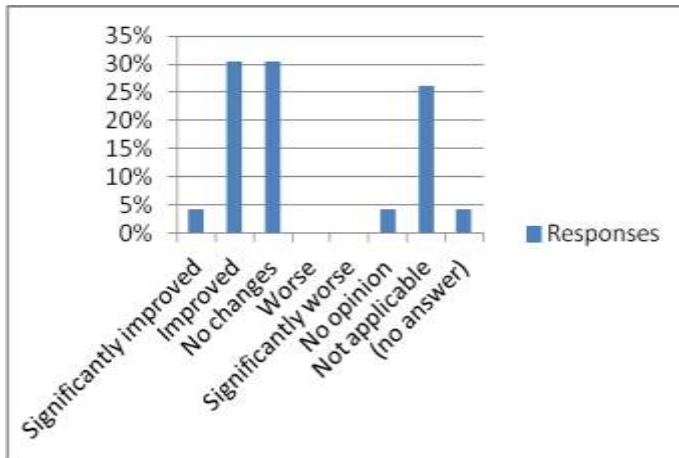


2. Technical issues

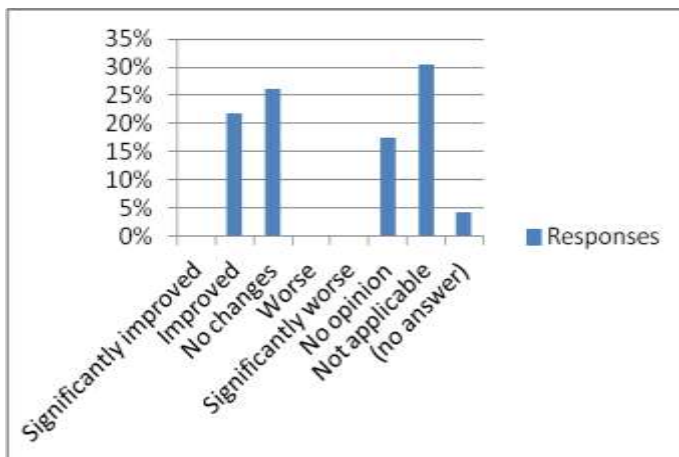
2.1 [National reporting](#)

(a) Synchronization of the submission of reports

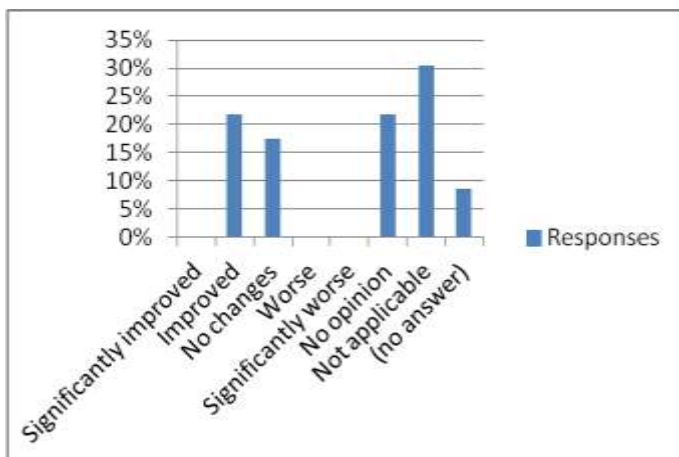
49. Has the synchronization of the submission of reports strengthened the implementation of the three conventions at the national level?



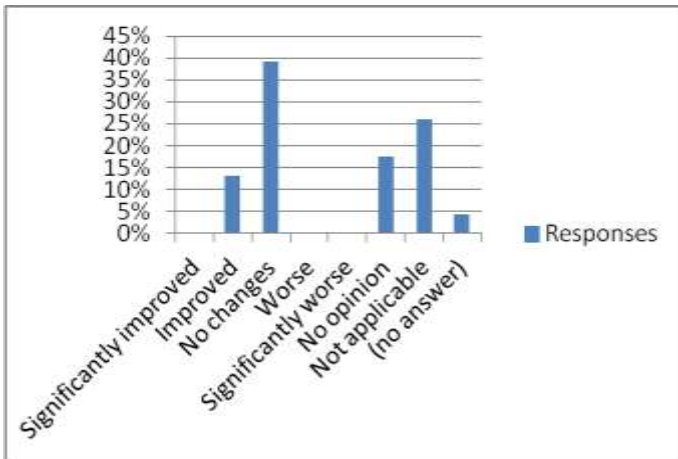
50. Has the synchronization of the submission of reports strengthened the implementation of the three conventions at the regional level?



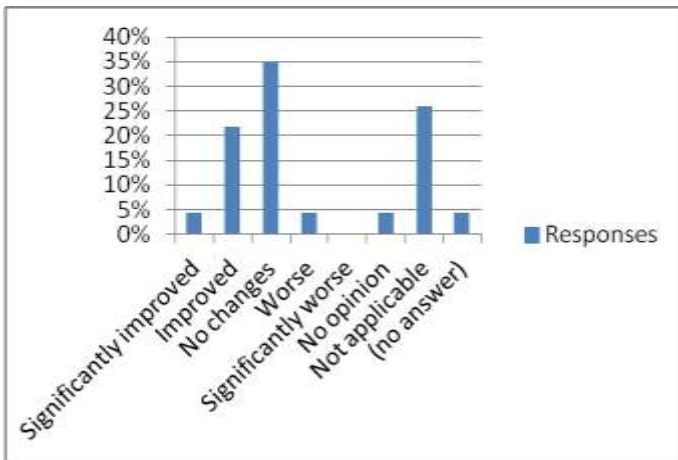
51. Has the synchronization of the submission of reports strengthened the implementation of the three conventions at the global level?



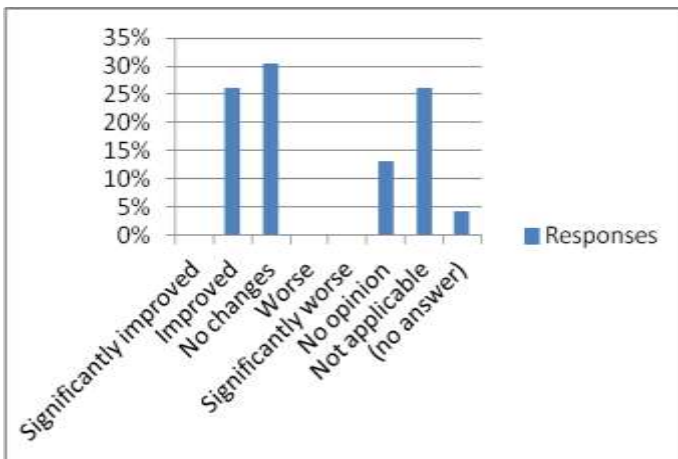
52. Has the synchronization of the submission of reports promoted coherent policy guidance?



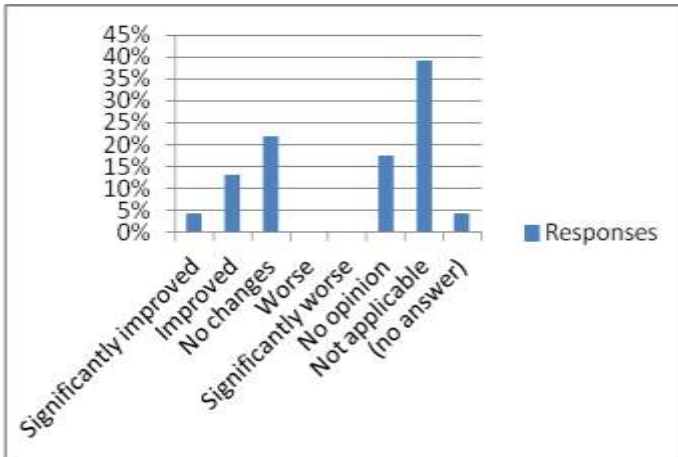
53. Has the synchronization of the submission of reports reduced administrative burden?



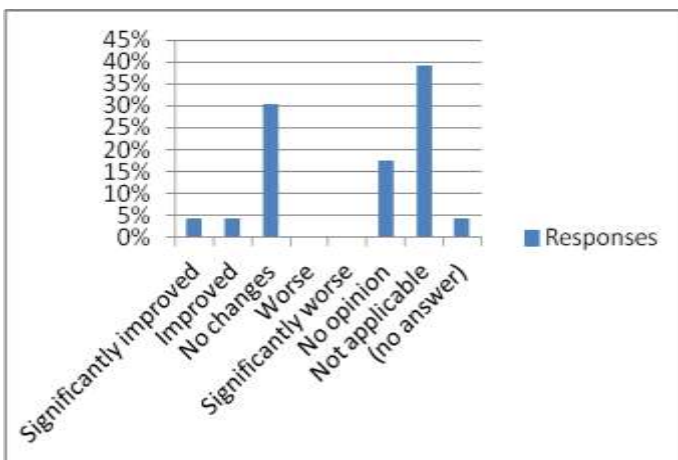
54. Has the synchronization of the submission of reports maximized the effective and efficient use of resources at all levels?



55. Has the synchronization of the submission of reports taken into account global concerns and the specific needs of developing countries and countries with economies in transition?

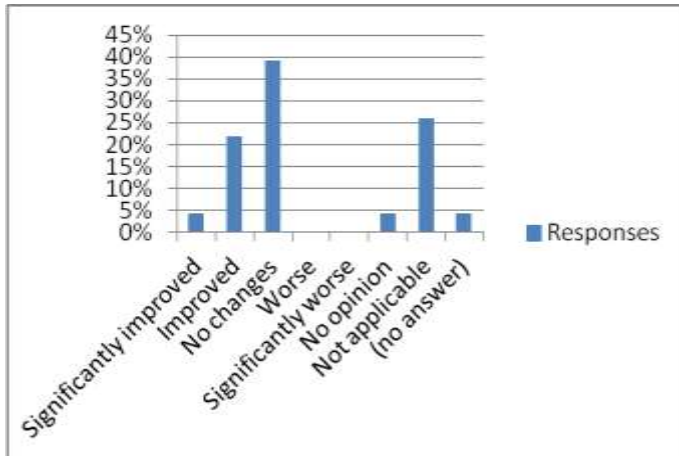


56. Has the synchronization of the submission of reports protected human health and the environment for the promotion of sustainable development?

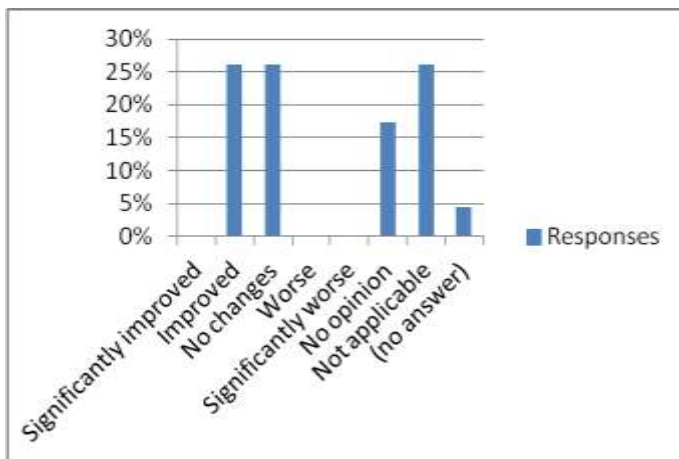


(b) Joint capacity building activities on national reporting

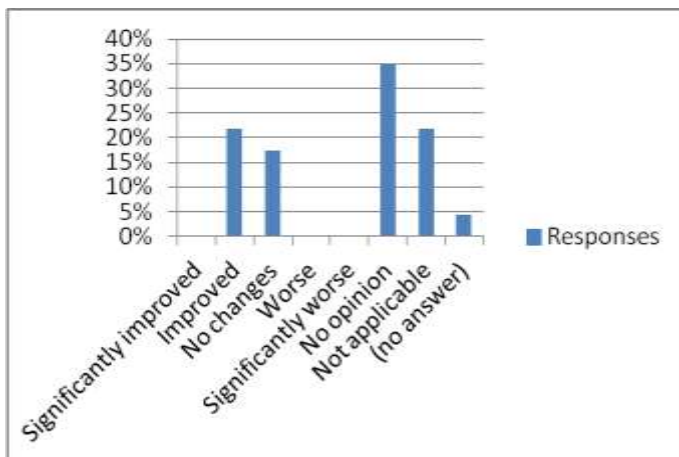
57. Have joint capacity building activities on national reporting strengthened the implementation of the three conventions at the national level?



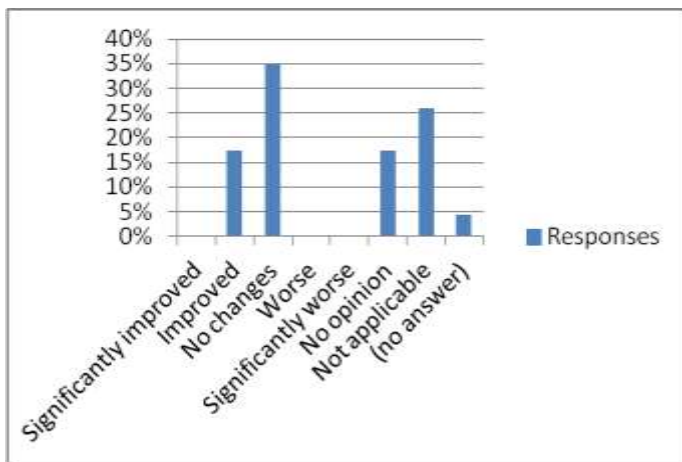
58. Have joint capacity building activities on national reporting strengthened the implementation of the three conventions at the regional level?



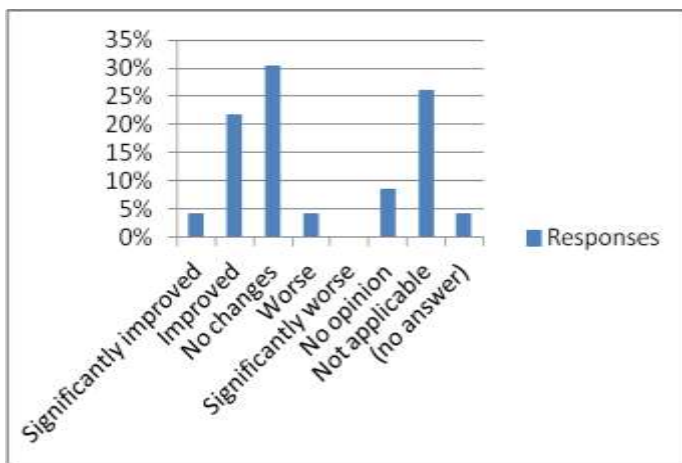
59. Have joint capacity building activities on national reporting strengthened the implementation of the three conventions at the global level?



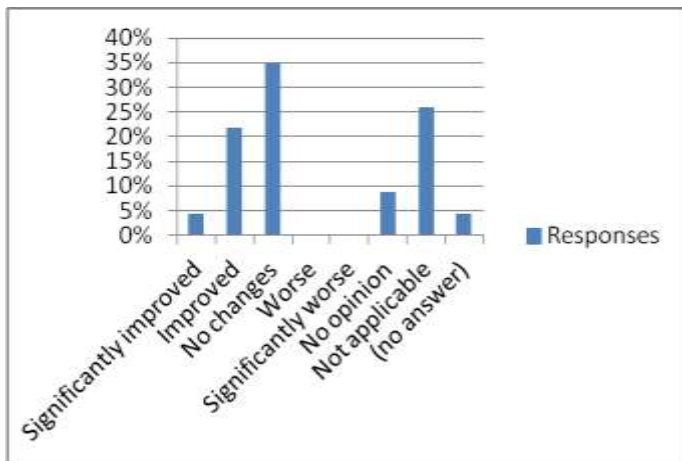
60. Have joint capacity building activities on national reporting promoted coherent policy guidance?



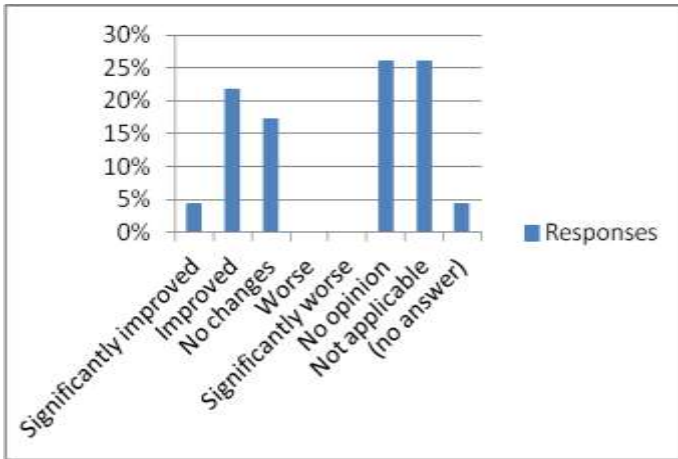
61. Have joint capacity building activities on national reporting reduced administrative burden?



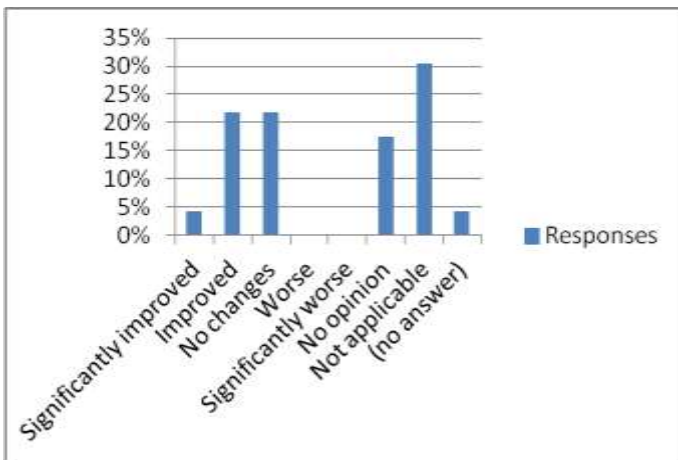
62. Have joint capacity building activities on national reporting maximized the effective and efficient use of resources at all levels?



63. Have joint capacity building activities on national reporting taken into account global concerns and the specific needs of developing countries and countries with economies in transition?

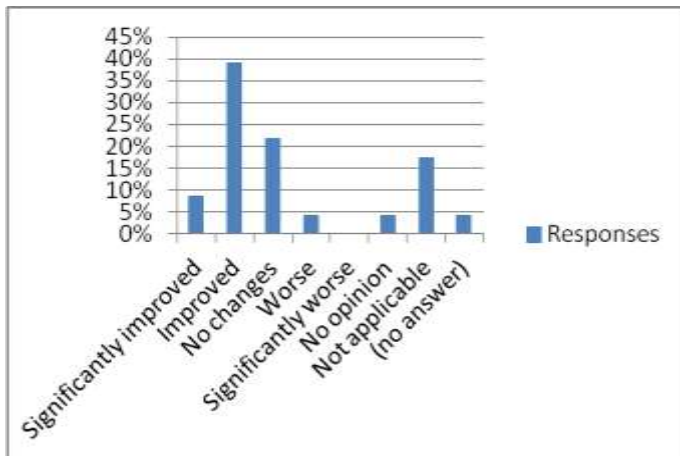


64. Have joint capacity building activities on national reporting protected human health and the environment for the promotion of sustainable development?

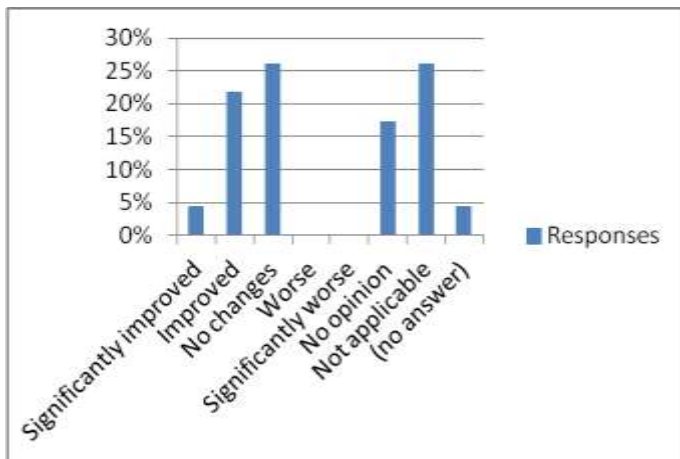


(c) Streamlining of reporting formats

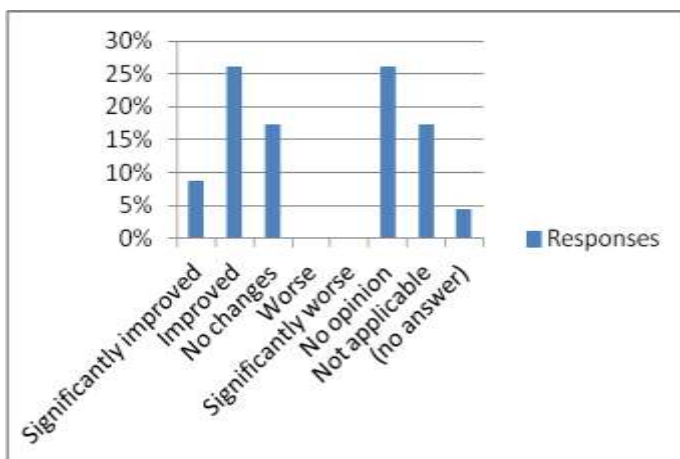
65. Has the streamlining of reporting strengthened the implementation of the three conventions at the national level?



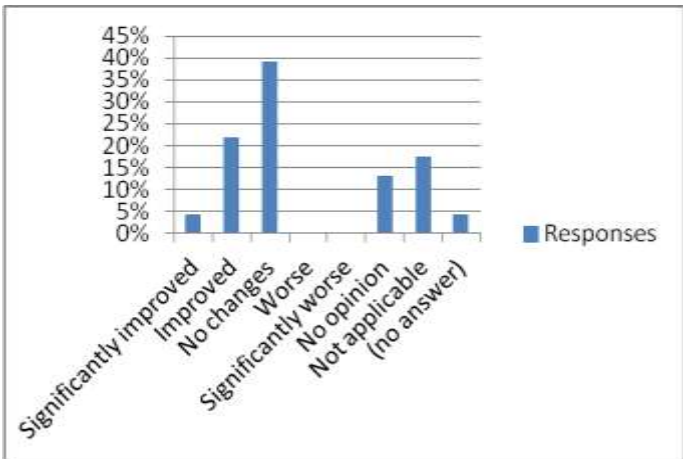
66. Has the streamlining of reporting strengthened the implementation of the three conventions at the regional level?



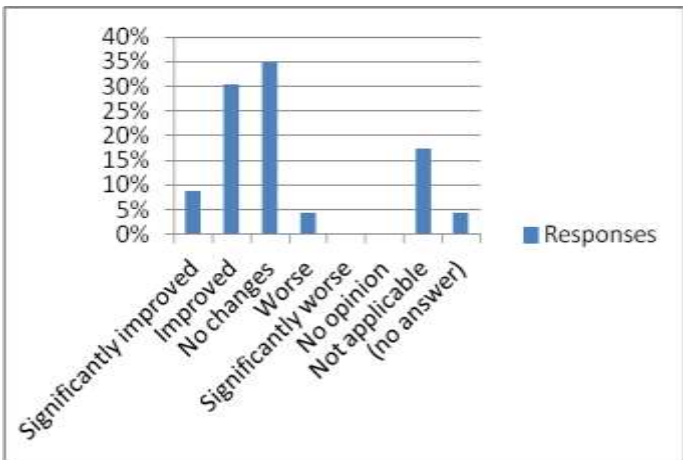
67. Has the streamlining of reporting strengthened the implementation of the three conventions at the global level?



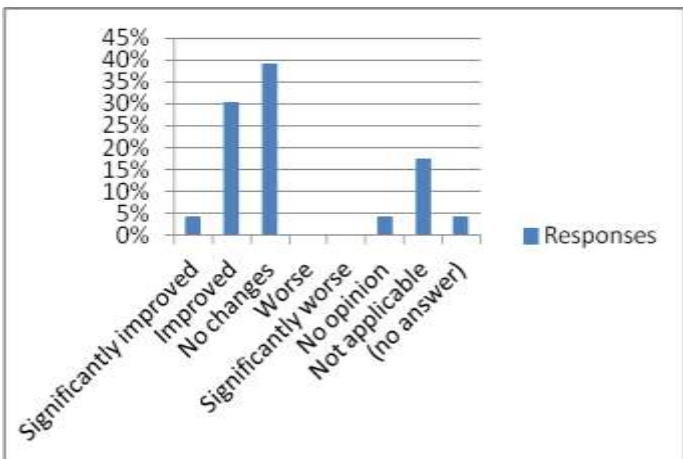
68. Has the streamlining of reporting promoted coherent policy guidance?



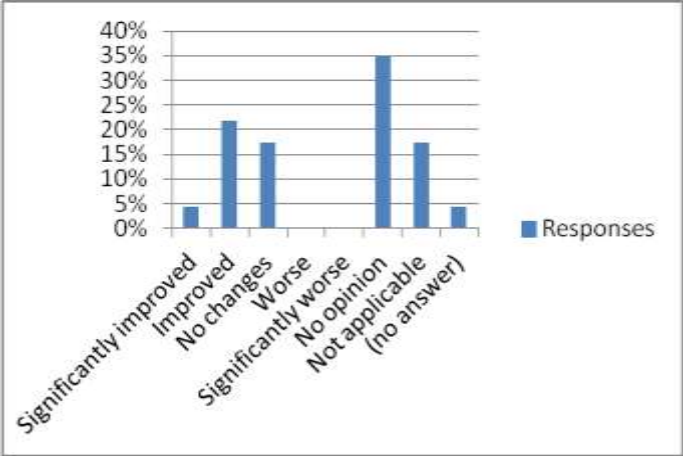
69. Has the streamlining of reporting reduced administrative burden?



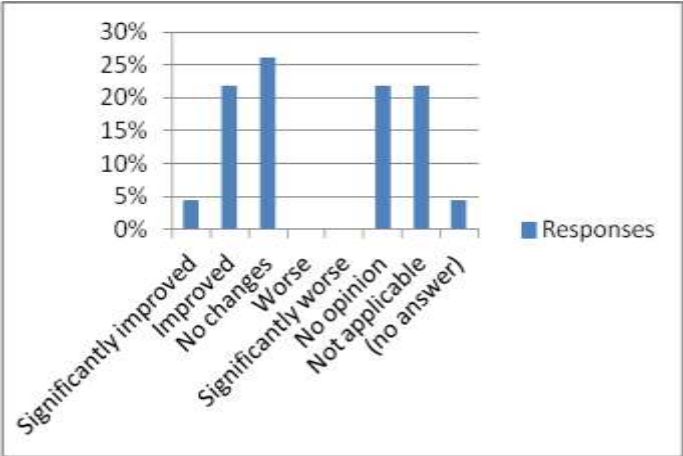
70. Has the streamlining of reporting maximized the effective and efficient use of resources at all levels?



71. Has the streamlining of reporting taken into account global concerns and the specific needs of developing countries and countries with economies in transition?



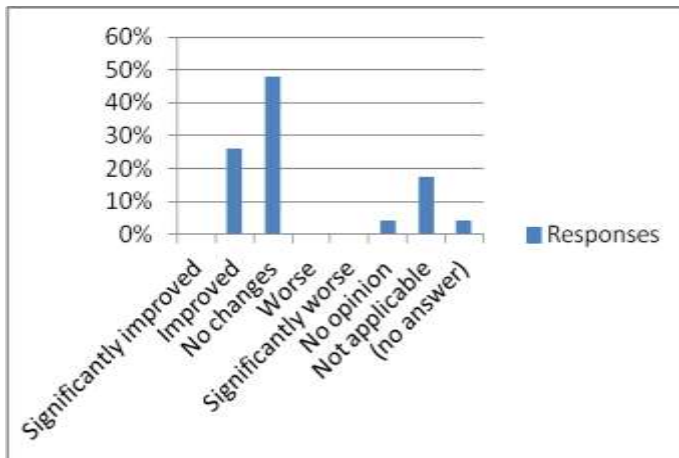
72. Has the streamlining of reporting protected human health and the environment for the promotion of sustainable development?



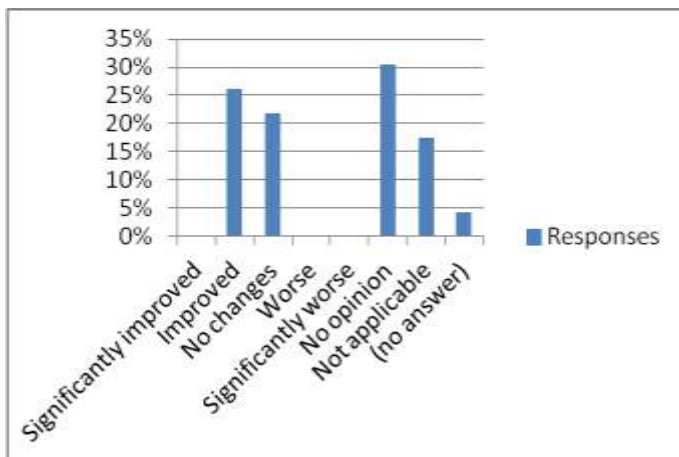
2.2. [Compliance/non-compliance mechanisms](#)

(a) Proposal for enhancing coordination among and support to compliance/non-compliance mechanisms once they are established

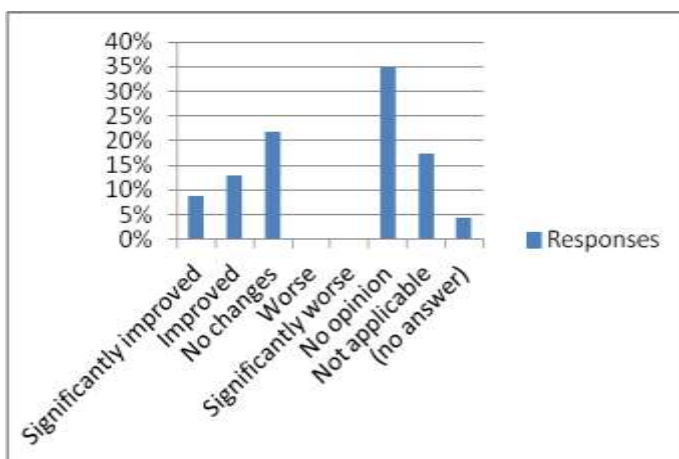
73. Has this proposal strengthened the implementation of the three conventions at the national level?



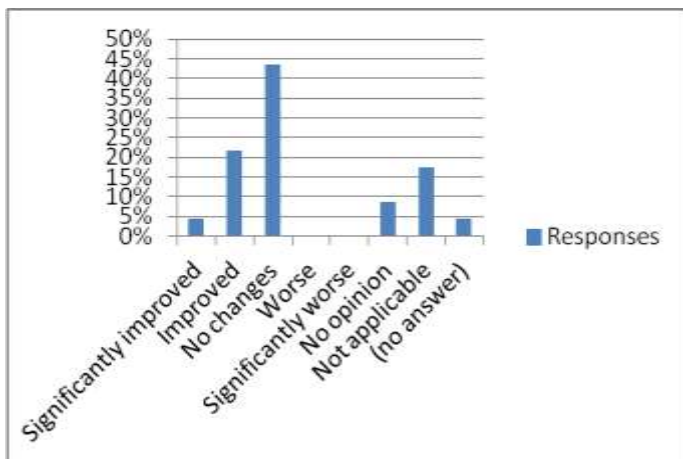
74. Has this proposal strengthened the implementation of the three conventions at the regional level?



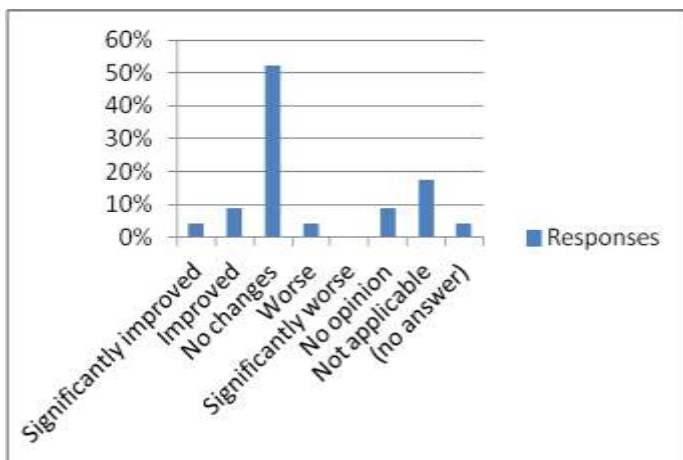
75. Has this proposal strengthened the implementation of the three conventions at the global level?



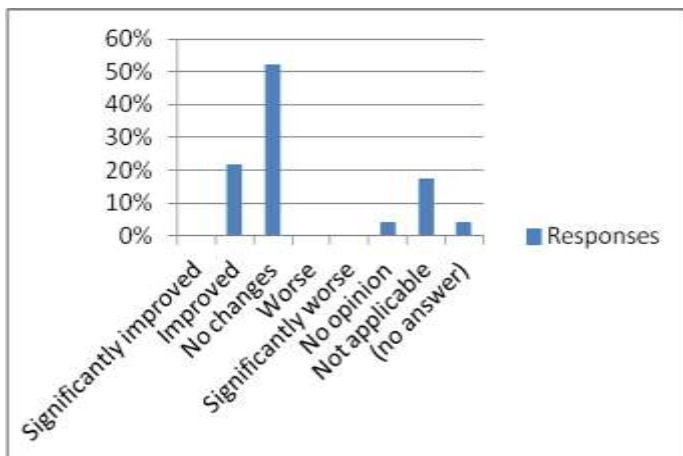
76. Has this proposal promoted coherent policy guidance?



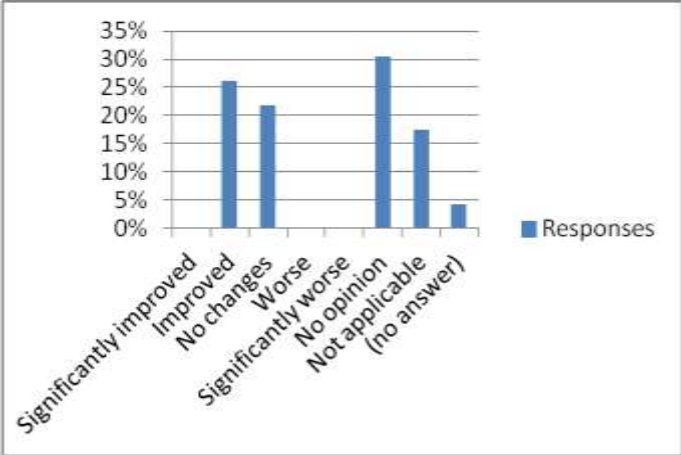
77. Has this proposal reduced administrative burden?



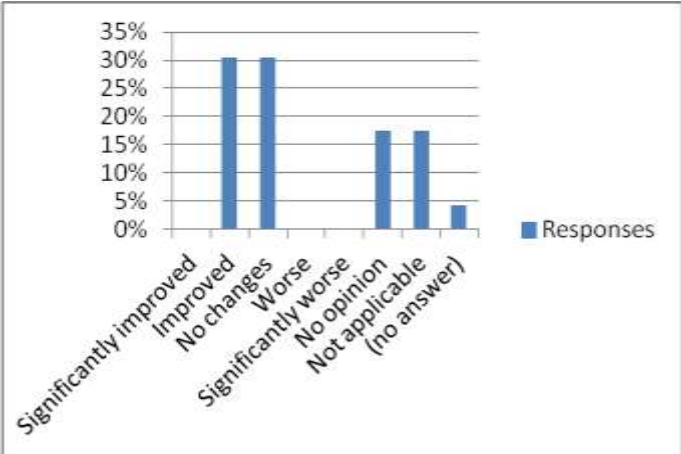
78. Has this proposal maximized the effective and efficient use of resources at all levels?



79. Has this proposal taken into account global concerns and the specific needs of developing countries and countries with economies in transition?

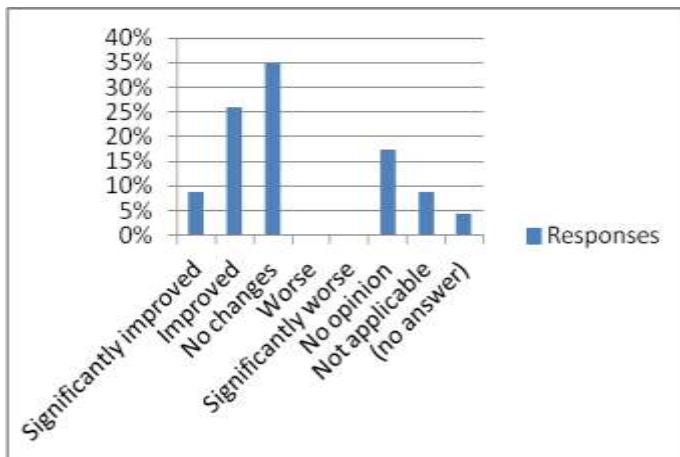


80. Has this proposal protected human health and the environment for the promotion of sustainable development?

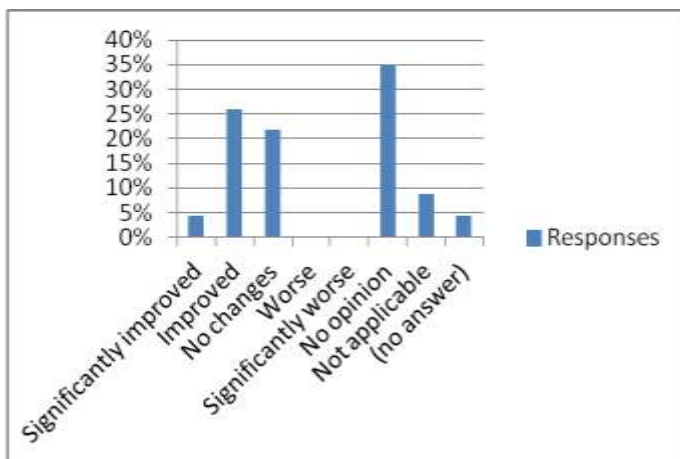


(b) Exchange of information between the Secretariats on progress made on the operation and establishment of the compliance/non-compliance mechanisms

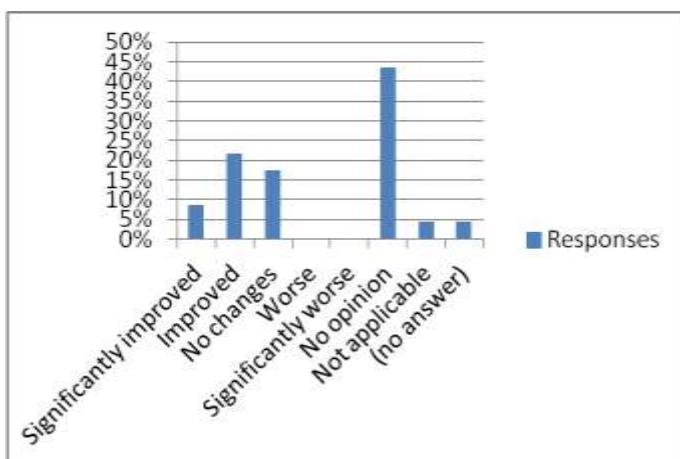
81. Has this exchange of information between the Secretariats strengthened the implementation of the three conventions at the national level?



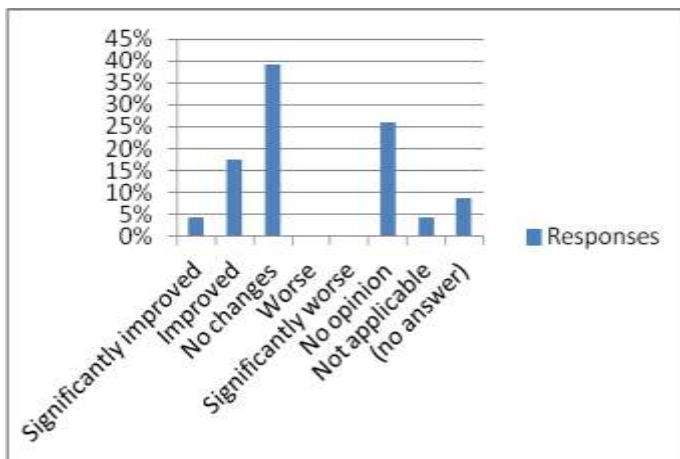
82. Has this exchange of information between the Secretariats strengthened the implementation of the three conventions at the regional level?



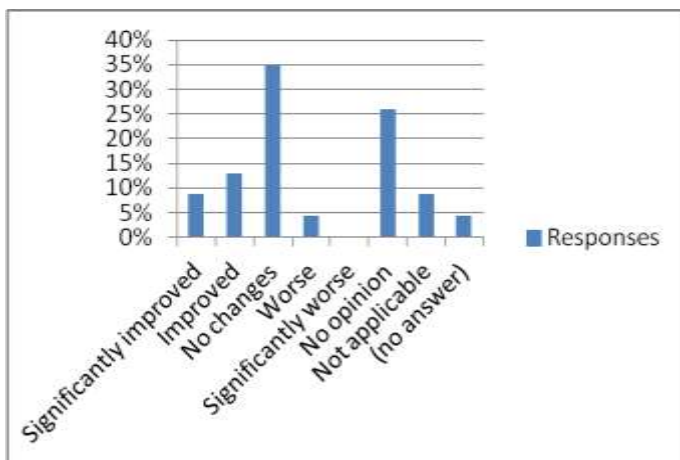
83. Has this exchange of information between the Secretariats strengthened the implementation of the three conventions at the global level?



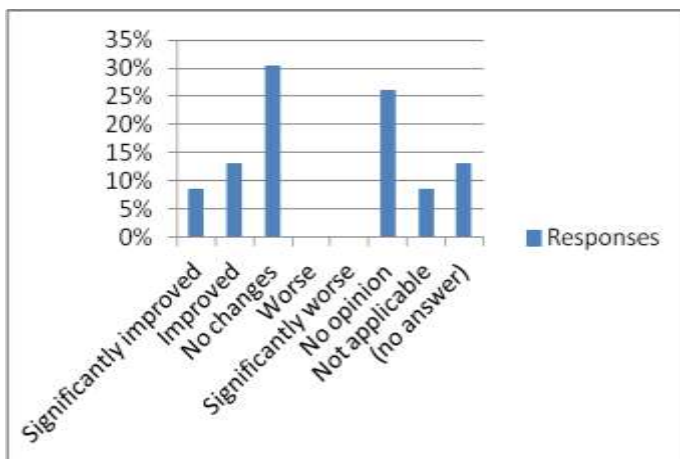
84. Has this exchange of information between the Secretariats promoted coherent policy guidance?



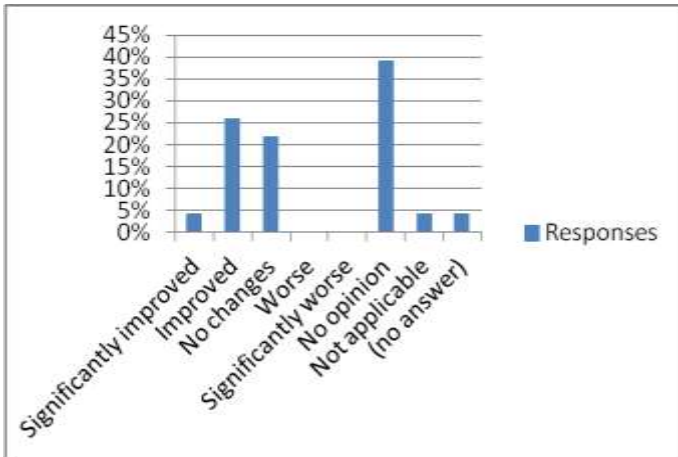
85. Has this exchange of information between the Secretariats reduced administrative burden?



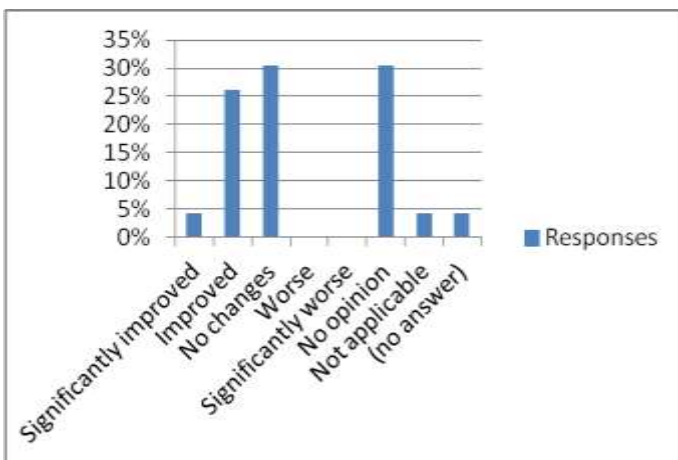
86. Has this exchange of information between the Secretariats maximized the effective and efficient use of resources at all levels?



87. Has this exchange of information between the Secretariats taken into account global concerns and the specific needs of developing countries and countries with economies in transition?



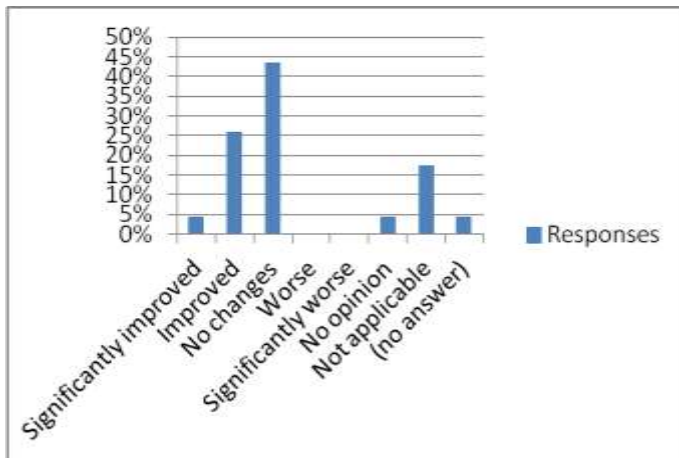
88. Has this exchange of information between the Secretariats protected human health and the environment for the promotion of sustainable development?



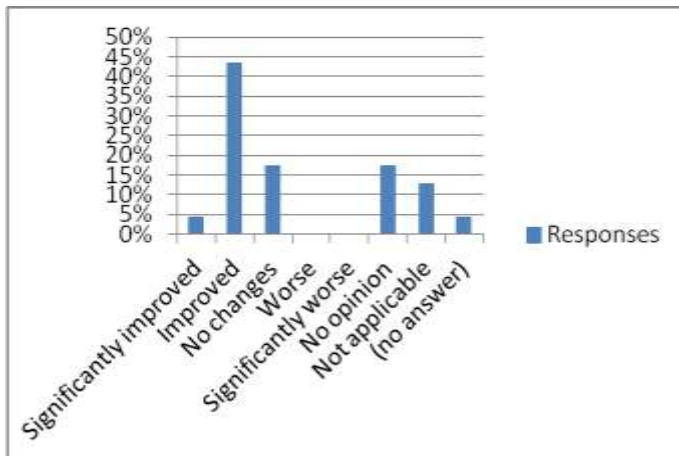
2.3 Cooperation on technical and scientific issues

(a) Support the work of and coordination between scientific bodies

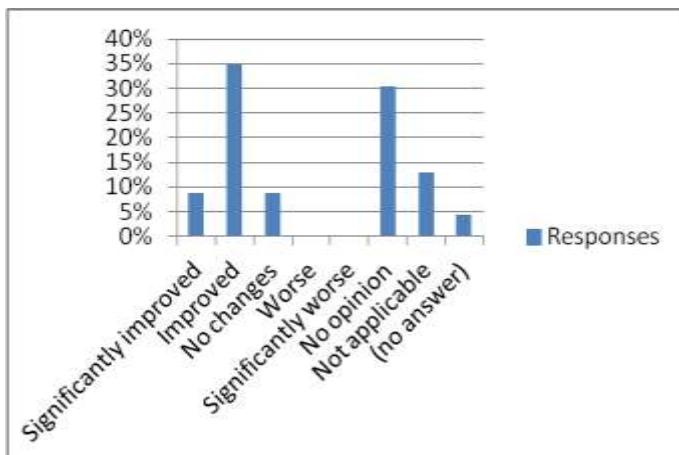
89. Has the support to the work of and the coordination between scientific bodies strengthened the implementation of the three conventions at the national level?



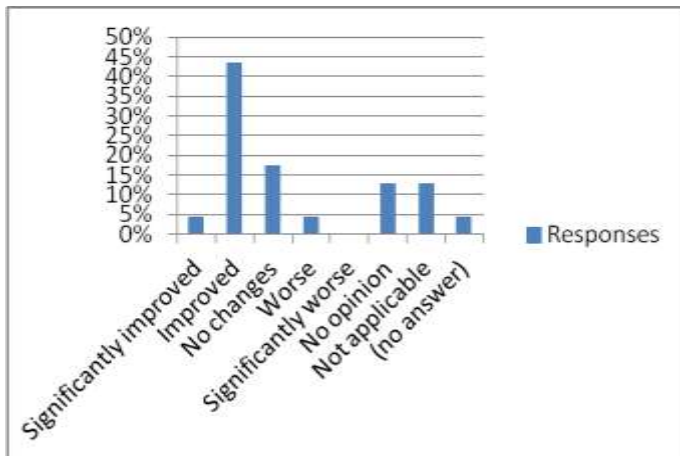
90. Has the support to the work of and the coordination between scientific bodies strengthened the implementation of the three conventions at the regional level?



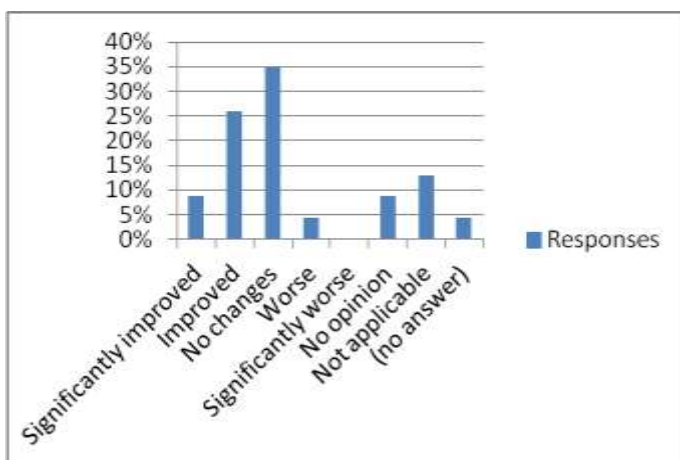
91. Has the support to the work of and the coordination between scientific bodies strengthened the implementation of the three conventions at the global level?



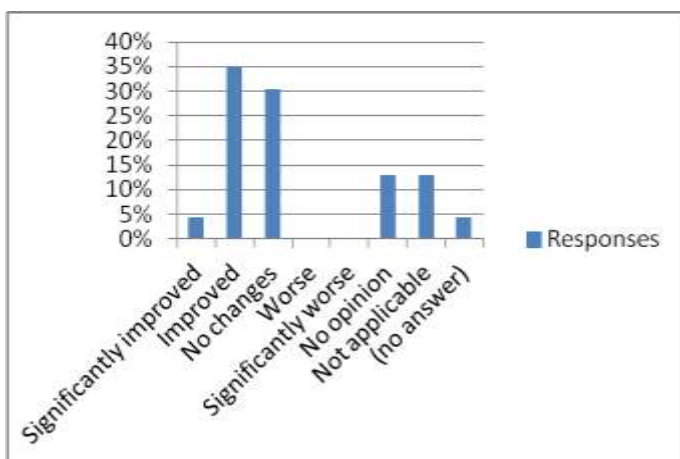
92. Has the support to the work of and the coordination between scientific bodies promoted coherent policy guidance?



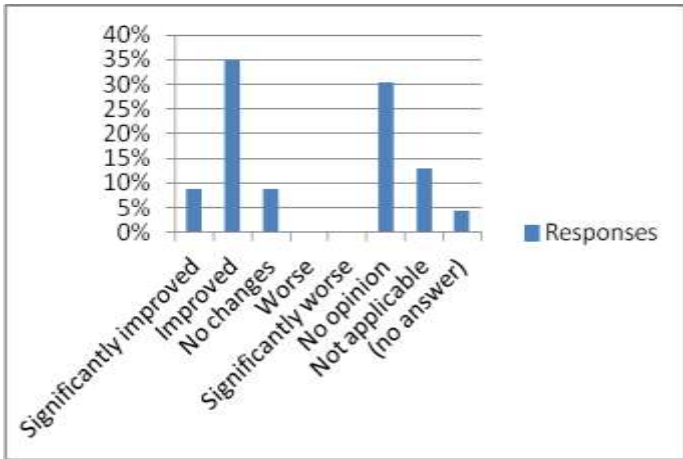
93. Has the support to the work of and the coordination between scientific bodies reduced administrative burden?



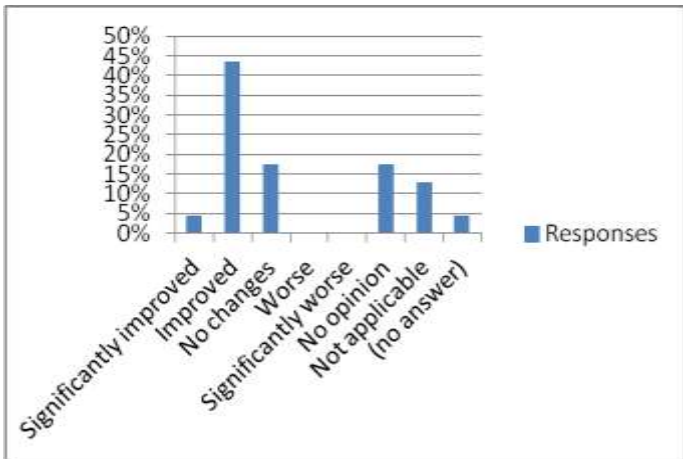
94. Has the support to the work of and the coordination between scientific bodies maximized the effective and efficient use of resources at all levels?



95. Has the support to the work of and the coordination between scientific bodies taken into account global concerns and the specific needs of developing countries and countries with economies in transition?

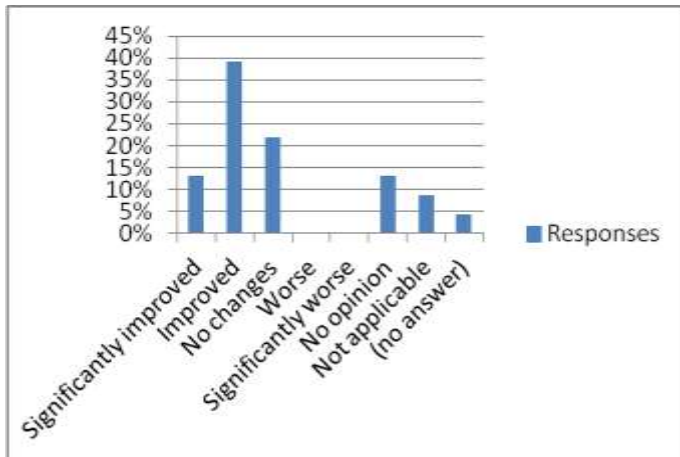


96. Has the support to the work of and the coordination between scientific bodies protected human health and the environment for the promotion of sustainable development?

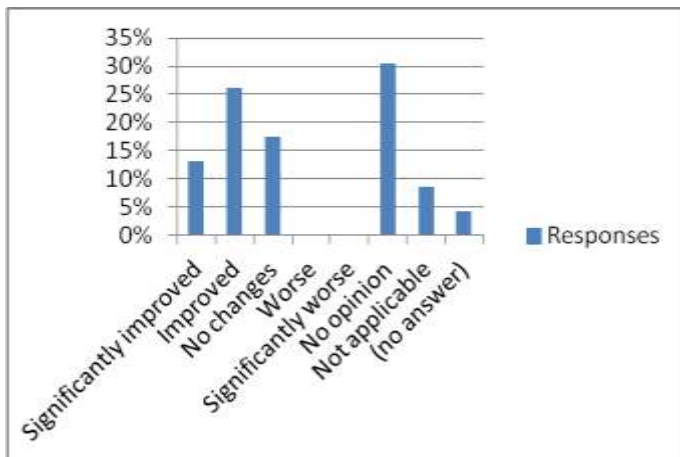


(b) Support to Parties' implementation of the lifecycle approach: update of technical guidelines on POPs wastes

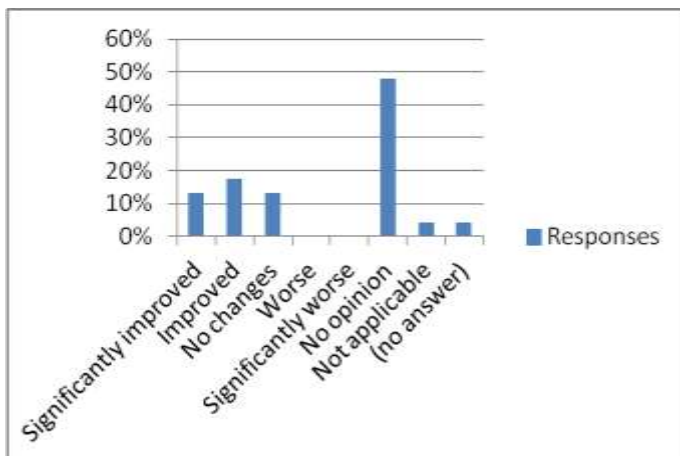
97. Has the support to Parties' implementation of the lifecycle approach strengthened the implementation of the three conventions at the national level?



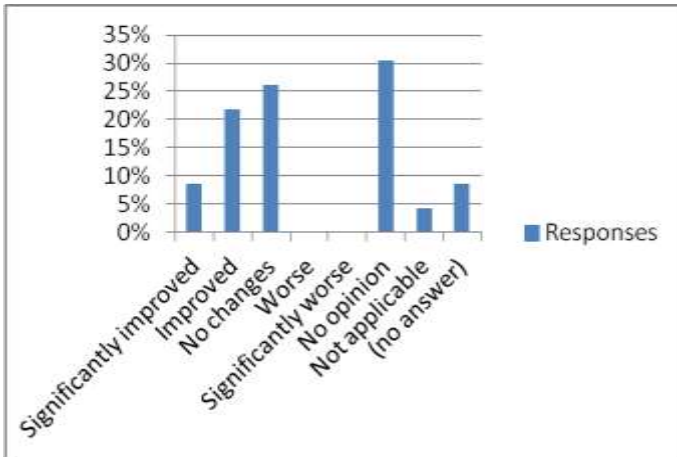
98. Has the support to Parties' implementation of the lifecycle approach strengthened the implementation of the three conventions at the regional level?



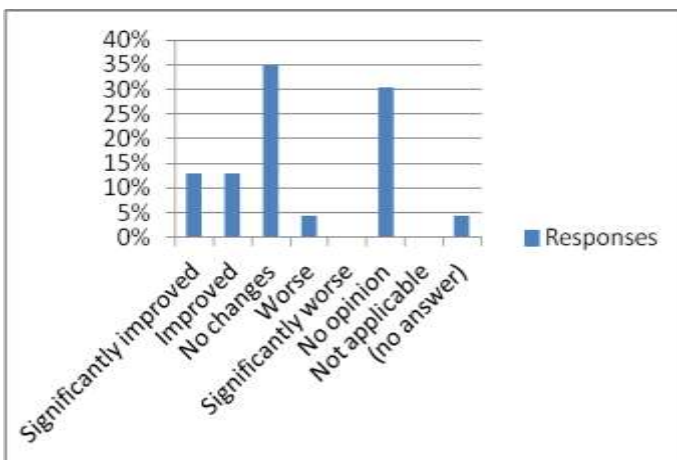
99. Has the support to Parties' implementation of the lifecycle approach strengthened the implementation of the three conventions at the global level?



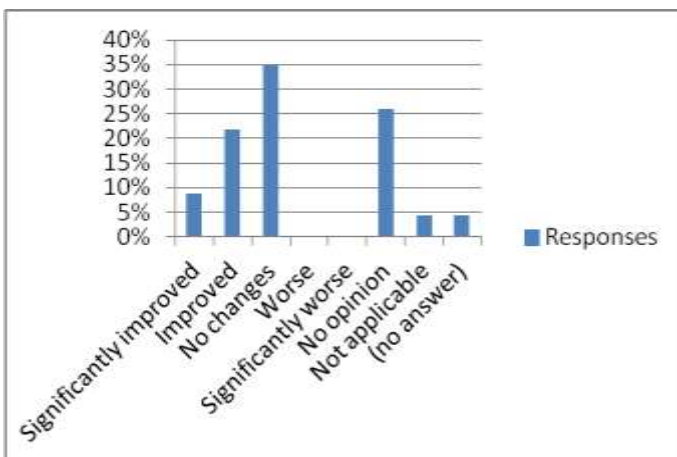
100. Has the support to Parties' implementation of the lifecycle approach promoted coherent policy guidance?



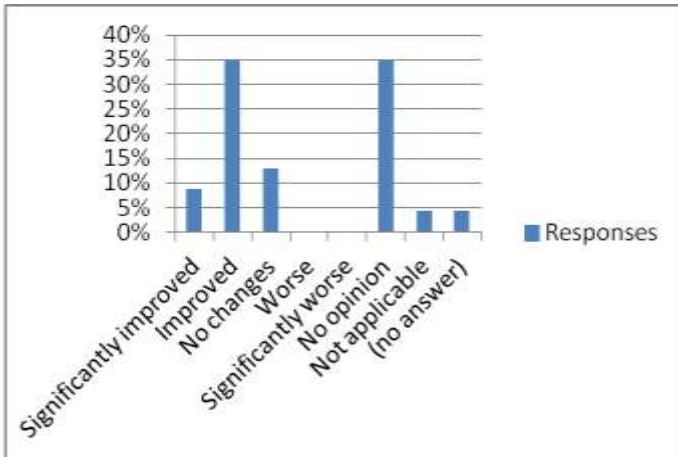
101. Has the support to Parties' implementation of the lifecycle approach reduced administrative burden?



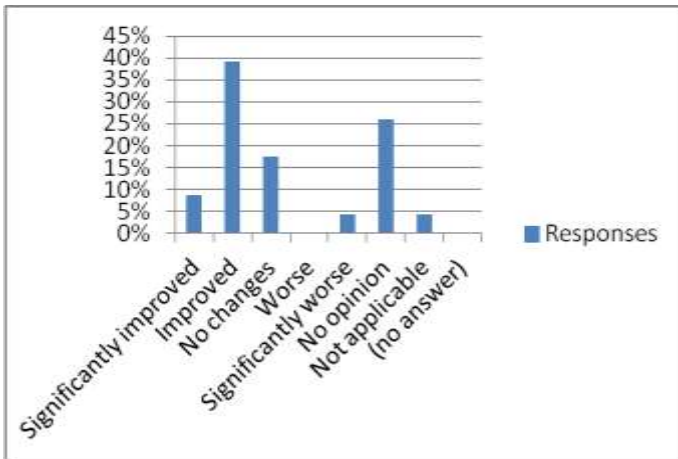
102. Has the support to Parties' implementation of the lifecycle approach maximized the effective and efficient use of resources at all levels?



103. Has the support to Parties' implementation of the lifecycle approach taken into account global concerns and the specific needs of developing countries and countries with economies in transition?



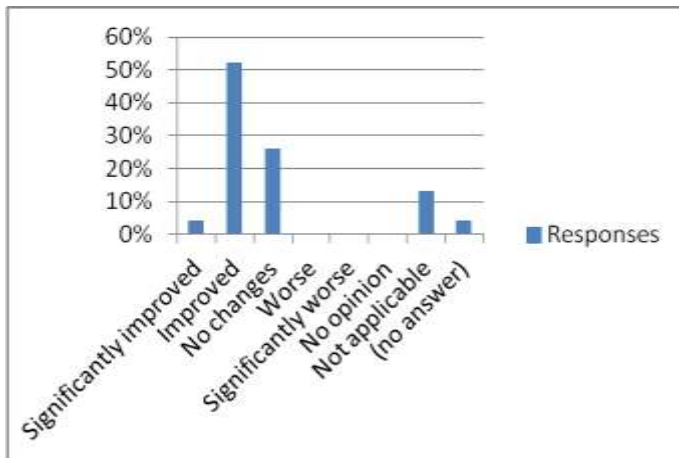
104. Has the support to Parties' implementation of the lifecycle approach protected human health and the environment for the promotion of sustainable development?



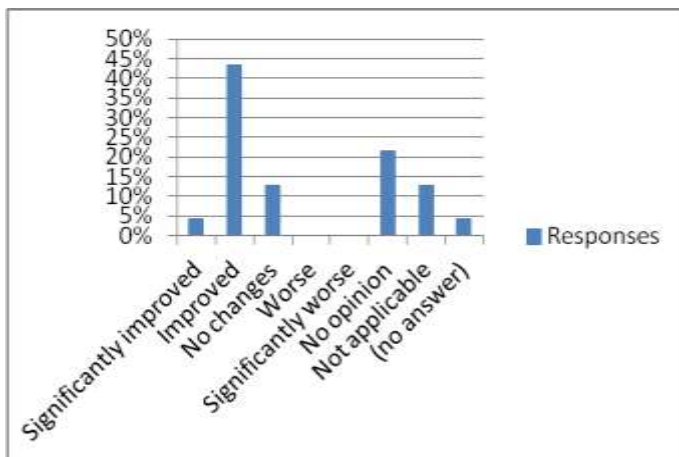
3. Information management and public awareness issues

3.1 Clearing-house mechanism for information exchange

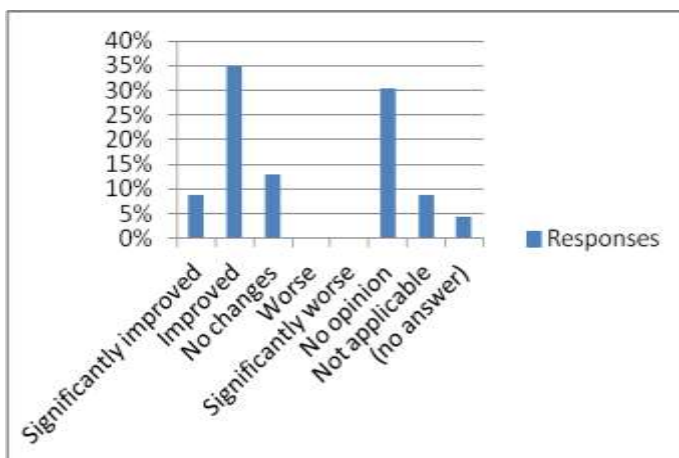
105. Has the clearing-house mechanism strengthened the implementation of the three conventions at the national level?



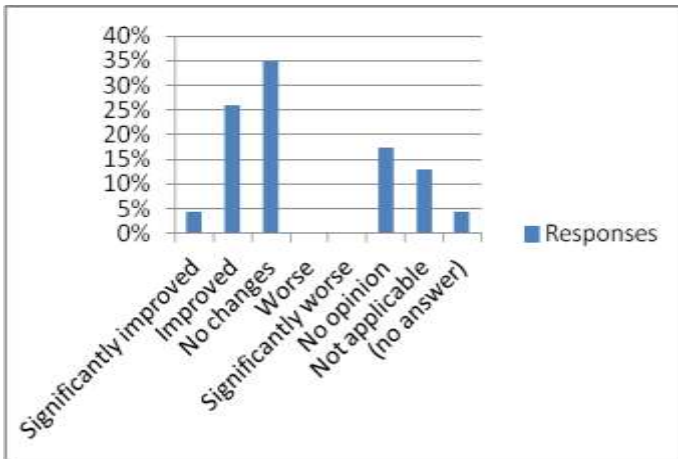
106. Has the clearing-house mechanism strengthened the implementation of the three conventions at the regional level?



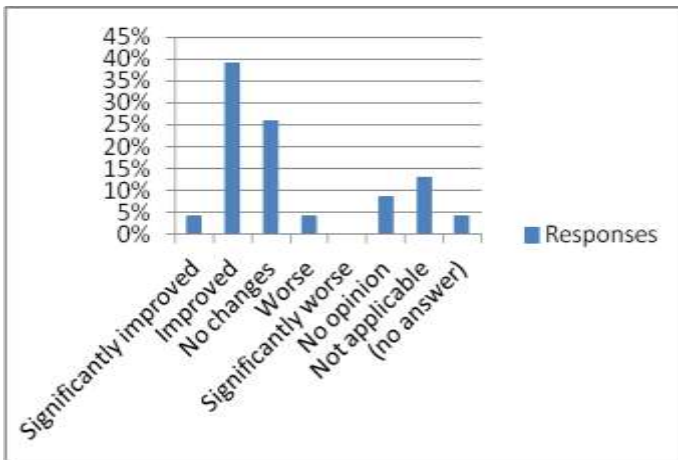
107. Has the clearing-house mechanism strengthened the implementation of the three conventions at the global level?



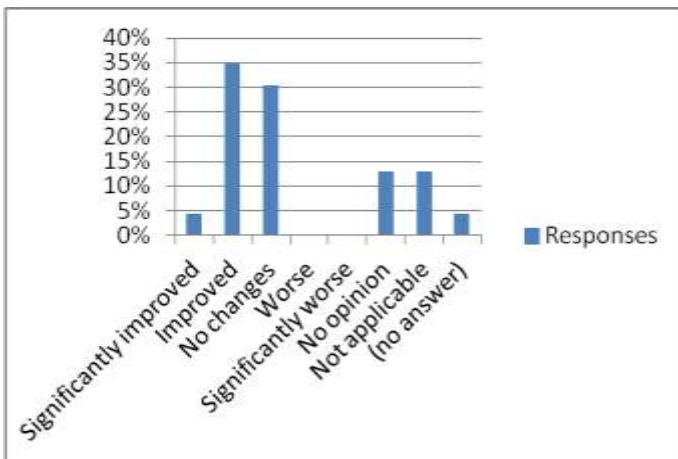
108. Has the clearing-house mechanism promoted coherent policy guidance?



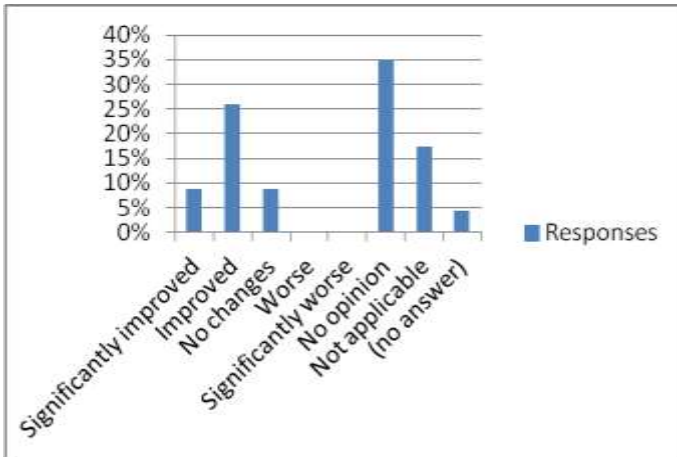
109. Has the clearing-house mechanism reduced administrative burden?



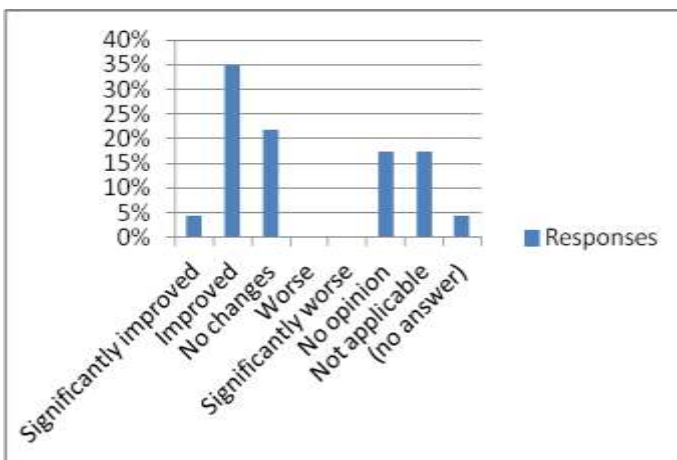
110. Has the clearing-house mechanism maximized the effective and efficient use of resources at all levels?



111. Has the clearing-house mechanism taken into account global concerns and the specific needs of developing countries and countries with economies in transition?



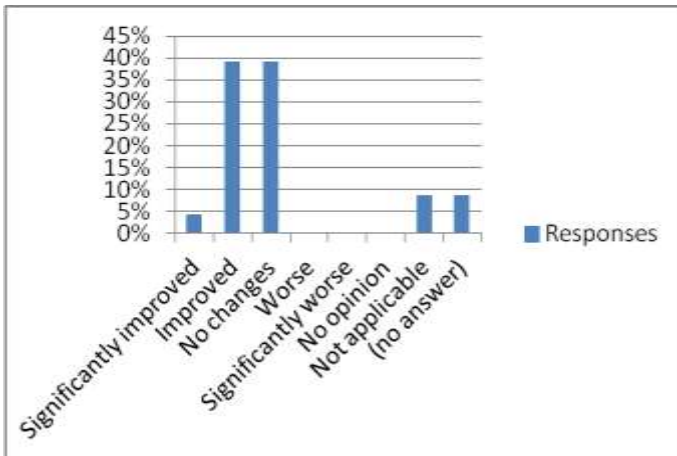
112. Has the clearing-house mechanism protected human health and the environment for the promotion of sustainable development?



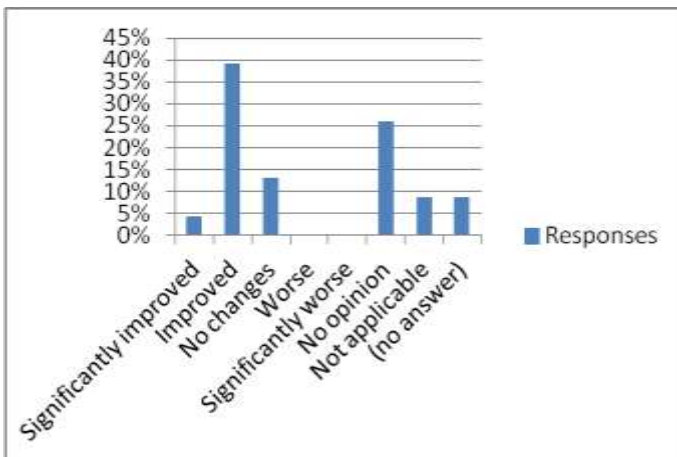
3.2. Public awareness, outreach and publications

(a) Joint outreach and public awareness

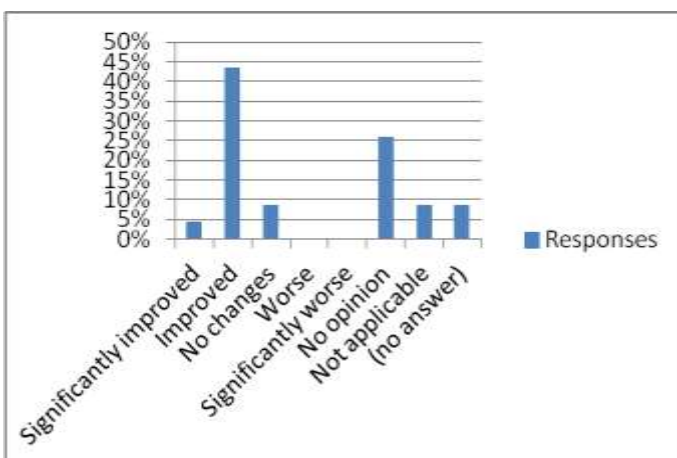
113. Has joint outreach and public awareness strengthened the implementation of the three conventions at the national level?



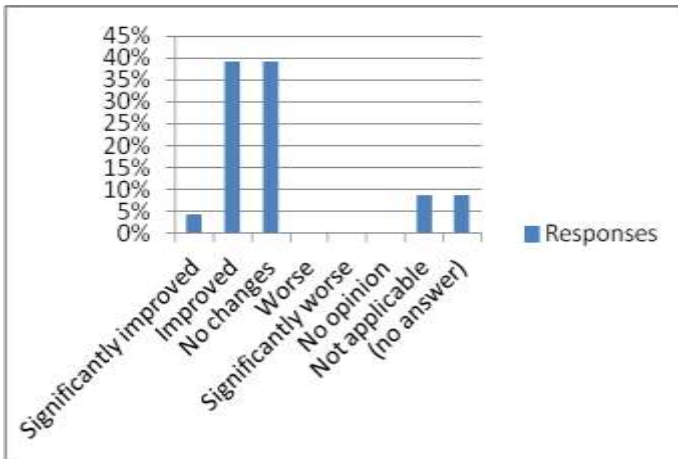
114. Has joint outreach and public awareness strengthened the implementation of the three conventions at the regional level?



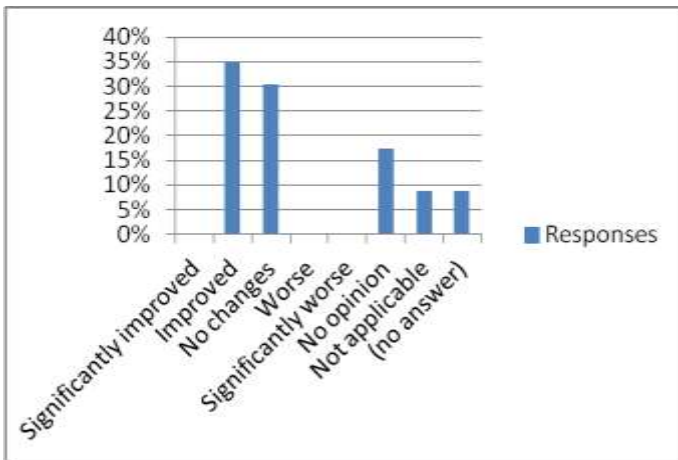
115. Has joint outreach and public awareness strengthened the implementation of the three conventions at the global level?



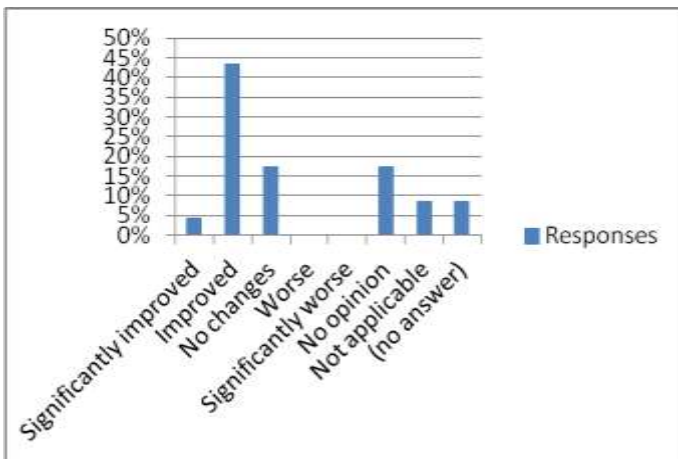
116. Has joint outreach and public awareness promoted coherent policy guidance?



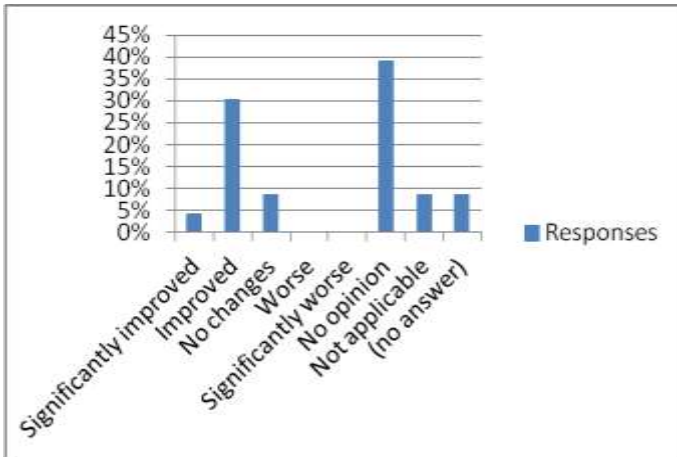
117. Has joint outreach and public awareness reduced administrative burden?



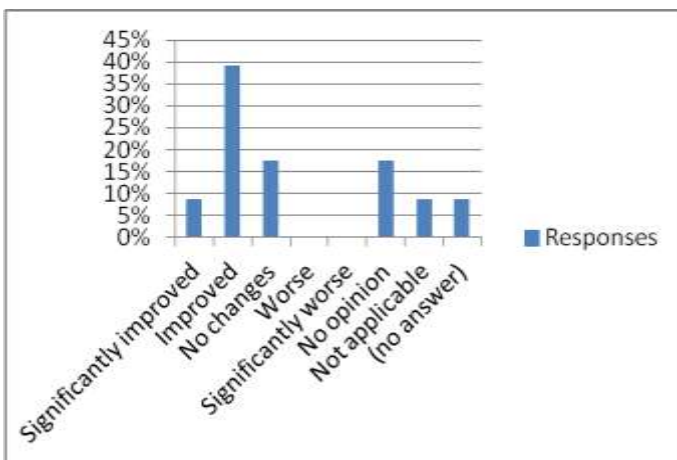
118. Has joint outreach and public awareness maximized the effective and efficient use of resources at all levels?



119. Has joint outreach and public awareness taken into account global concerns and the specific needs of developing countries and countries with economies in transition?

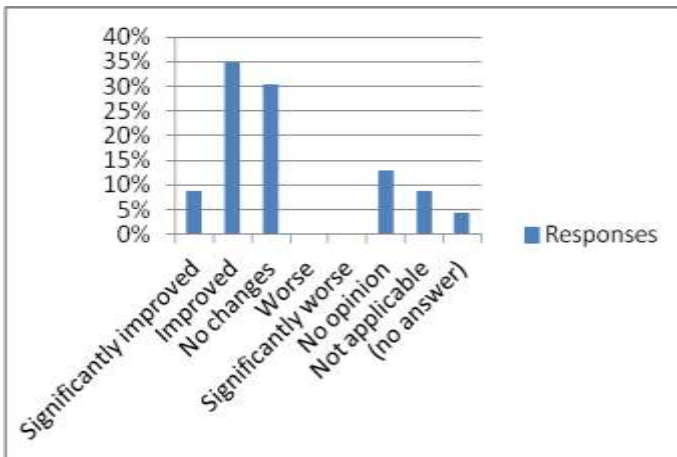


120. Has joint outreach and public awareness protected human health and the environment for the promotion of sustainable development?

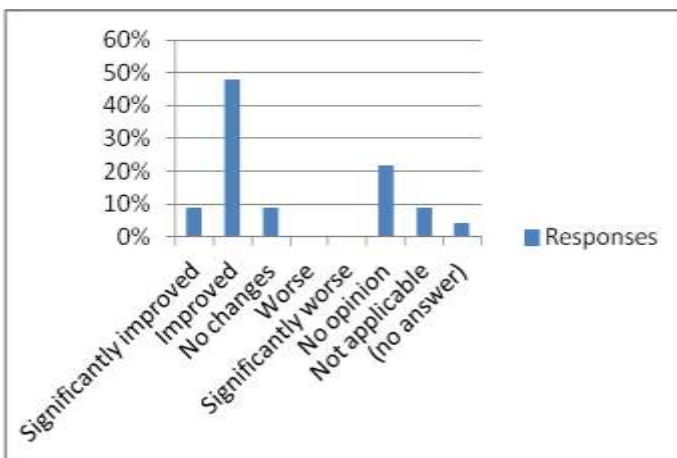


(b) Publications

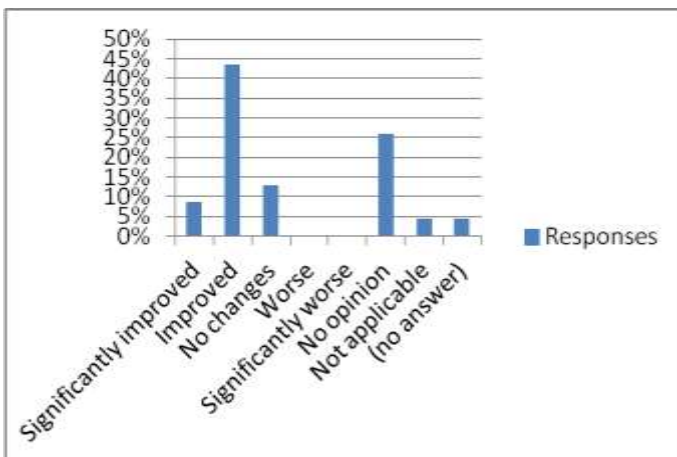
121. Have publications strengthened the implementation of the three conventions at the national level?



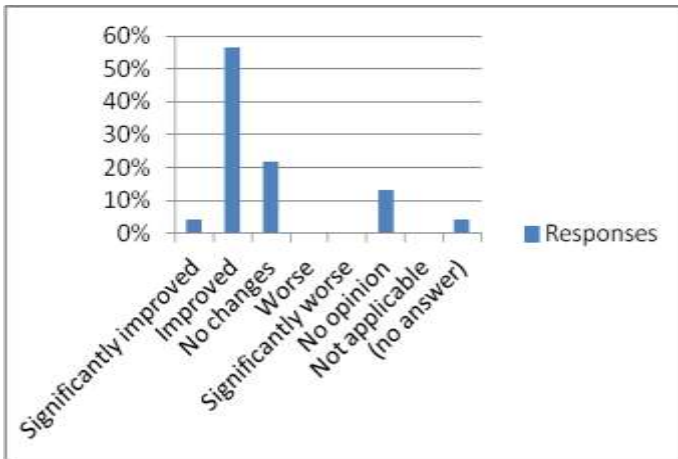
122. Have publications strengthened the implementation of the three conventions at the regional level?



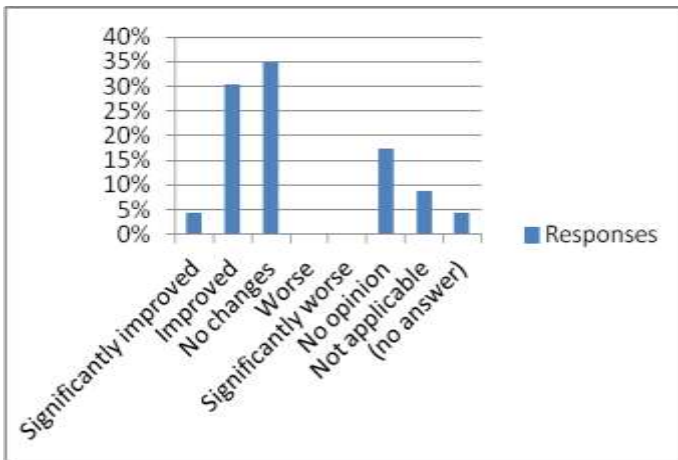
123. Have publications strengthened the implementation of the three conventions at the global level?



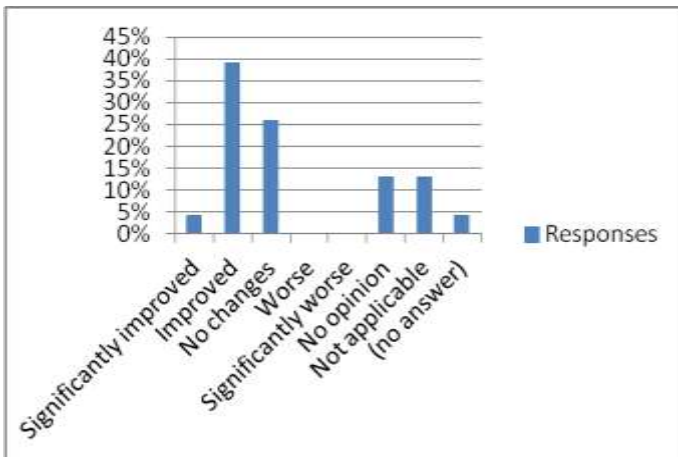
124. Have publications promoted coherent policy guidance?



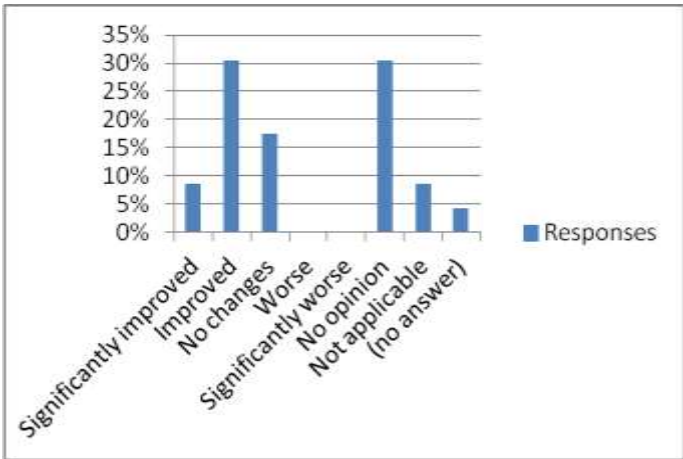
125. Have publications reduced administrative burden?



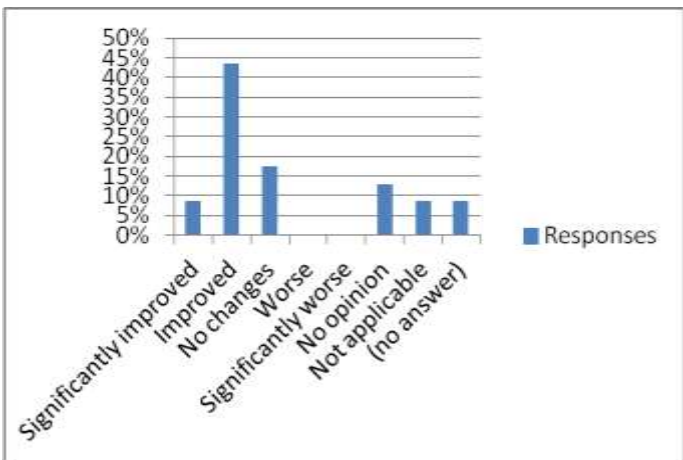
126. Have publications maximized the effective and efficient use of resources at all levels?



127. Have publications taken into account global concerns and the specific needs of developing countries and countries with economies in transition?

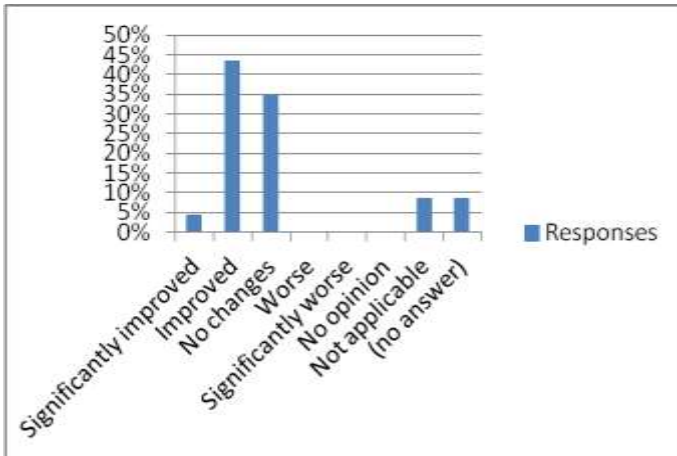


128. Have publications protected human health and the environment for the promotion of sustainable development?

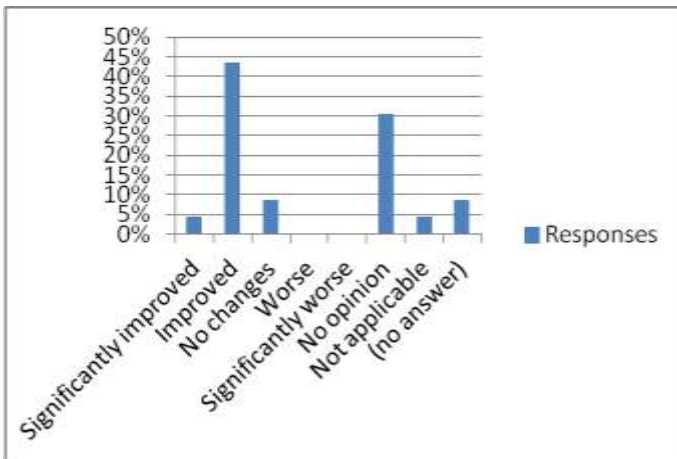


3.3 Joint input into other processes and cooperation with organizations and networks

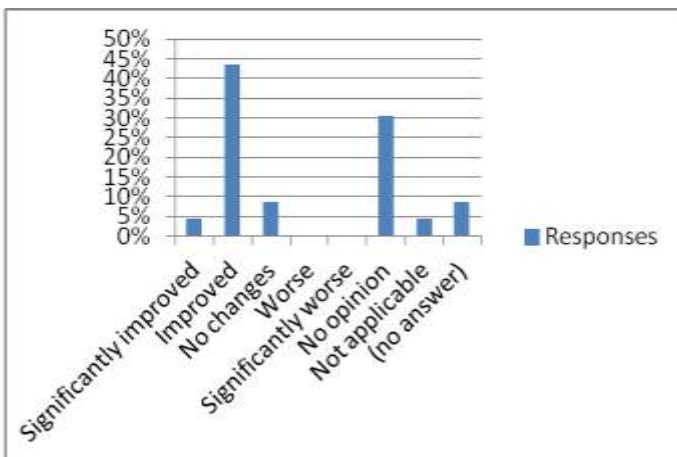
129. Has the joint input into other processes and cooperation with organizations and networks strengthened the implementation of the three conventions at the national level?



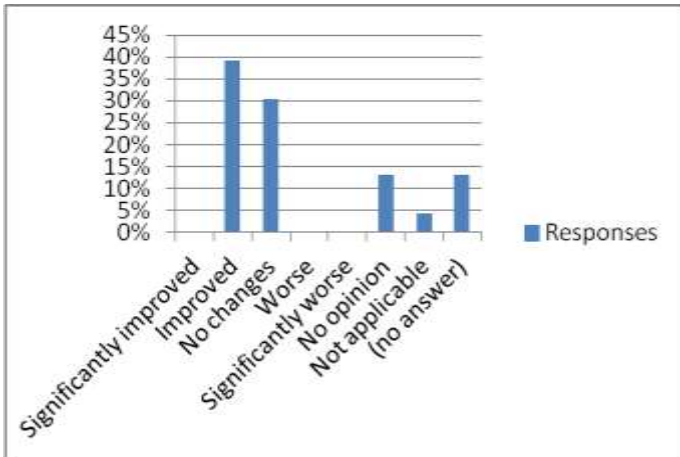
130. Has the joint input into other processes and cooperation with organizations and networks strengthened the implementation of the three conventions at the regional level?



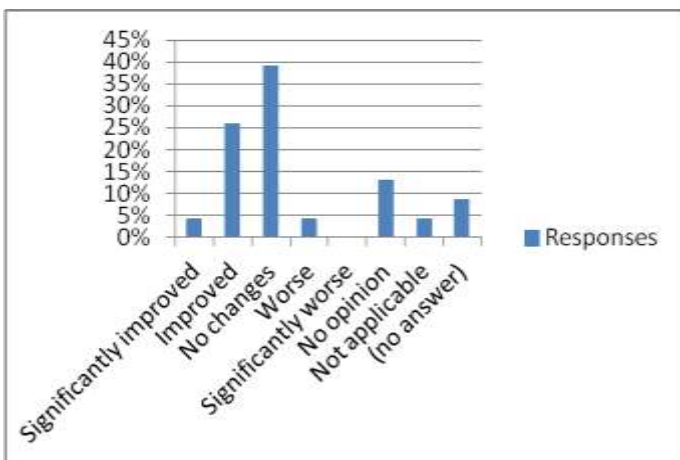
131. Has the joint input into other processes and cooperation with organizations and networks strengthened the implementation of the three conventions at the global level?



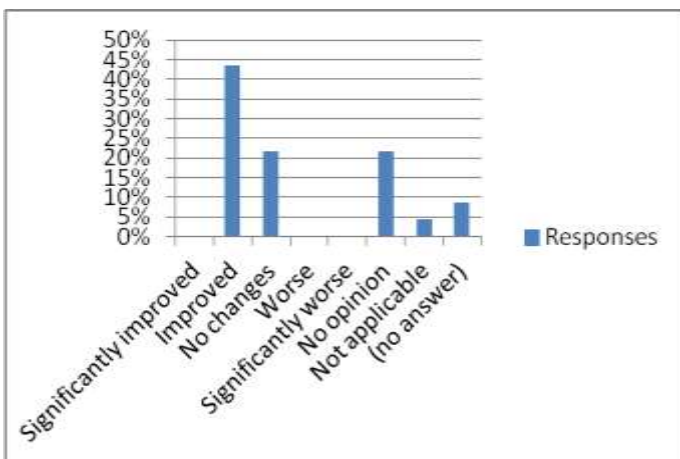
132. Has the joint input into other processes and cooperation with organizations and networks promoted coherent policy guidance?



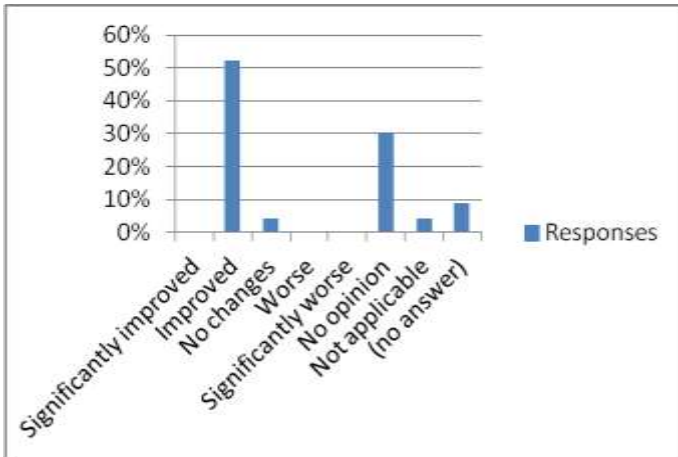
133. Has the joint input into other processes and cooperation with organizations and networks reduced administrative burden?



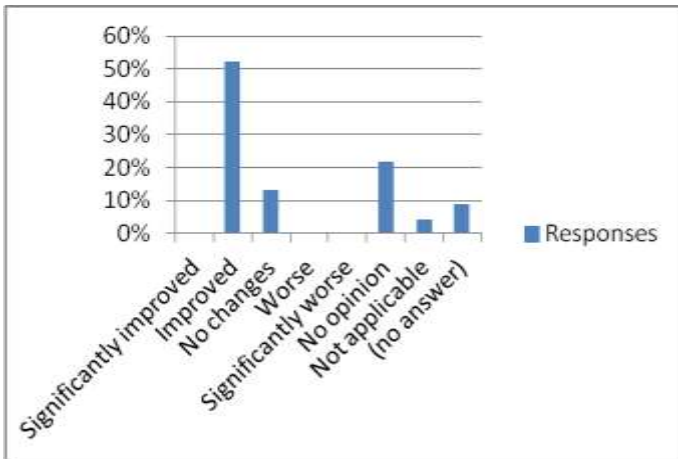
134. Has the joint input into other processes and cooperation with organizations and networks maximized the effective and efficient use of resources at all levels?



135. Has the joint input into other processes and cooperation with organizations and networks taken into account global concerns and the specific needs of developing countries and countries with economies in transition?

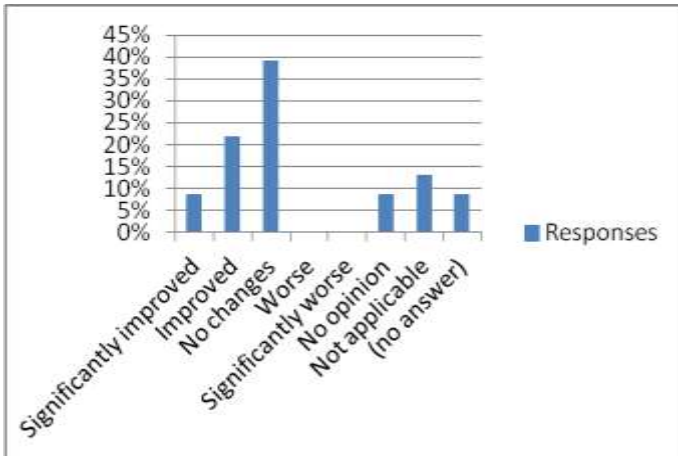


136. Has the joint input into other processes and cooperation with organizations and networks protected human health and the environment for the promotion of sustainable development?

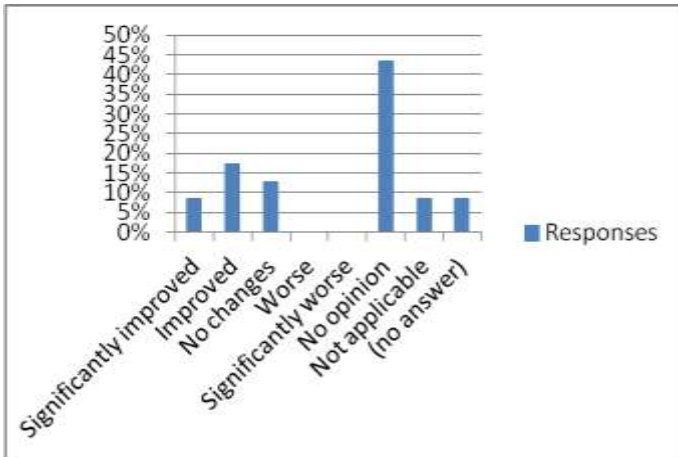


4. Resource mobilization

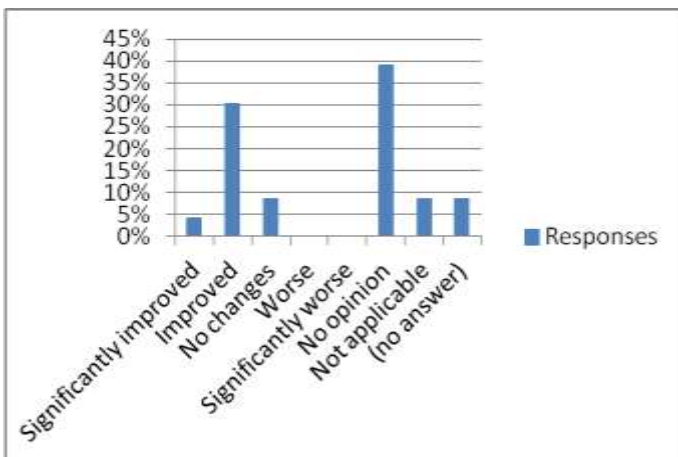
137. Has resource mobilization strengthened the implementation of the three conventions at the national level?



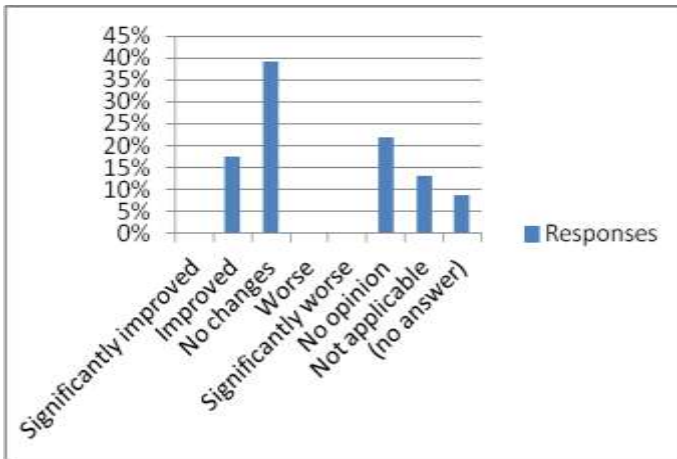
138. Has resource mobilization strengthened the implementation of the three conventions at the regional level?



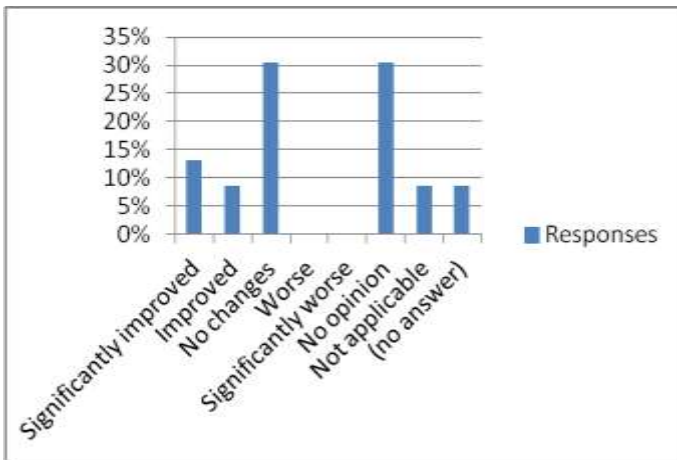
139. Has resource mobilization strengthened the implementation of the three conventions at the global level?



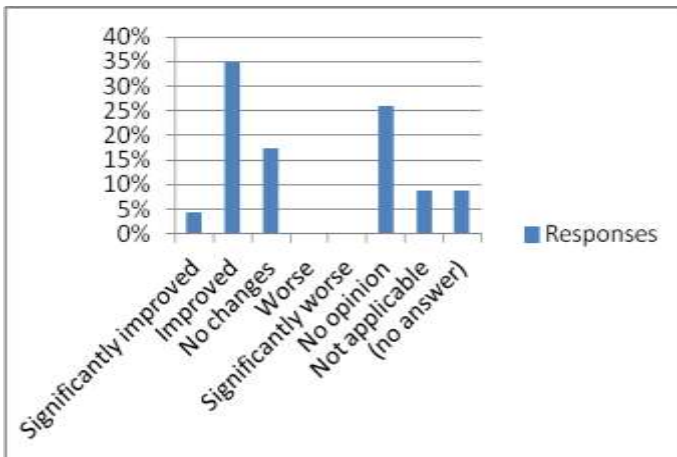
140. Has resource mobilization promoted coherent policy guidance?



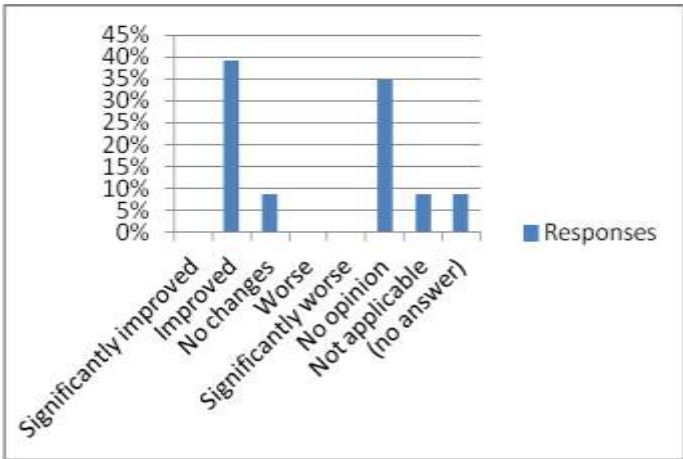
141. Has resource mobilization reduced administrative burden?



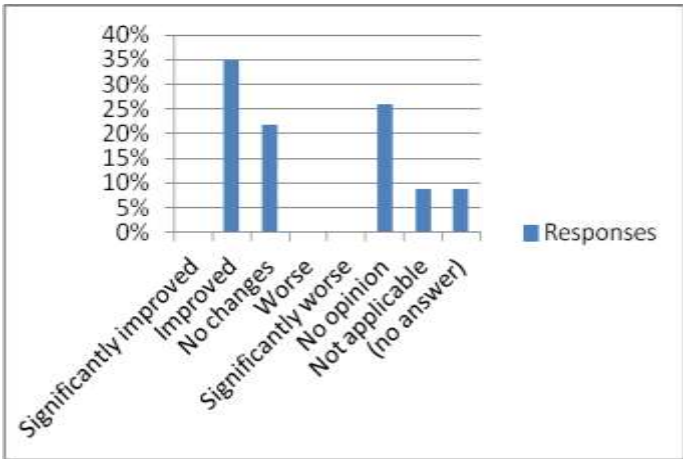
142. Has resource mobilization maximized the effective and efficient use of resources at all levels?



143. Has resource mobilization taken into account global concerns and the specific needs of developing countries and countries with economies in transition?



144. Has resource mobilization protected human health and the environment for the promotion of sustainable development?



SECTION A: SECRETARIAT'S QUESTIONNAIRE

PART I – INFORMATION ON THE SECRETARIAT'S JOINT ACTIVITIES

145. Do you have any additional information or comments on the challenges or obstacles experienced in the achievement of the synergies' objectives through joint activities?

Note: The information or comments provided by Parties are included in the compilation of responses received by the Secretariat.

146. Do you have any specific or general recommendations to parties, the secretariats, UNEP, FAO and other institutions and stakeholders as appropriate, on steps that could be taken to make the synergies arrangements, in particular on joint activities, better contribute to the synergies' objectives?

Note: The recommendations made by Parties are included in the compilation of responses received by the Secretariat.

PART II – INFORMATION ON JOINT MANAGERIAL FUNCTIONS

Introduction

By the [synergies decisions](#), the respective conferences of the Parties to the Basel, Rotterdam and Stockholm conventions invited the Executive Director of UNEP, in consultation with the Director General of FAO, to establish joint management involving the Executive Secretaries of the Basel, Rotterdam and Stockholm conventions for joint services and joint activities through, for example, a system of rotating management or the assignment of individual joint services to a particular convention. The synergies decisions also invited the Executive Director of UNEP, in consultation with the Director General of FAO, to explore and assess the feasibility and costs implication of establishing joint management. This matter was considered by the extraordinary meetings of the conferences of the Parties on the basis of a note prepared by the secretariats ([UNEP/FAO/CHW/RC/POPS/EXCOPS.1/3](#)) following what the [2010 omnibus decisions](#) were adopted.

A note on this matter was prepared by the secretariats for the consideration by the three conferences of the Parties at their ordinary meetings in 2011 ([UNEP/CHW.10/27/Add.2](#), [UNEP/FAO/RC/COP.5/25/Add.2](#) and [UNEP/POPS/COP.5/32/Add.2](#)). The respective COPs adopted a decision on this matter ([UNEP/CHW.10/28](#), [UNEP/FAO/RC/COP.5/26](#) and [UNEP/POPS/COP.5/36](#)). Further information on joint managerial functions, including relevant documents submitted to the consideration of the respective conferences of the Parties as well as decisions adopted by the respective conferences of the Parties, can be found on the [joint management page](#).

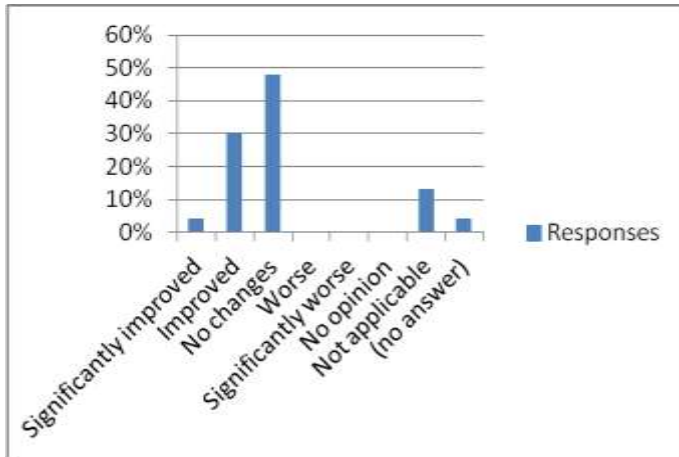
This section of the questionnaires also seeks Parties' view on the associated matters of [financial management](#) and [audit functions](#).

SECTION A: SECRETARIAT'S QUESTIONNAIRE

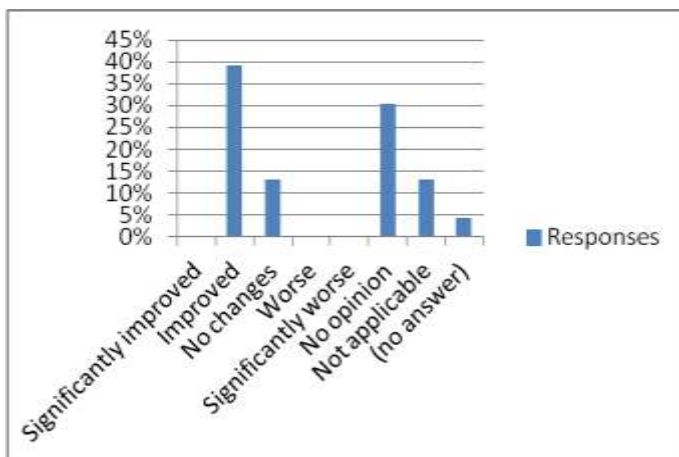
PART II – INFORMATION ON JOINT MANAGERIAL FUNCTIONS

(a) Establishment of a joint management group

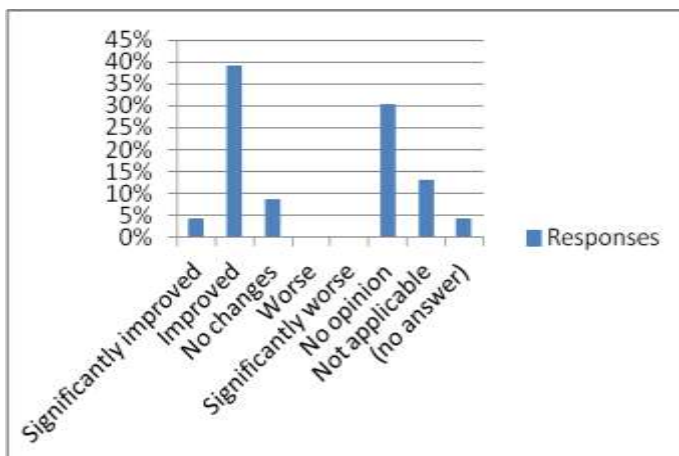
147. Has the establishment of a joint management group strengthened the implementation of the three conventions at the national level?



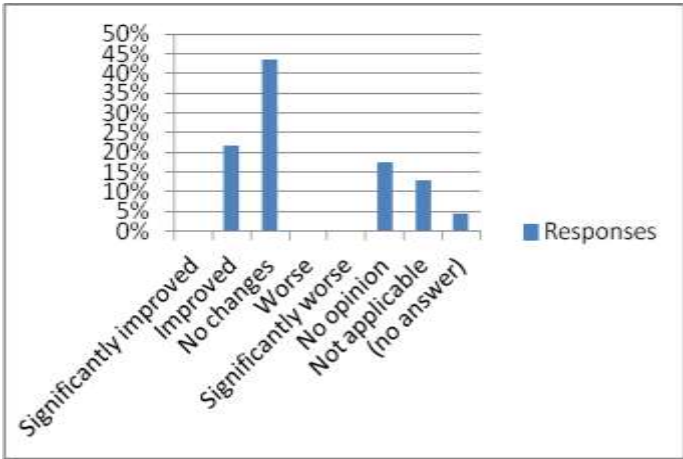
148. Has the establishment of a joint management group strengthened the implementation of the three conventions at the regional level?



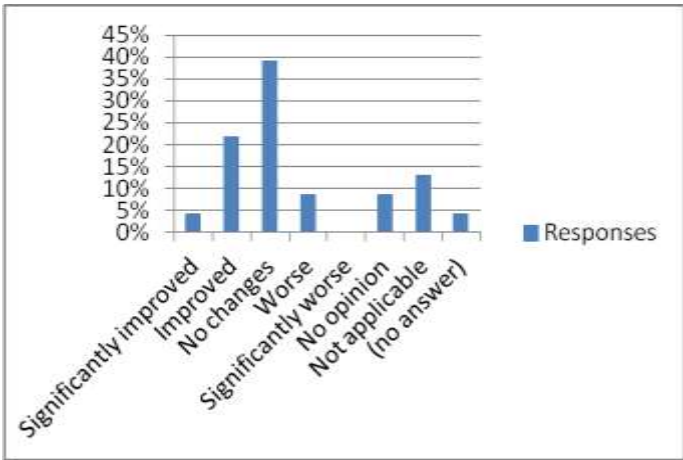
149. Has the establishment of a joint management group strengthened the implementation of the three conventions at the global level?



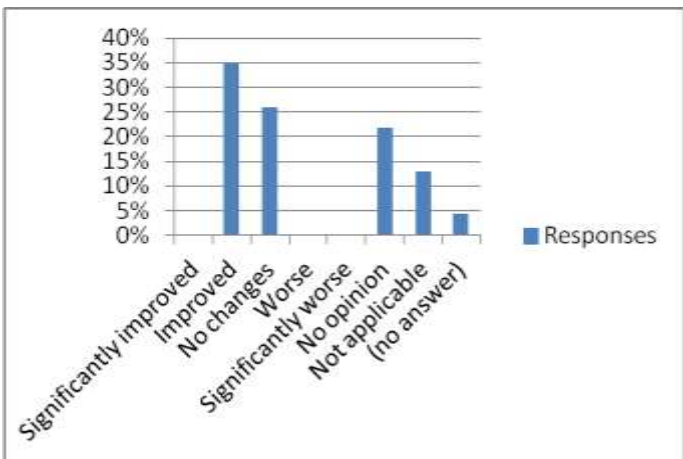
150. Has the establishment of a joint management group promoted coherent policy guidance?



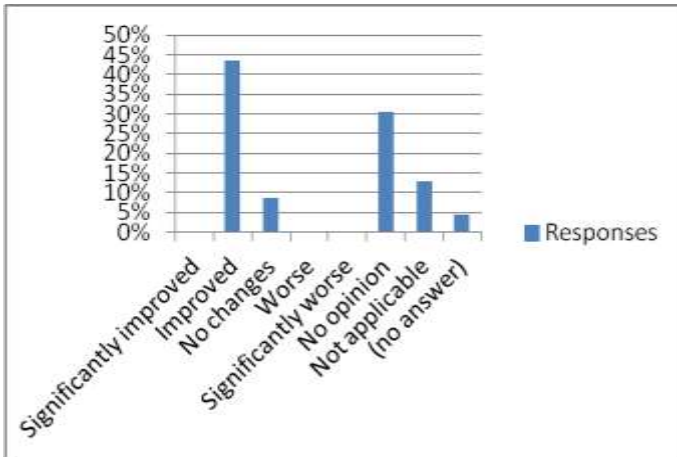
151. Has the establishment of a joint management group reduced administrative burden?



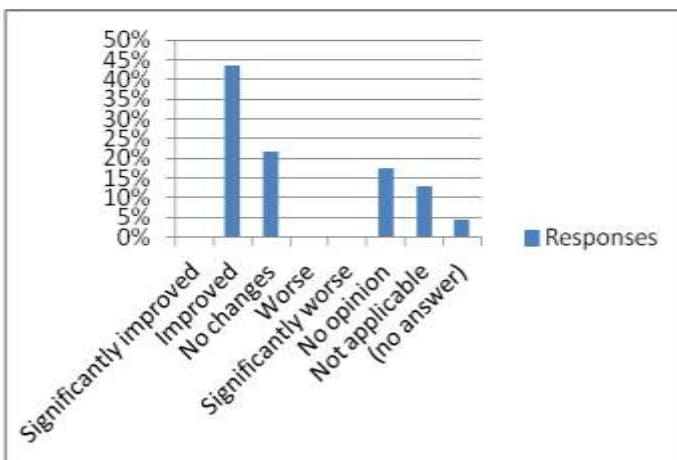
152. Has the establishment of a joint management group maximized the effective and efficient use of resources at all levels?



153. Has the establishment of a joint management group taken into account global concerns and the specific needs of developing countries and countries with economies in transition?

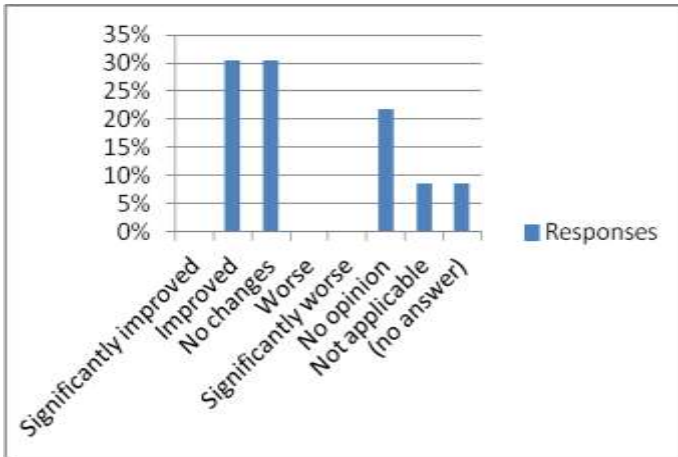


154. Has the establishment of a joint management group protected human health and the environment for the promotion of sustainable development?

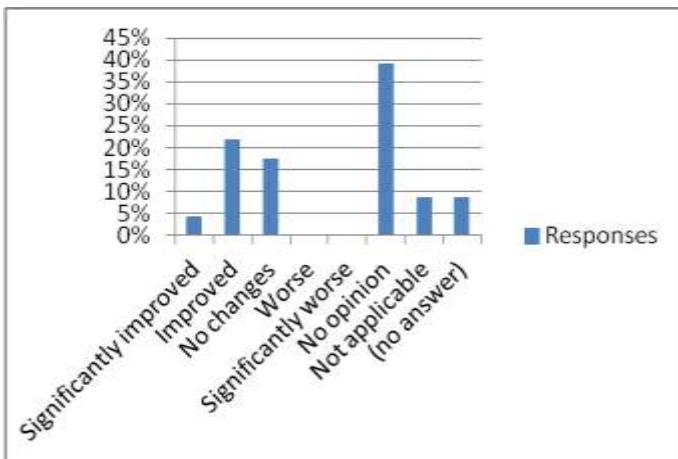


(b) Study on the feasibility and cost implications of establishing joint coordination or a joint head of the secretariats

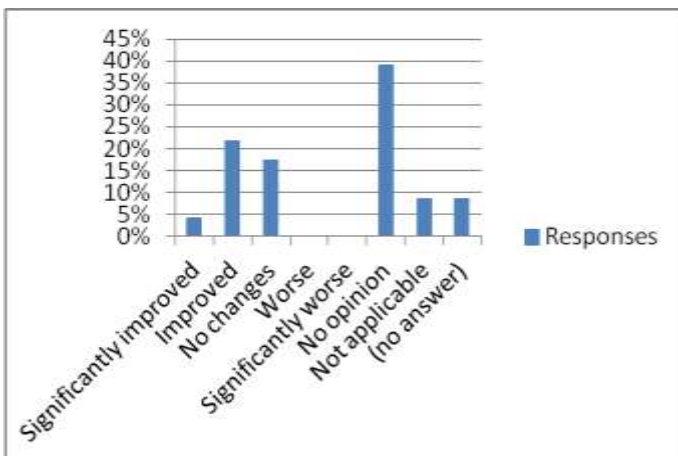
155. Has this study strengthened the implementation of the three conventions at the national level?



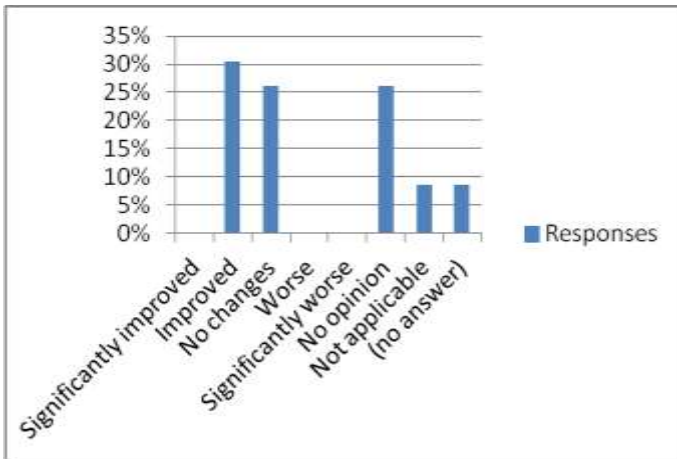
156. Has this study strengthened the implementation of the three conventions at the regional level?



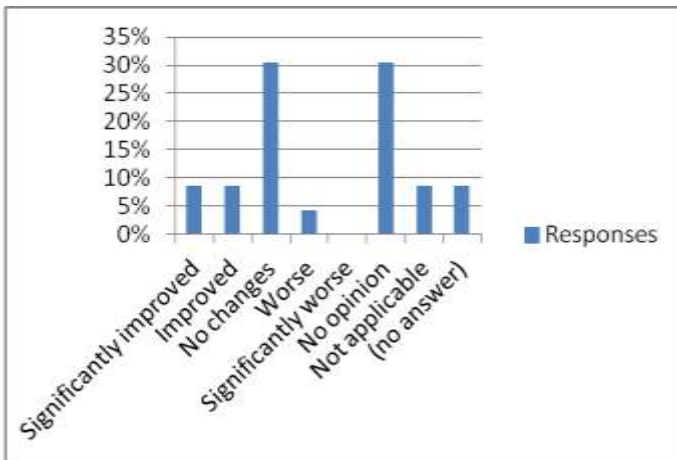
157. Has this study strengthened the implementation of the three conventions at the global level?



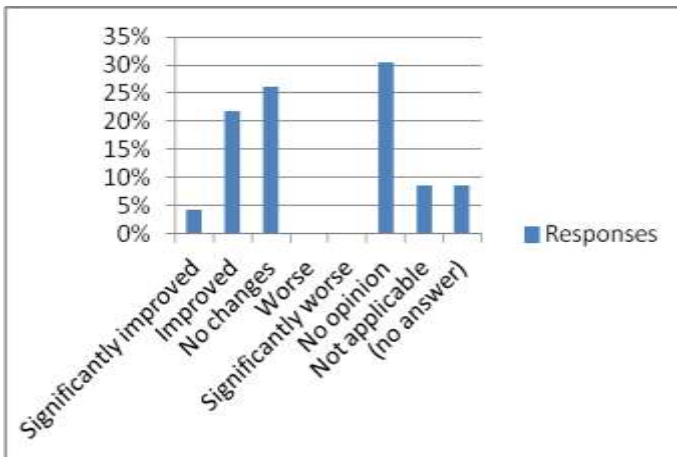
158. Has this study promoted coherent policy guidance?



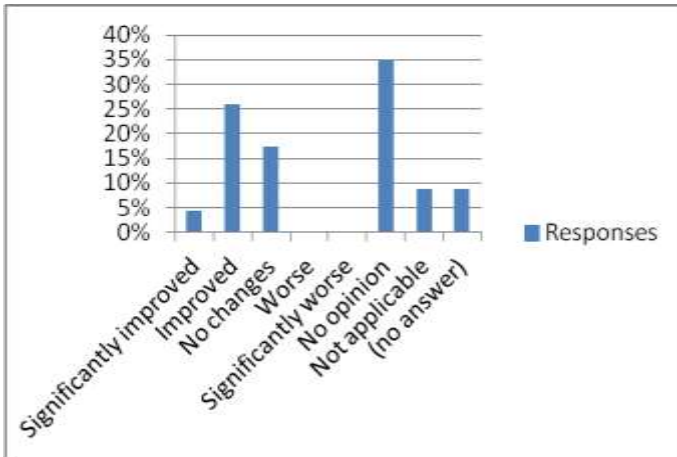
159. Has this study reduced administrative burden?



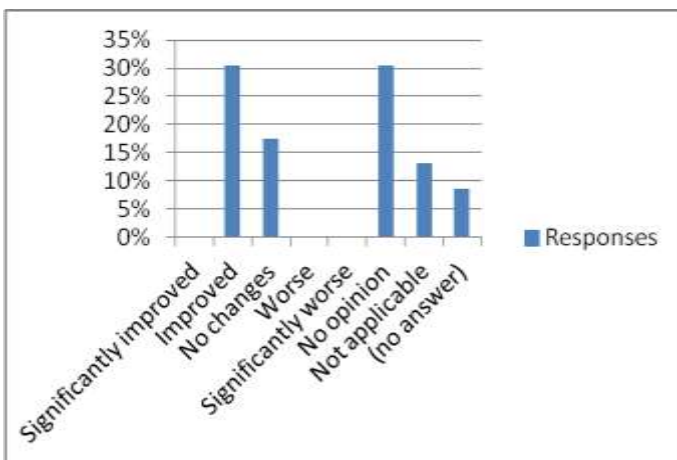
160. Has this study maximized the effective and efficient use of resources at all levels?



161. Has this study taken into account global concerns and the specific needs of developing countries and countries with economies in transition?

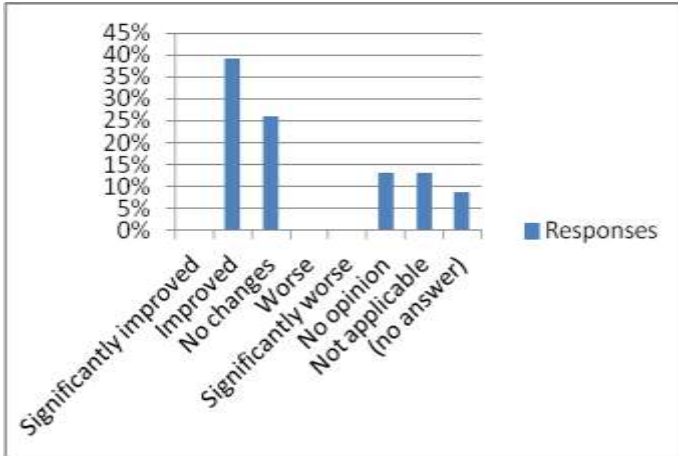


162. Has this study protected human health and the environment for the promotion of sustainable development?

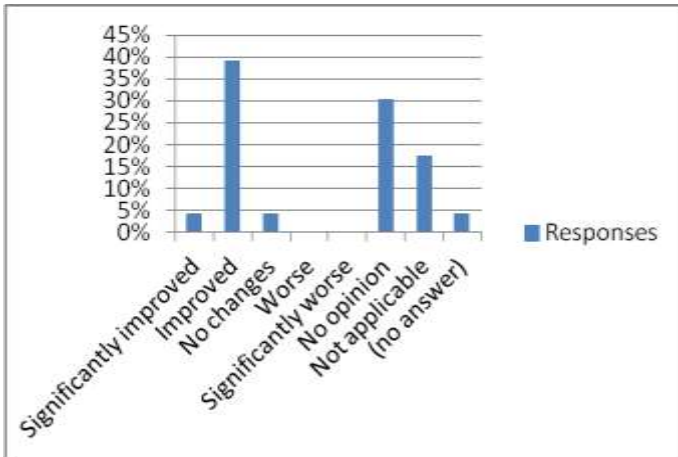


(c) Establishment of a joint head

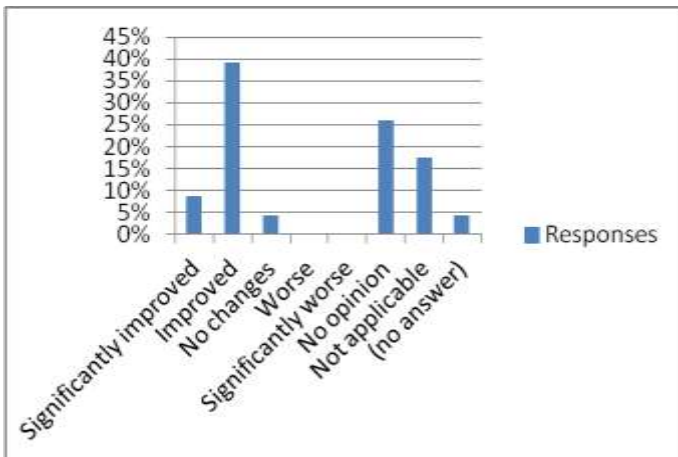
163. Has the establishment of a joint head strengthened the implementation of the three conventions at the national level?



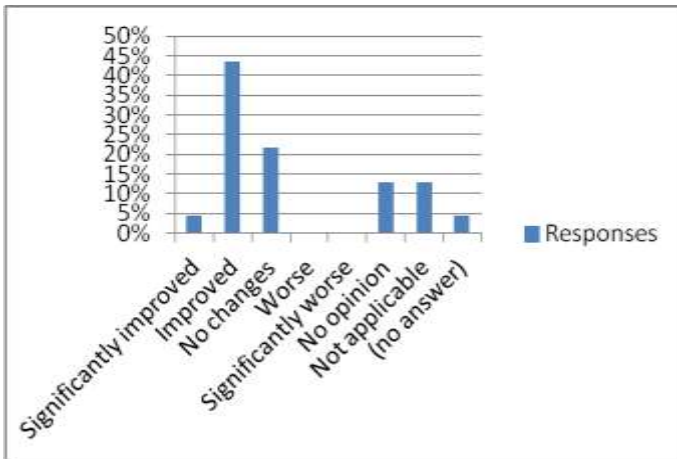
164. Has the establishment of a joint head strengthened the implementation of the three conventions at the regional level?



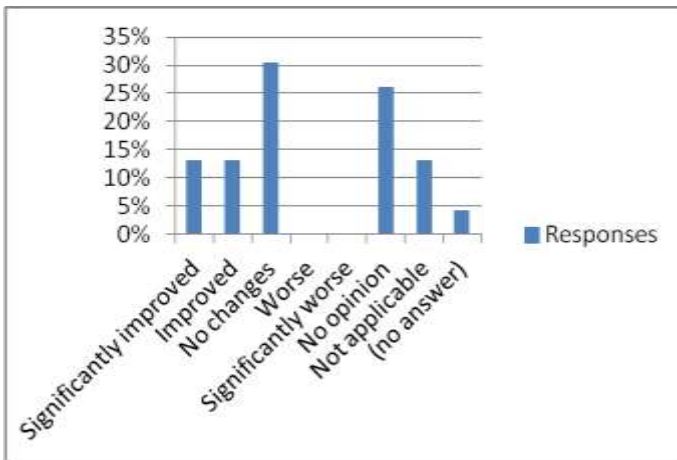
165. Has the establishment of a joint head strengthened the implementation of the three conventions at the global level?



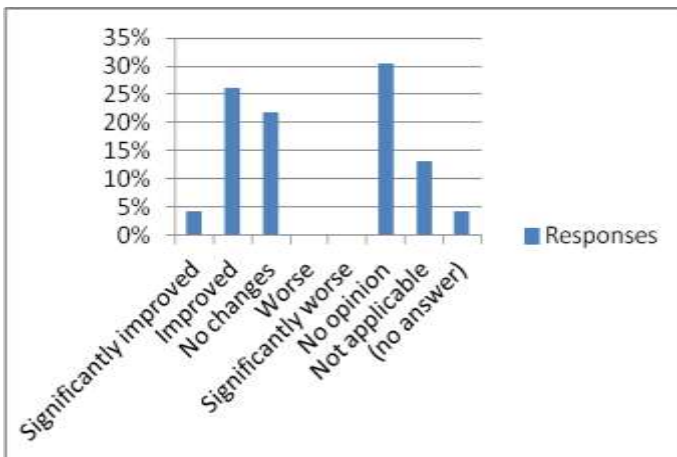
166. Has the establishment of a joint head promoted coherent policy guidance?



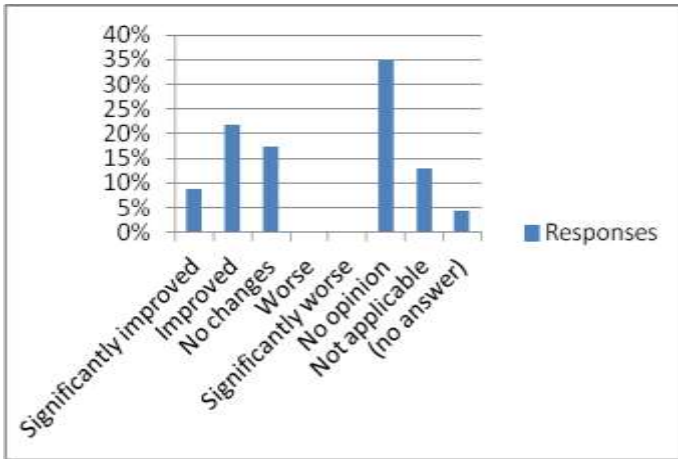
167. Has the establishment of a joint head reduced administrative burden?



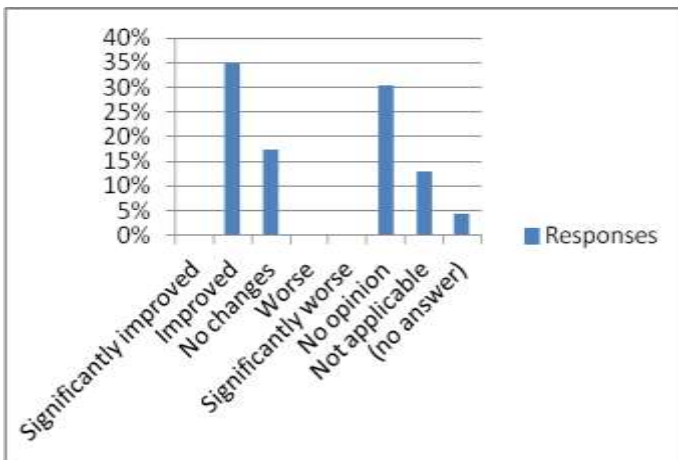
168. Has the establishment of a joint head maximized the effective and efficient use of resources at all levels?



169. Has the establishment of a joint head taken into account global concerns and the specific needs of developing countries and countries with economies in transition?

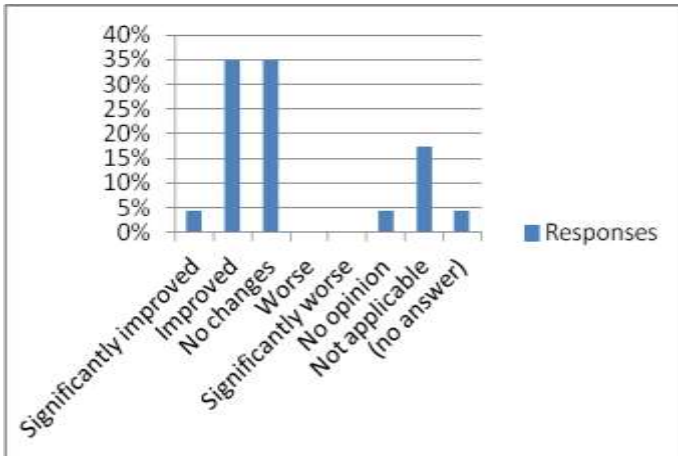


170. Has the establishment of a joint head protected human health and the environment for the promotion of sustainable development?

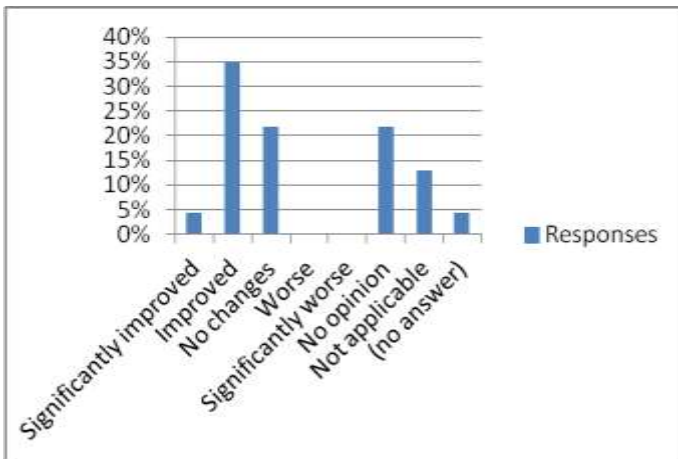


(d) Modification of the organization of the secretariats of the three conventions (April 2011)

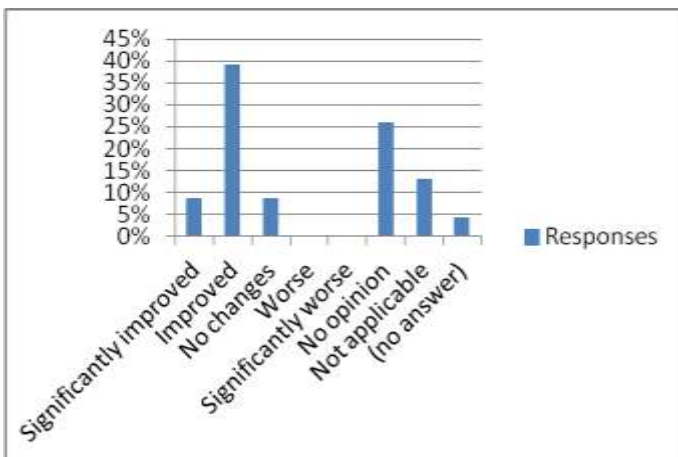
171. Has the reorganization of the secretariats strengthened the implementation of the three conventions at the national level?



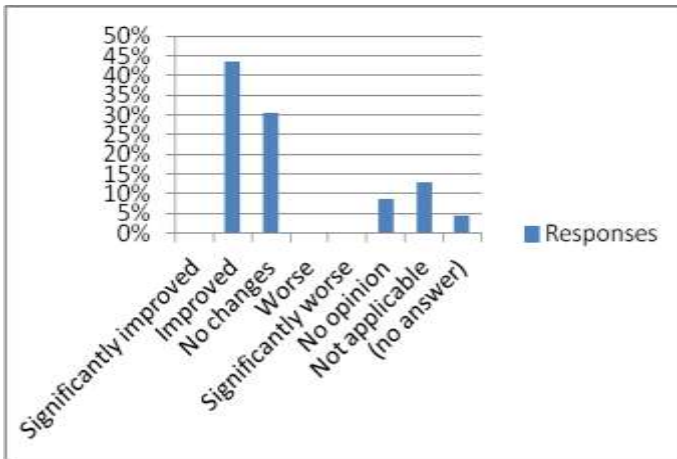
172. Has the reorganization of the secretariats strengthened the implementation of the three conventions at the regional level?



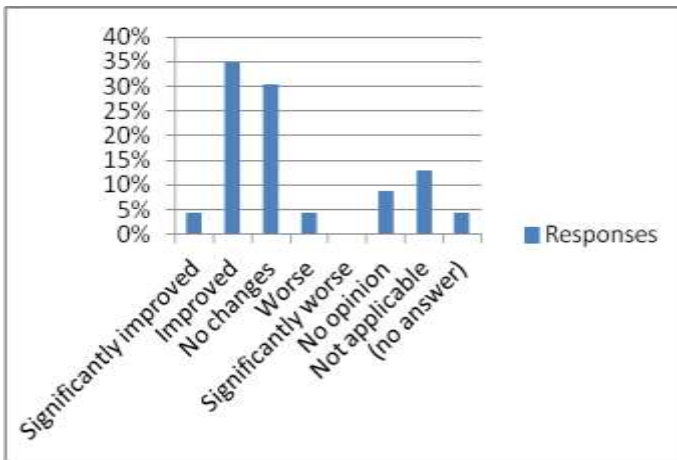
173. Has the reorganization of the secretariats strengthened the implementation of the three conventions at the global level?



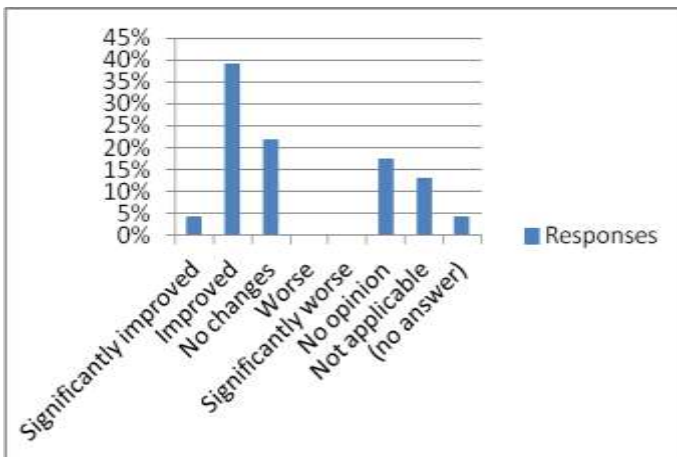
174. Has the reorganization of the secretariats promoted coherent policy guidance?



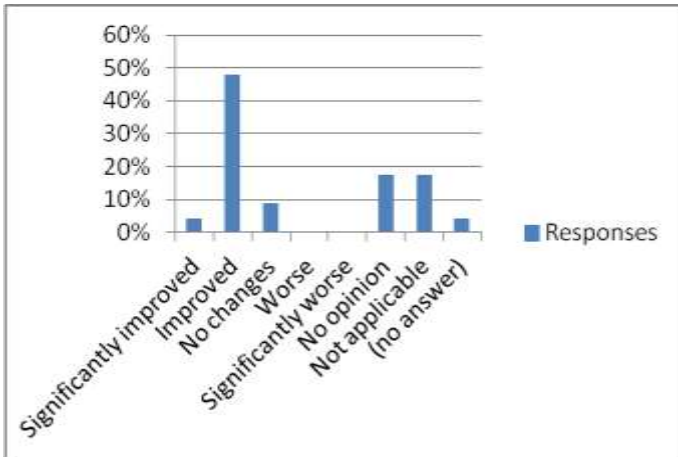
175. Has the reorganization of the secretariats reduced administrative burden?



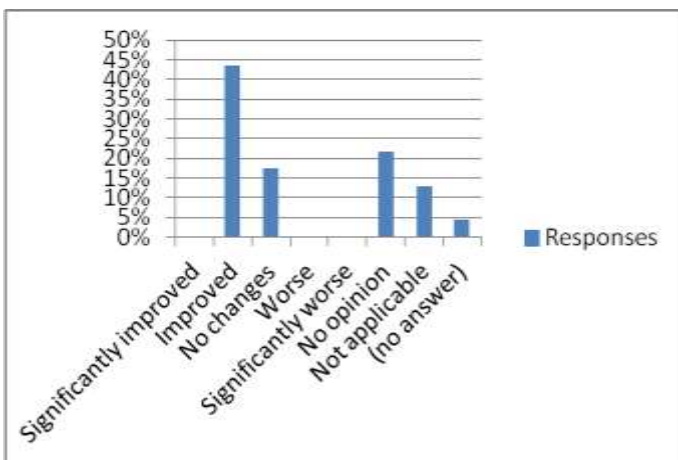
176. Has the reorganization of the secretariats maximized the effective and efficient use of resources at all levels?



177. Has the reorganization of the secretariats taken into account global concerns and the specific needs of developing countries and countries with economies in transition?

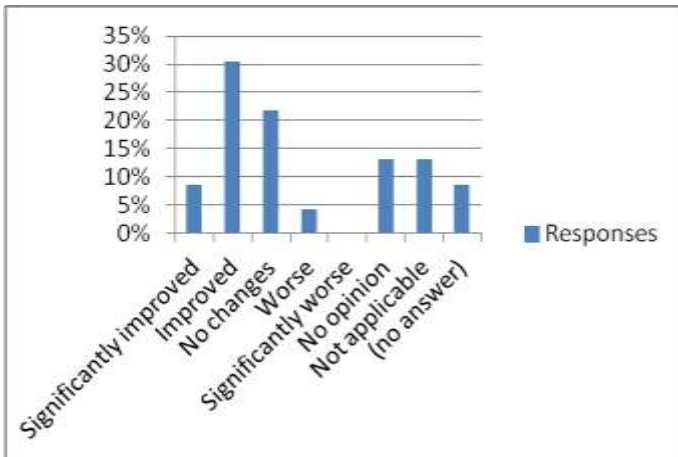


178. Has the reorganization of the secretariats protected human health and the environment for the promotion of sustainable development?

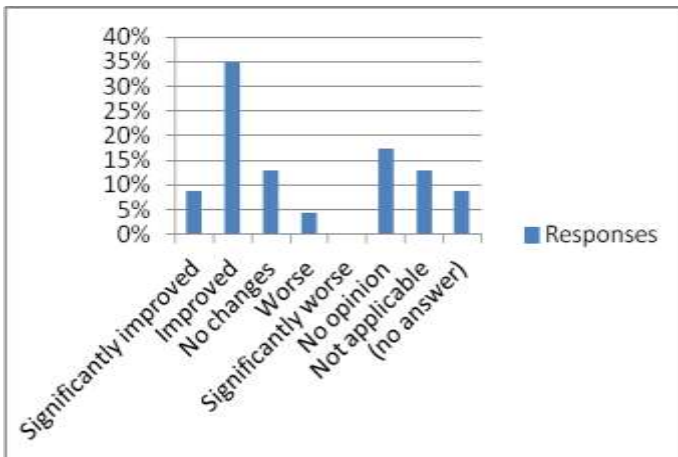


(e) Coordinated meetings of the Conferences of the Parties (COPs)

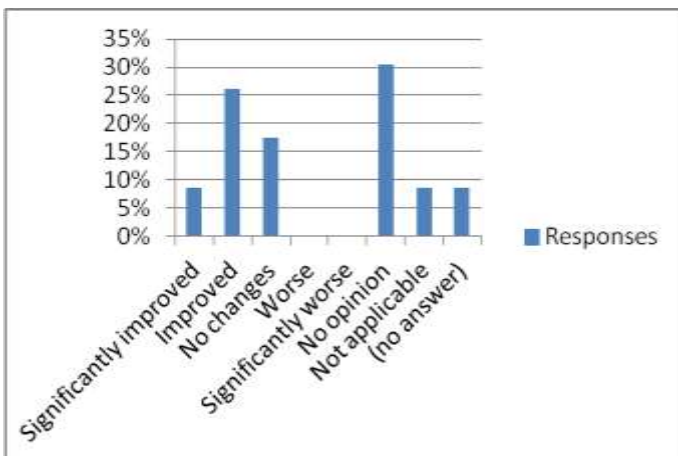
179. Have coordinated meetings of the COPs strengthened the implementation of the three conventions at the national level?



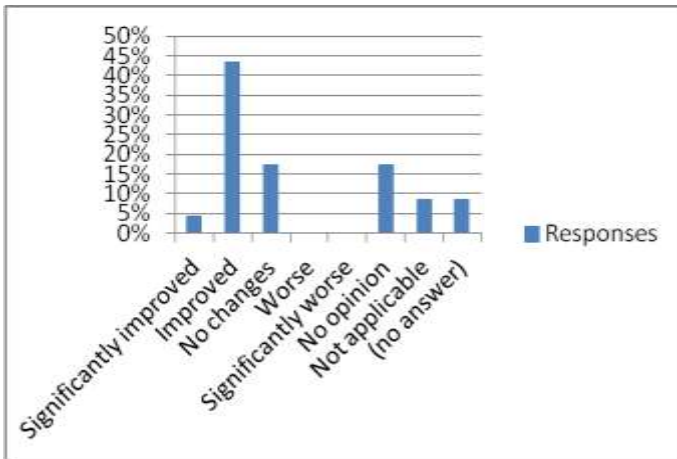
180. Have coordinated meetings of the COPs strengthened the implementation of the three conventions at the regional level?



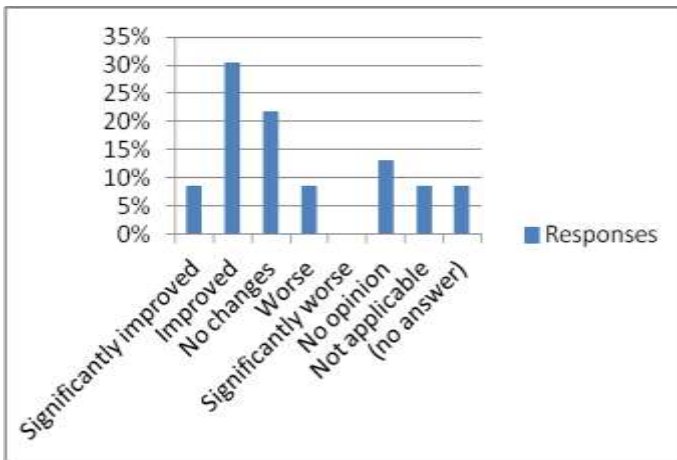
181. Have coordinated meetings of the COPs strengthened the implementation of the three conventions at the global level?



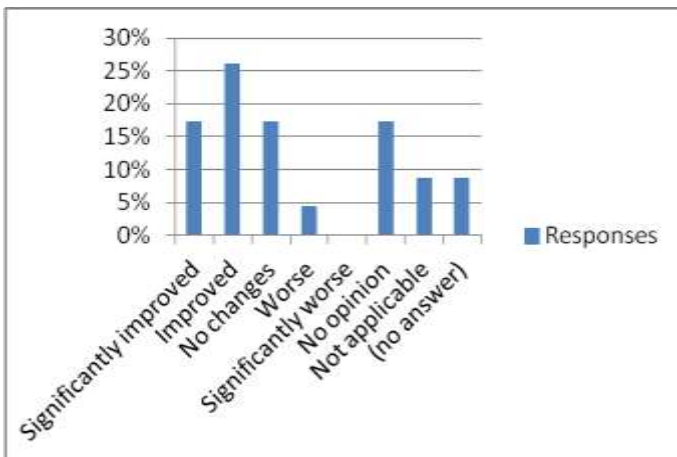
182. Have coordinated meetings of the COPs promoted coherent policy guidance?



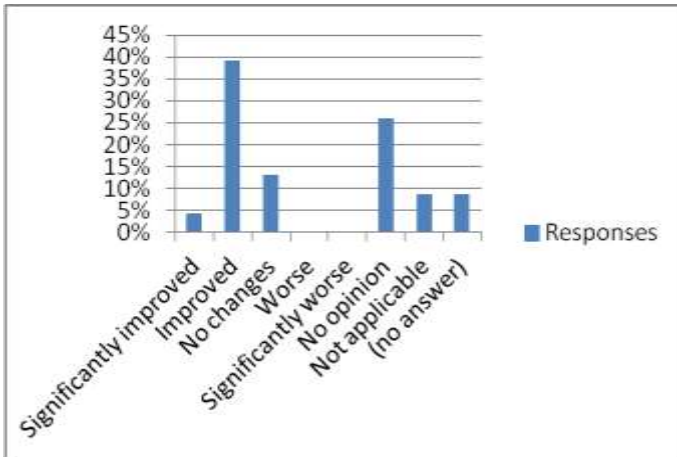
183. Have coordinated meetings of the COPs reduced administrative burden?



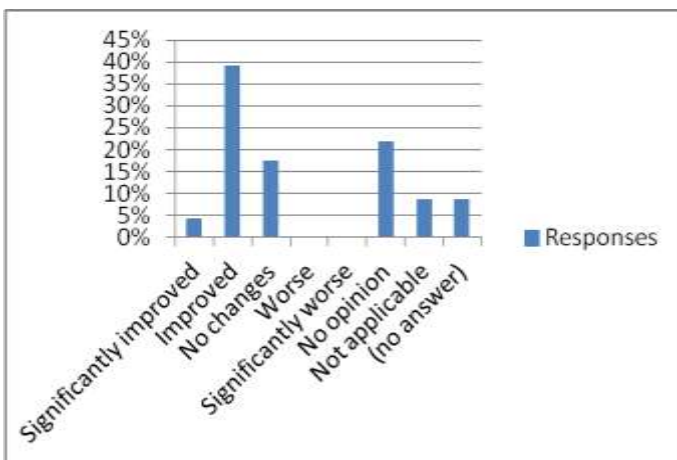
184. Have coordinated meetings of the COPs maximized the effective and efficient use of resources at all levels?



185. Have coordinated meetings of the COPs taken into account global concerns and the specific needs of developing countries and countries with economies in transition?

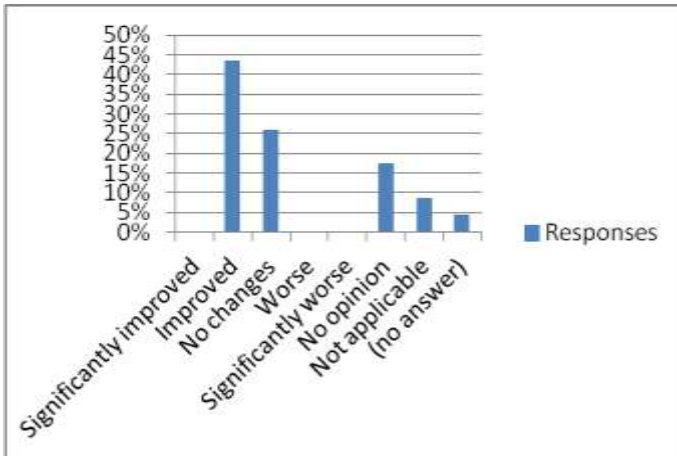


186. Have coordinated meetings of the COPs protected human health and the environment for the promotion of sustainable development?

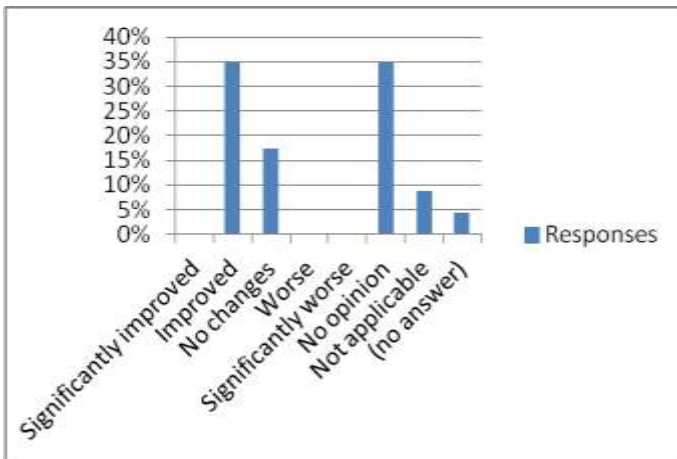


(f) Synchronization of budget cycles

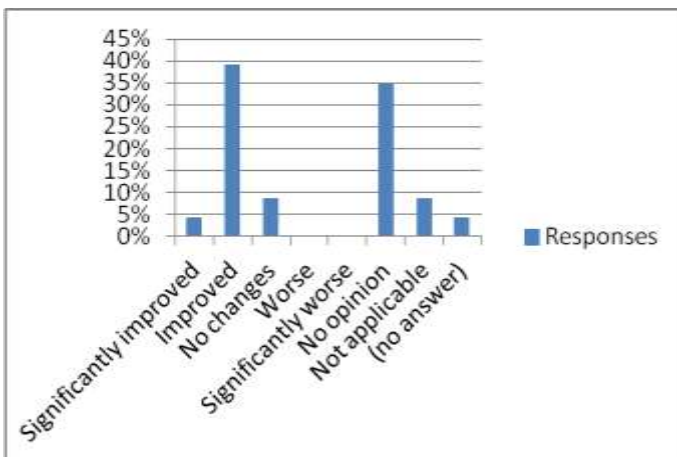
187. Has the synchronization of budget cycles strengthened the implementation of the three conventions at the national level?



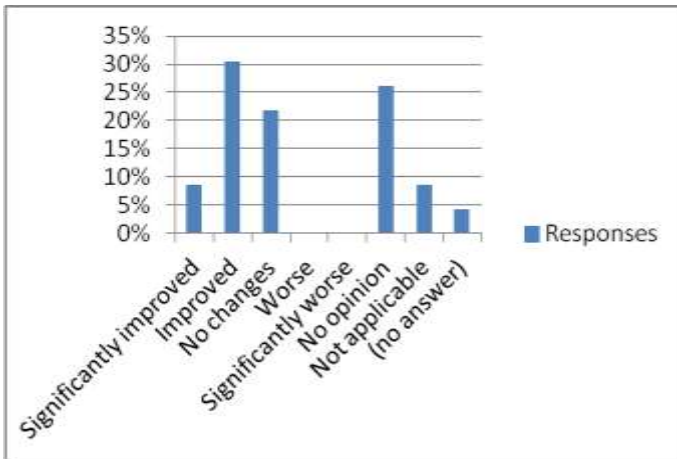
188. Has the synchronization of budget cycles strengthened the implementation of the three conventions at the regional level?



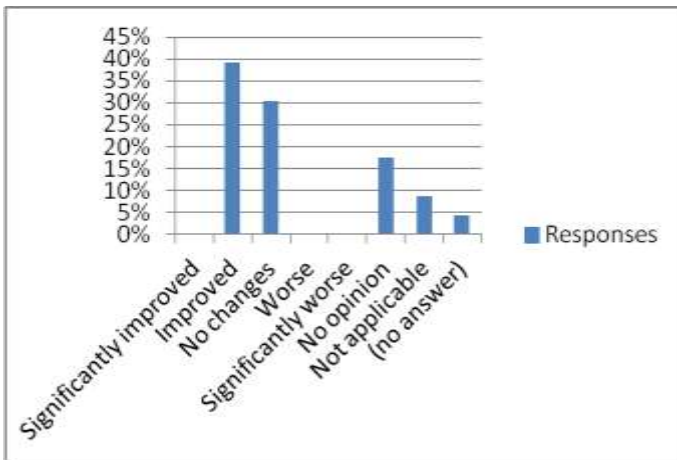
189. Has the synchronization of budget cycles strengthened the implementation of the three conventions at the global level?



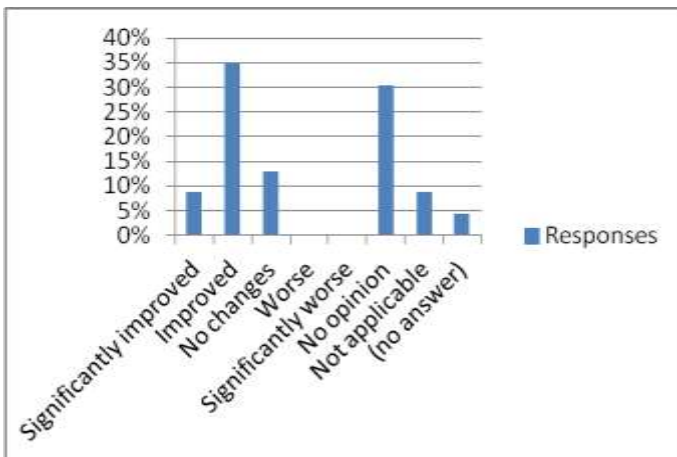
190. Has the synchronization of budget cycles promoted coherent policy guidance?



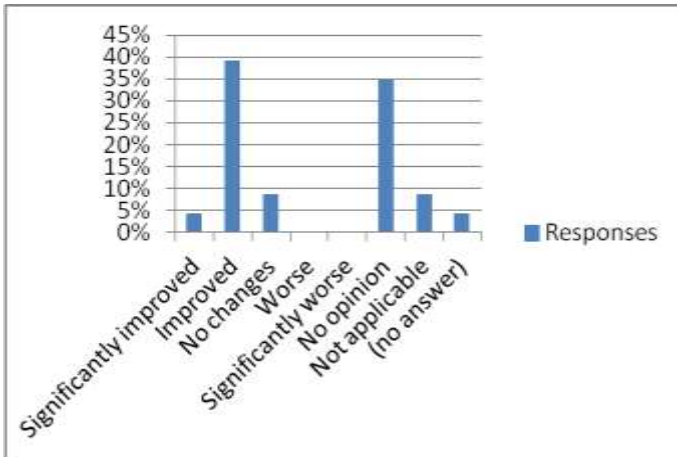
191. Has the synchronization of budget cycles reduced administrative burden?



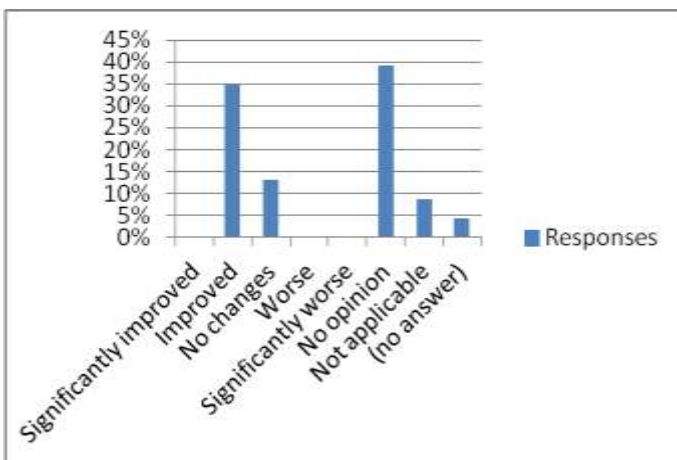
192. Has the synchronization of budget cycles maximized the effective and efficient use of resources at all levels?



193. Has the synchronization of budget cycles taken into account global concerns and the specific needs of developing countries and countries with economies in transition?

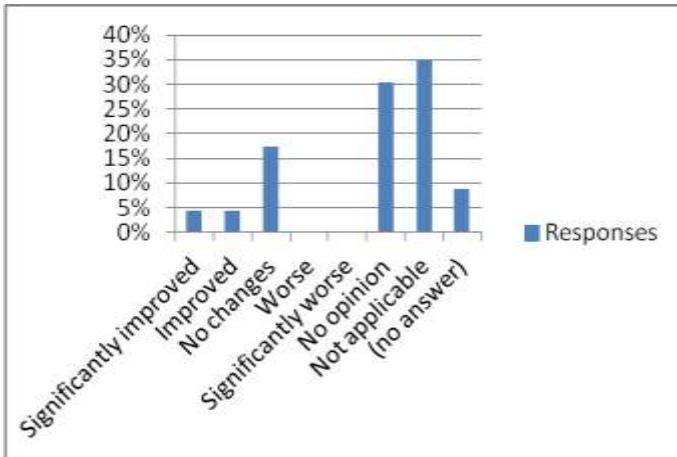


194. Has the synchronization of budget cycles protected human health and the environment for the promotion of sustainable development?

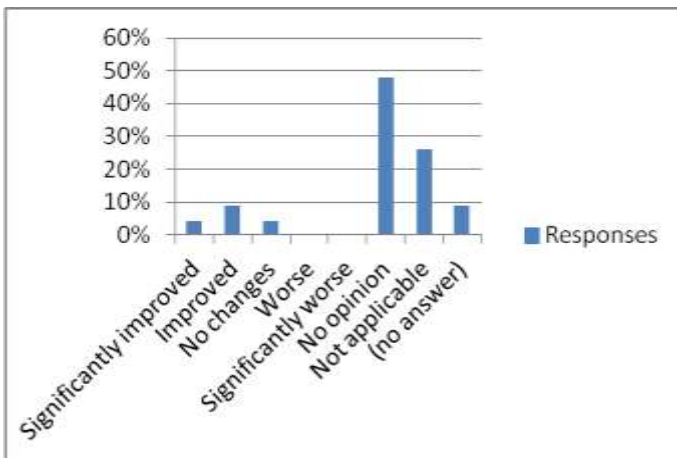


(g) Joint audits

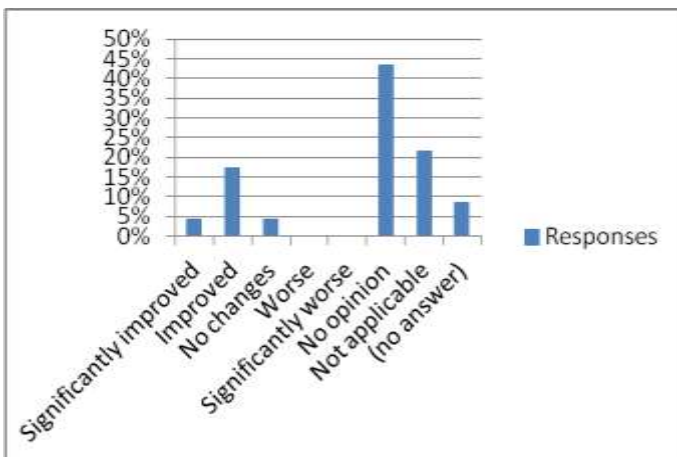
195. Have joint audits strengthened the implementation of the three conventions at the national level?



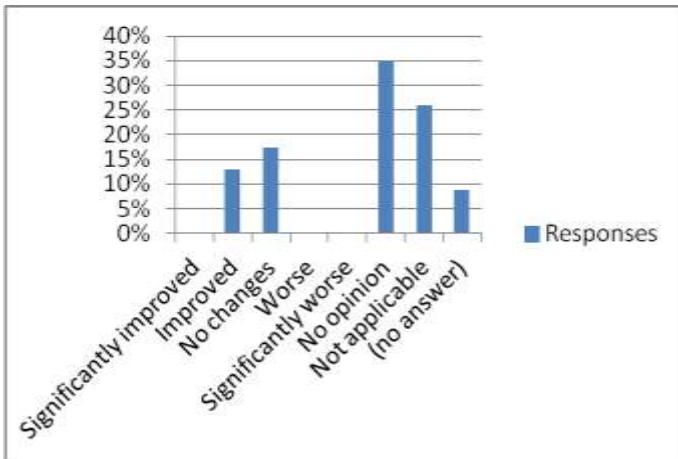
196. Have joint audits strengthened the implementation of the three conventions at the regional level?



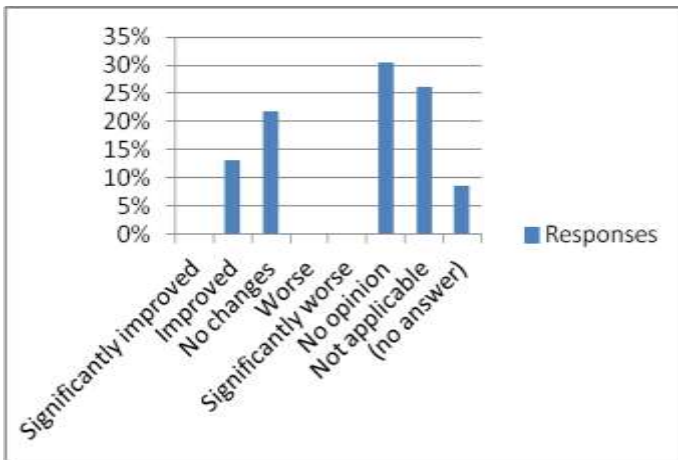
197. Have joint audits strengthened the implementation of the three conventions at the global level?



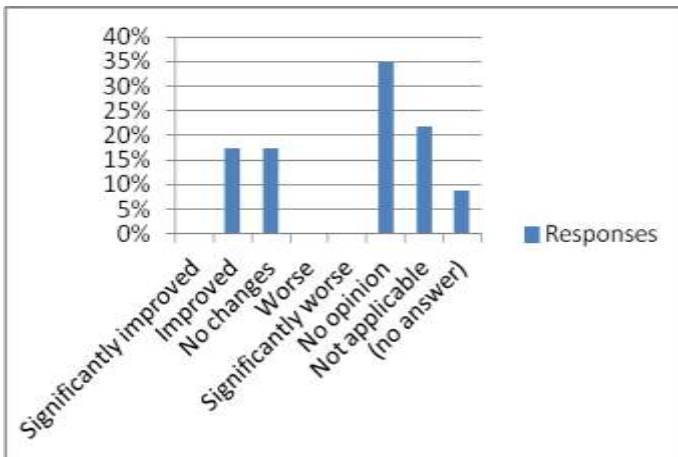
198. Have joint audits promoted coherent policy guidance?



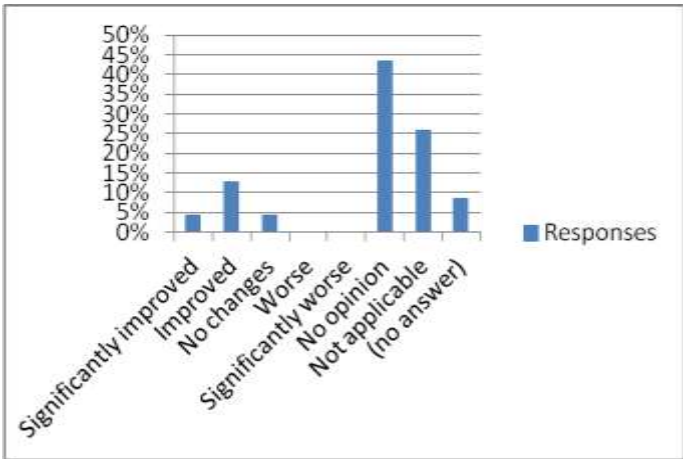
199. Have joint audits reduced administrative burden?



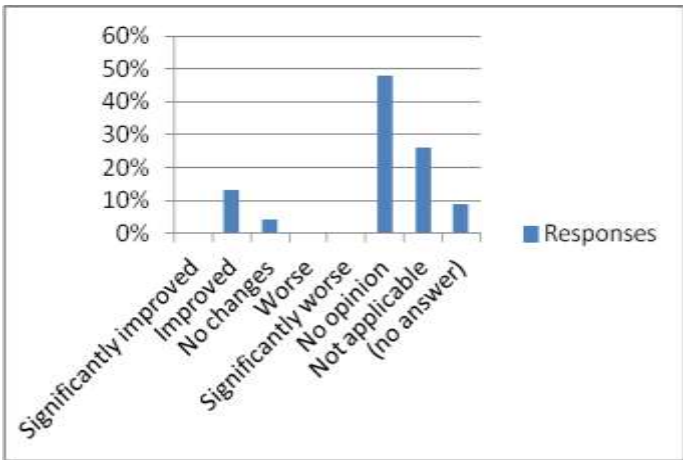
200. Have joint audits maximized the effective and efficient use of resources at all levels?



201. Have joint audits taken into account global concerns and the specific needs of developing countries and countries with economies in transition?



202. Have joint audits protected human health and the environment for the promotion of sustainable development?



SECTION A: SECRETARIAT'S QUESTIONNAIRE

PART II –INFORMATION ON JOINT MANAGERIAL FUNCTIONS

203. Do you have any additional information or comments on the challenges or obstacles experienced in the achievement of the synergies' objectives through joint managerial functions?

Note: The information or comments provided by Parties are included in the compilation of responses received by the Secretariat.

204. Do you have any specific or general recommendations to parties, the secretariats, UNEP, FAO and other institutions and stakeholders as appropriate, on steps that could be taken to make the synergies arrangements, in particular on joint managerial functions, better contribute to the synergies' objectives?

Note: The recommendations made by Parties are included in the compilation of responses received by the Secretariat.

SECTION A: SECRETARIAT'S QUESTIONNAIRE

PART III – INFORMATION ON JOINT SERVICES

Introduction

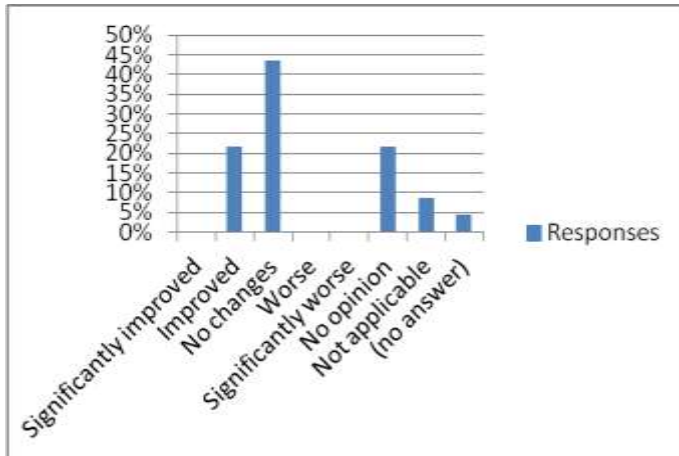
By the [synergies decisions](#) the respective conferences of the Parties to the Basel, Rotterdam and Stockholm invited the establishment, on an interim basis, within the three secretariats in Geneva of a joint resource mobilization service, a joint administrative and financial support service, a joint legal service, a joint information technology service and a joint information service. This matter was considered by the extraordinary meetings of the conferences of the Parties on the basis of a note prepared by the secretariats ([UNEP/FAO/CHW/RC/POPS/EXCOPS.1/4](#)) following what the [2010 omnibus decisions](#) were adopted. A note on this matter was prepared by the secretariats for the consideration by the three conferences of the Parties at their ordinary meetings in 2011 ([UNEP/CHW.10/27/Add.3.](#), [UNEP/FAO/RC/COP.5/25/Add.3](#) and [UNEP/POPS/COP.5/32/Add.3](#)). The respective COPs adopted a decision on this matter ([UNEP/CHW.10/28](#), [UNEP/FAO/RC/COP.5/26](#) and [UNEP/POPS/COP.5/36](#)). Further information on joint conventions services functions, including relevant documents submitted to the consideration of the respective conferences of the Parties as well as decisions adopted by the respective conferences of the Parties, can be found on the [secretariat page](#) of the secretariat website.

SECTION A: SECRETARIAT'S QUESTIONNAIRE

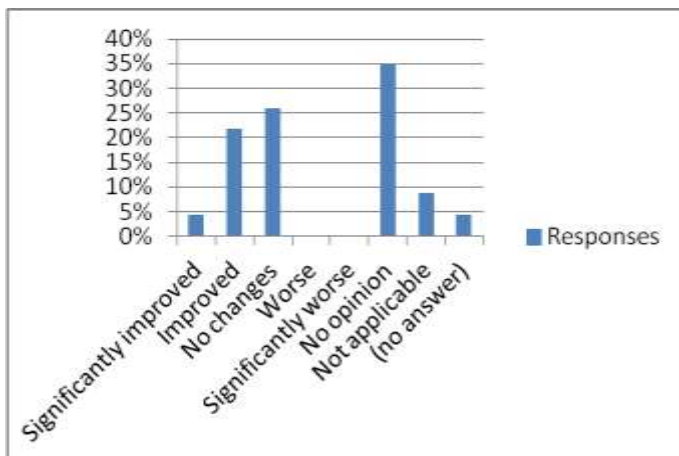
PART III – INFORMATION ON JOINT SERVICES

(a) Temporary establishment of Joint Services (2009)

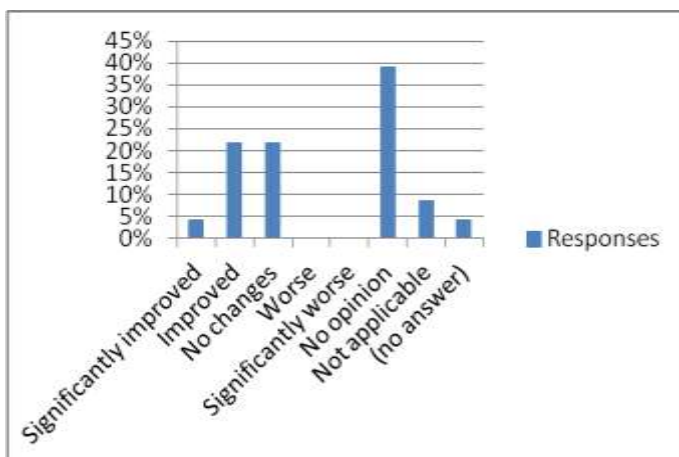
205. Has the temporary establishment of Joint Services strengthened the implementation of the three conventions at the national level?



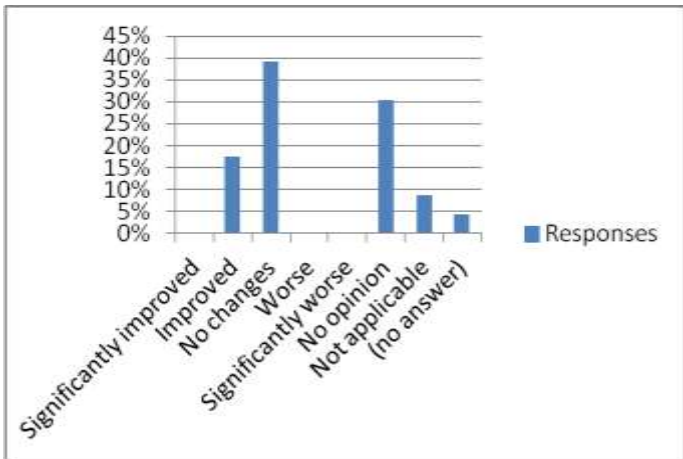
206. Has the temporary establishment of Joint Services strengthened the implementation of the three conventions at the regional level?



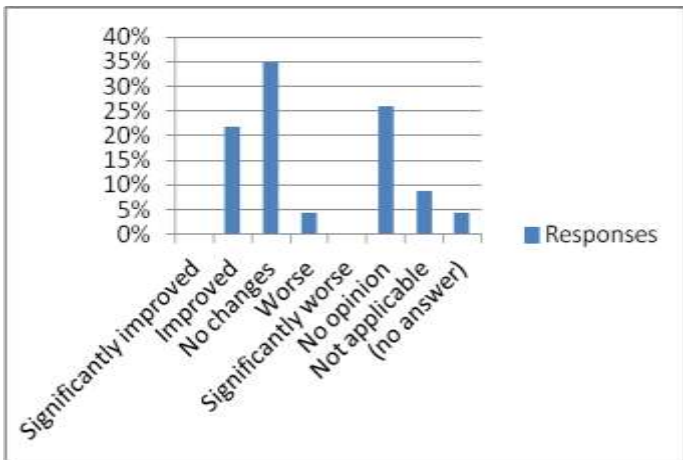
207. Has the temporary establishment of Joint Services strengthened the implementation of the three conventions at the global level?



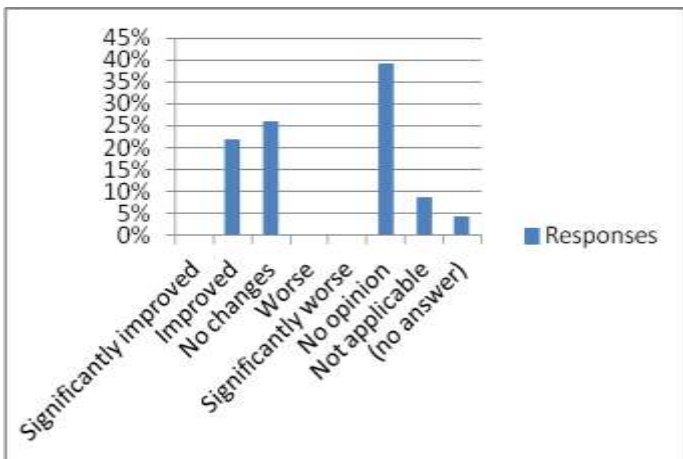
208. Has the temporary establishment of Joint Services promoted coherent policy guidance?



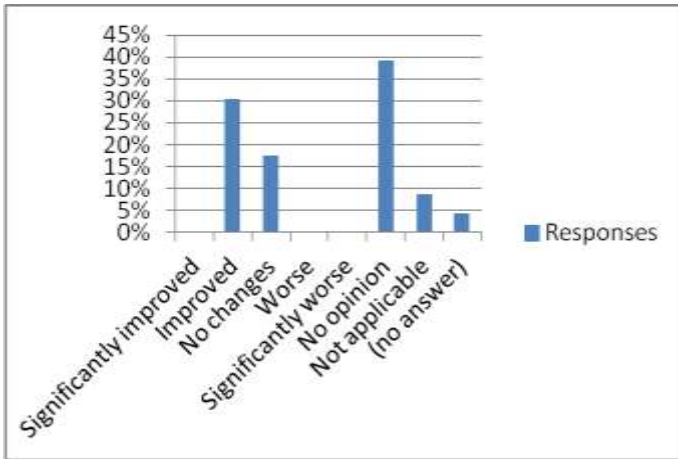
209. Has the temporary establishment of Joint Services reduced administrative burden?



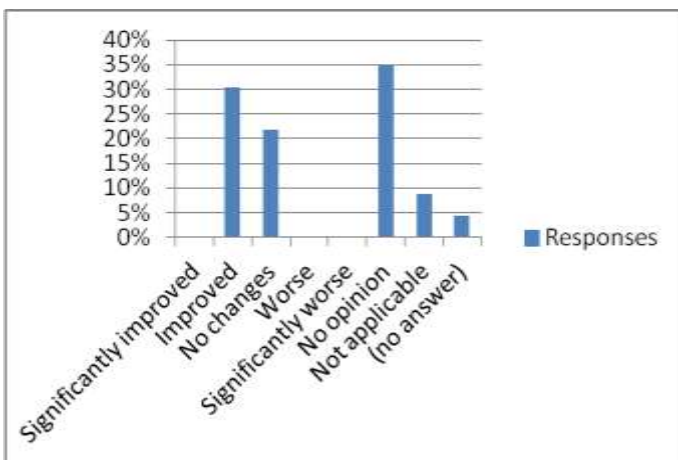
210. Has the temporary establishment of Joint Services maximized the effective and efficient use of resources at all levels?



211. Has the temporary establishment of Joint Services taken into account global concerns and the specific needs of developing countries and countries with economies in transition?

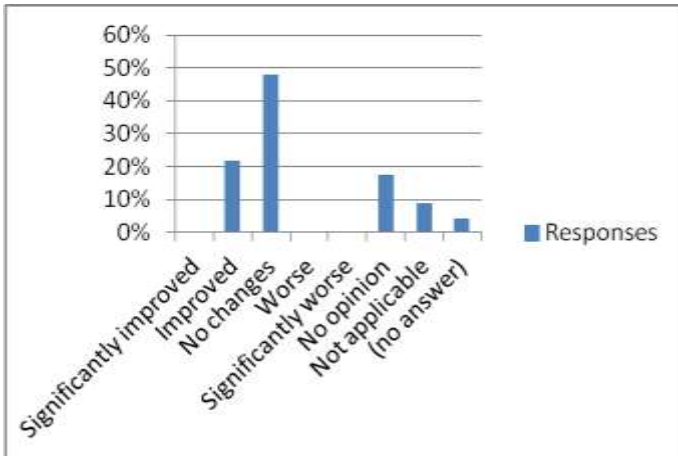


212. Has the temporary establishment of Joint Services protected human health and the environment for the promotion of sustainable development?

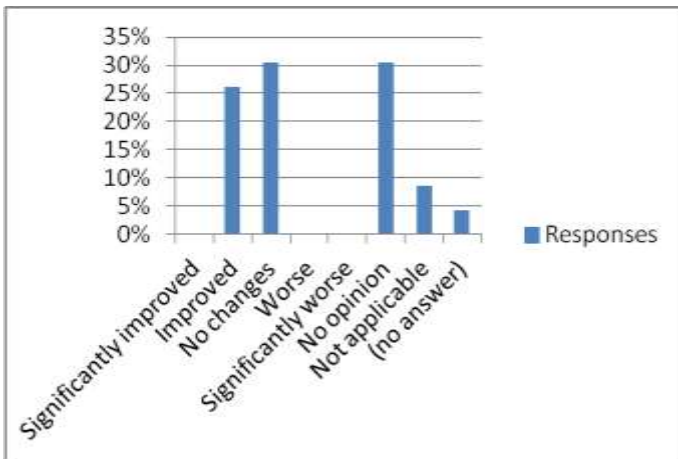


(b) Establishment of Joint Services (2010)

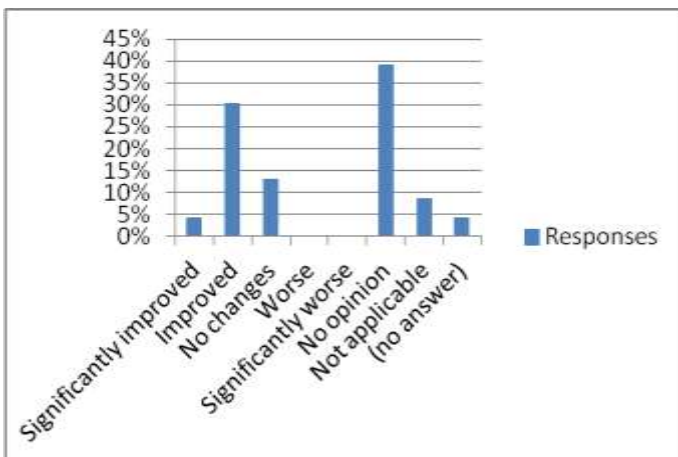
213. Has the establishment of Joint Services strengthened the implementation of the three conventions at the national level?



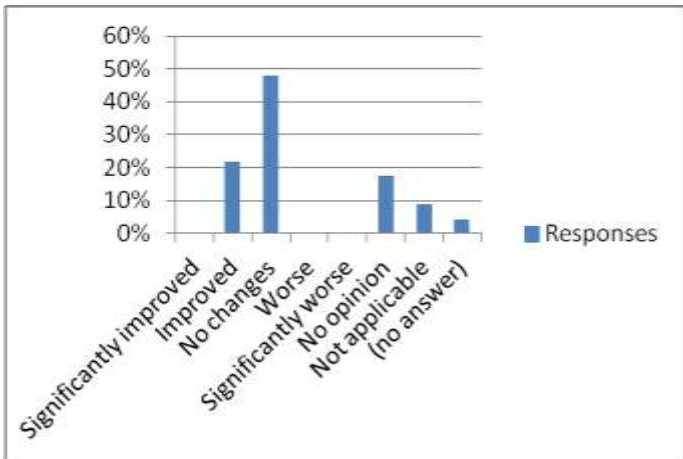
214. Has the establishment of Joint Services strengthened the implementation of the three conventions at the regional level?



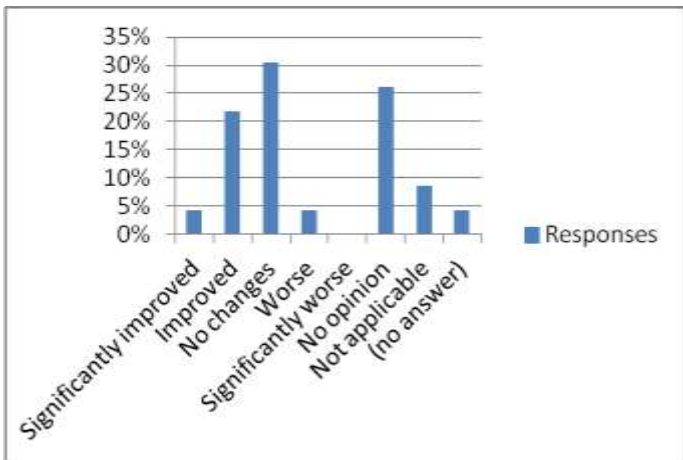
215. Has the establishment of Joint Services strengthened the implementation of the three conventions at the global level?



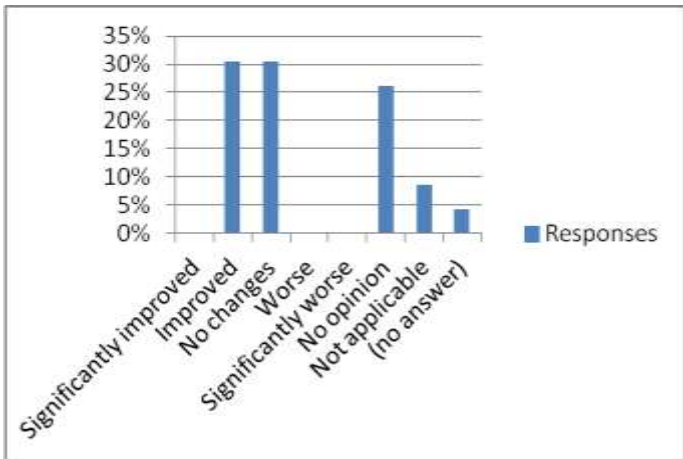
216. Has the establishment of Joint Services promoted coherent policy guidance?



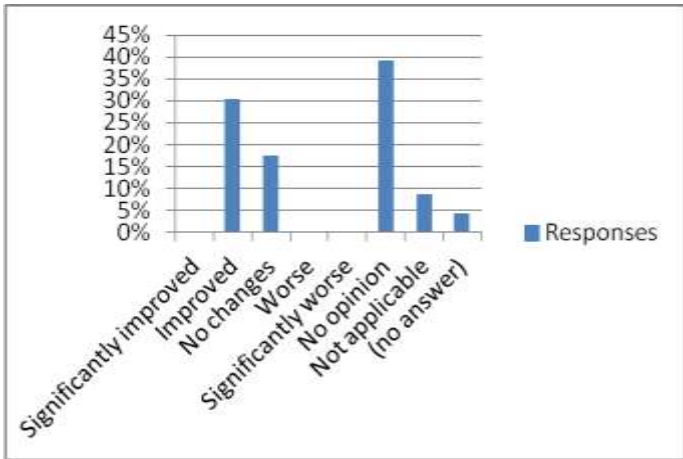
217. Has the establishment of Joint Services reduced administrative burden?



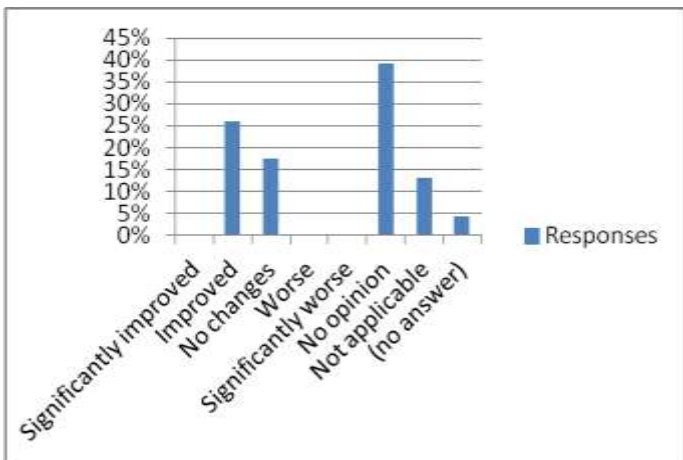
218. Has the establishment of Joint Services maximized the effective and efficient use of resources at all levels?



219. Has the establishment of Joint Services taken into account global concerns and the specific needs of developing countries and countries with economies in transition?

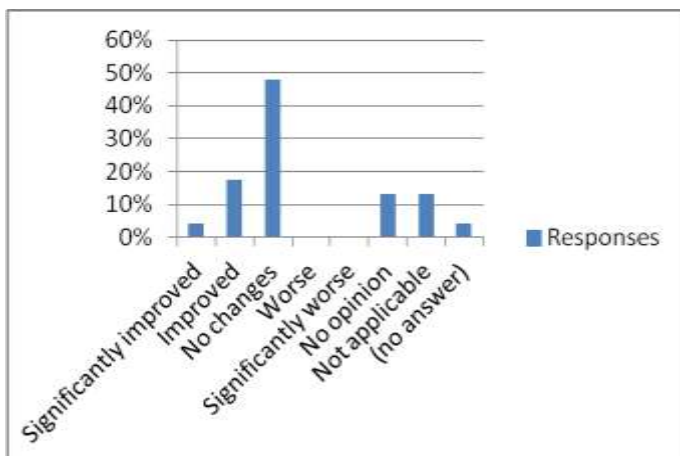


220. Has the establishment of Joint Services protected human health and the environment for the promotion of sustainable development?

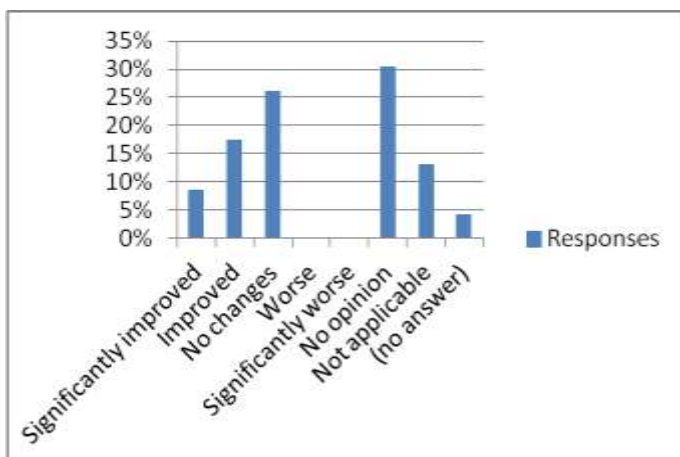


(c) Proposal for the organization of the secretariats (December 2011)

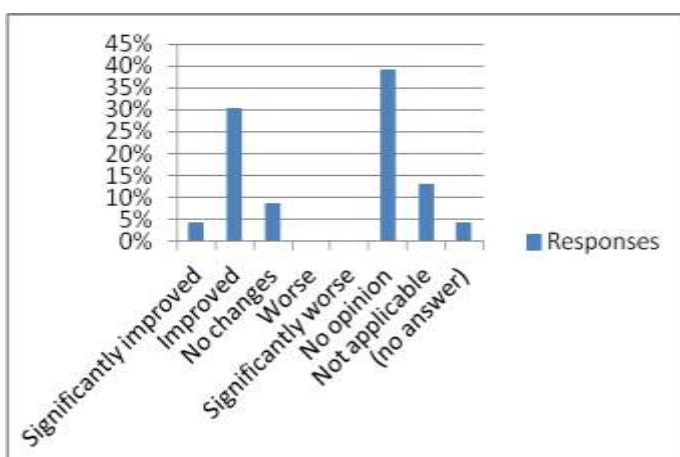
221. Has the proposal for the organization of the secretariats strengthened the implementation of the three conventions at the national level?



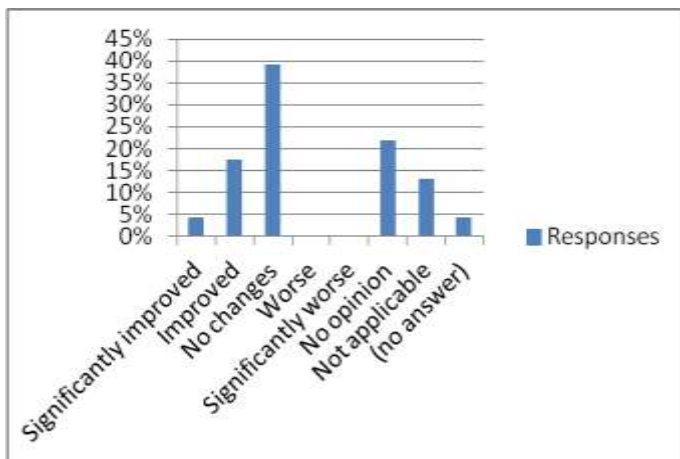
222. Has the proposal for the organization of the secretariats strengthened the implementation of the three conventions at the regional level?



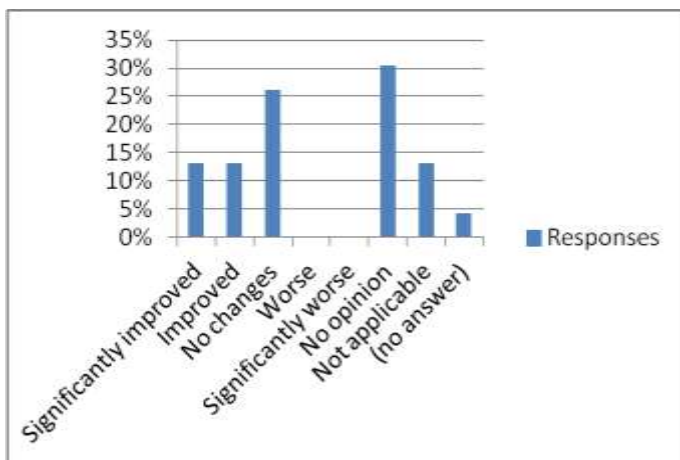
223. Has the proposal for the organization of the secretariats strengthened the implementation of the three conventions at the global level?



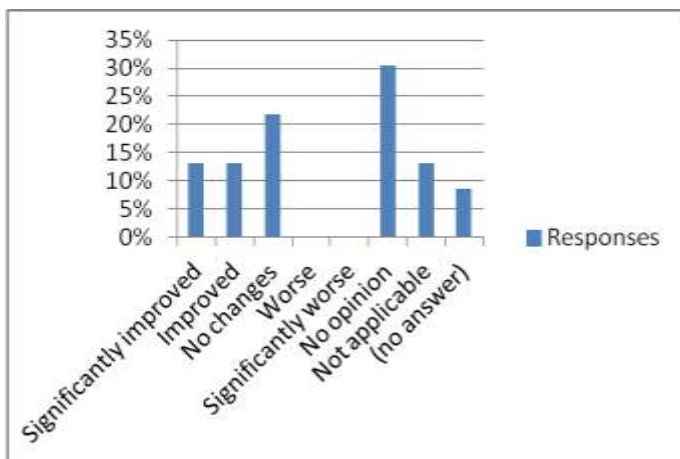
224. Has the proposal for the organization of the secretariats promoted coherent policy guidance?



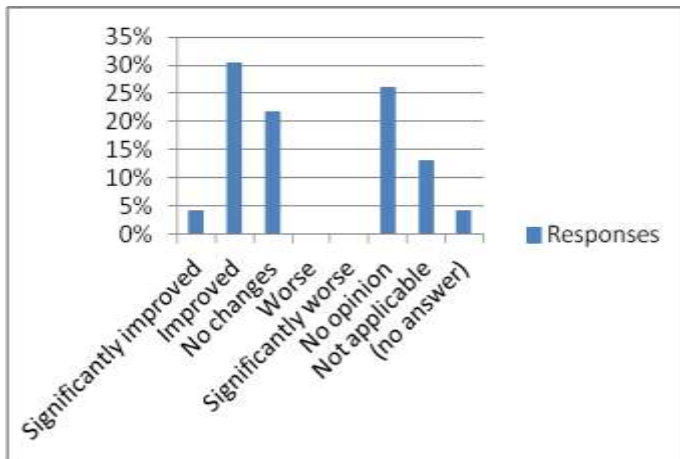
225. Has the proposal for the organization of the secretariats reduced administrative burden?



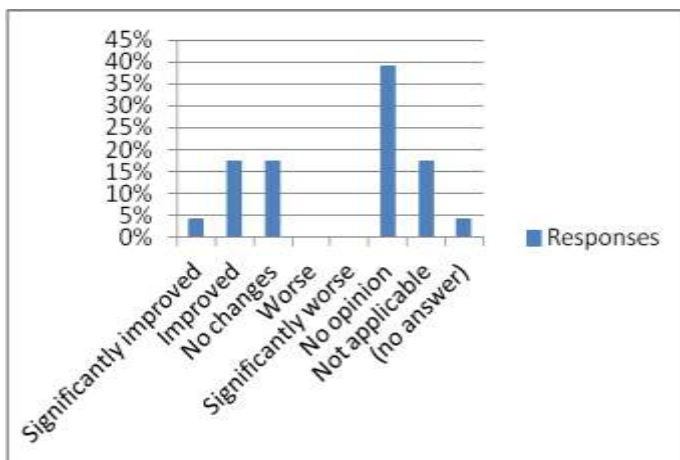
226. Has the proposal for the organization of the secretariats maximized the effective and efficient use of resources at all levels?



227. Has the proposal for the organization of the secretariats taken into account global concerns and the specific needs of developing countries and countries with economies in transition?

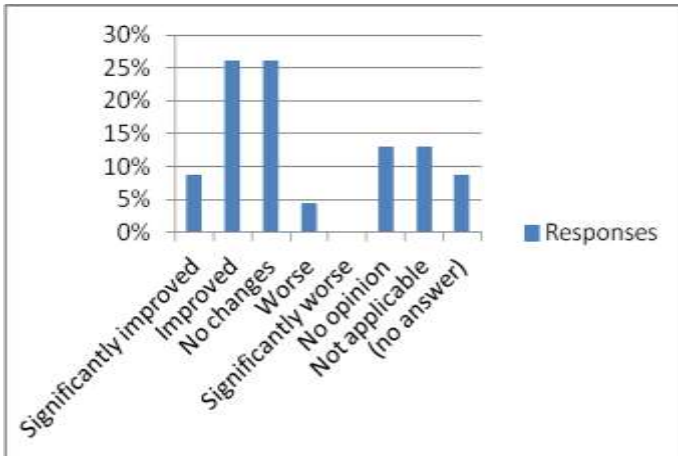


228. Has the proposal for the organization of the secretariats protected human health and the environment for the promotion of sustainable development?

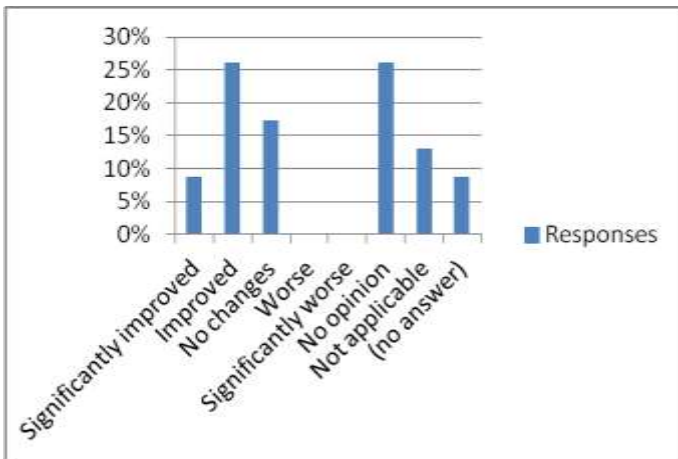


(d) Joint information technology services

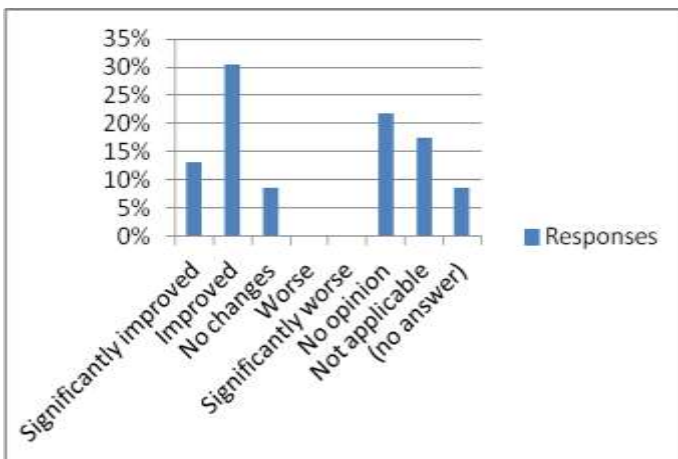
229. Have joint information technology services strengthened the implementation of the three conventions at the national level?



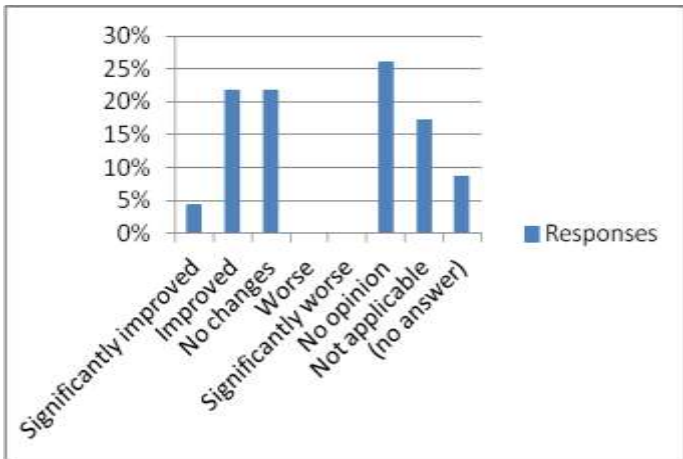
230. Have joint information technology services strengthened the implementation of the three conventions at the regional level?



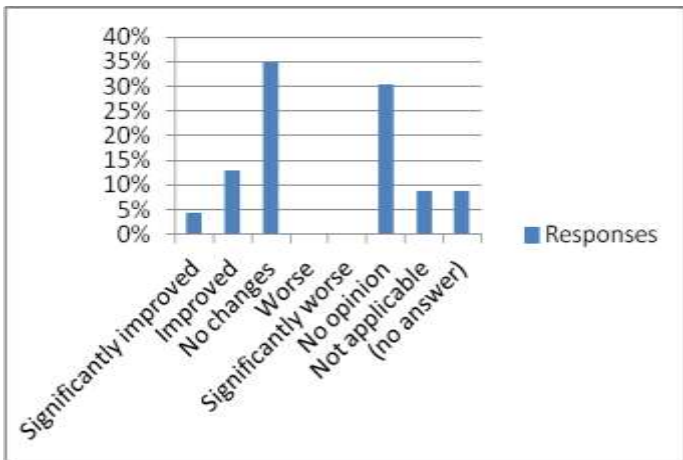
231. Have joint information technology services strengthened the implementation of the three conventions at the global level?



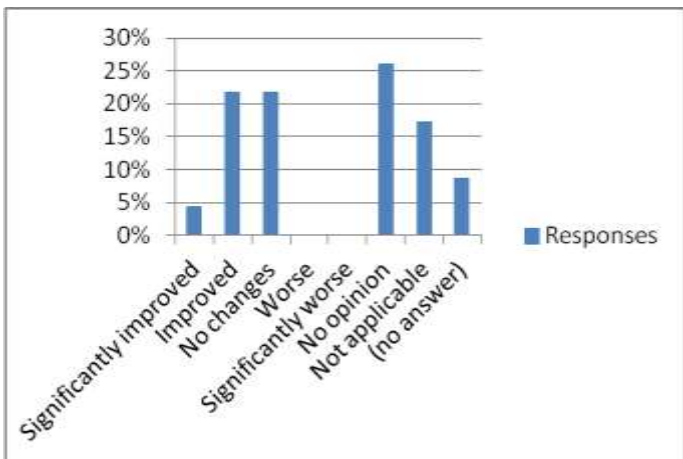
232. Have joint information technology services promoted coherent policy guidance?



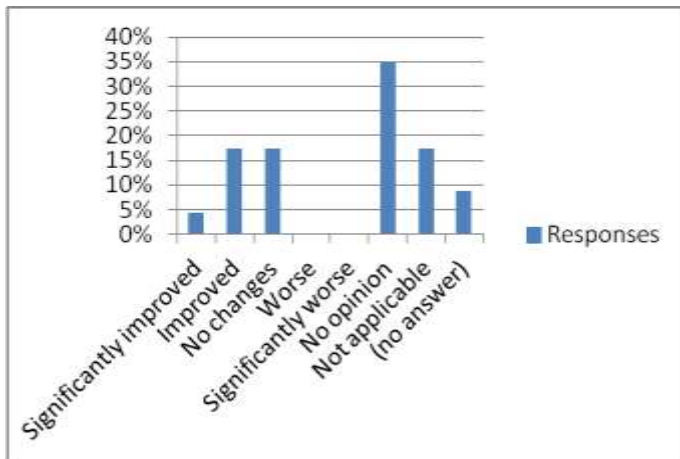
233. Have joint information technology services reduced administrative burden?



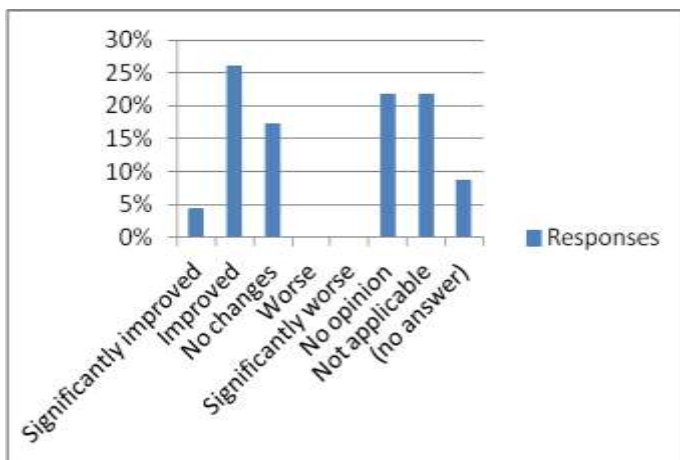
234. Have joint information technology services maximized the effective and efficient use of resources at all levels?



235. Have joint information technology services taken into account global concerns and the specific needs of developing countries and countries with economies in transition?



236. Have joint information technology services protected human health and the environment for the promotion of sustainable development?



SECTION A: SECRETARIAT'S QUESTIONNAIRE

PART III – INFORMATION ON JOINT SERVICES

237. Do you have any additional information or comments on the challenges or obstacles experienced in the achievement of the synergies' objectives through joint services?

Note: The information or comments provided by Parties are included in the compilation of responses received by the Secretariat.

238. Do you have any specific or general recommendations to parties, the secretariats, UNEP, FAO and other institutions and stakeholders as appropriate, on steps that could be taken to make the synergies arrangements, in particular on joint services, better contribute to the synergies' objectives?

Note: The recommendations made by Parties are included in the compilation of responses received by the Secretariat.

